#### **AMENDMENT NO. 158**

#### STATEMENT OF DENTAL REMUNERATION

PURSUANT TO REGULATION 22(3)
OF THE NATIONAL HEALTH SERVICE
(GENERAL DENTAL SERVICES) (SCOTLAND)
REGULATIONS 2010, AS AMENDED,
SCOTTISH MINISTERS HAVE
AMENDED ON 24 OCTOBER 2022
THE STATEMENT OF DENTAL REMUNERATION,
AND HEREBY PUBLISH THE AMENDMENT

## **AMENDMENT NO. 158**

- 1. This amendment shall take effect from the dates set out below.
  - (i) The amendments to Determination I shall take effect from 1 November 2022.
  - (ii) The amendments to Determination IV shall take effect from 1 April 2022.
- 2. The amendment shall not affect any rights or liabilities acquired or incurred under or by virtue of any provision of the Statement of Dental Remuneration amended or replaced by this amendment.

# **Statement of Dental Remuneration**

CONTENTS		Page
DETERMINATION I: Section I	SCALE OF FEES 1 NOVEMBER 2022 Interpretation	4 4
Section II	Diagnosis	7
Section III	Preventive Care	12
Section IV	Periodontal Treatment	13
Section V	Conservative Treatment	16
Section VI	Surgical Treatment	26
Section VII	Prostheses, Obturators and Other (non-Orthodontic) Appliances	30
Section VIII	Orthodontic Treatment	36
Section IX	Other Forms of Treatment	40
Section X	Treatment Under Capitation	42
Section XI	Continuing Care and Patient Management	46
Section XII	Occasional Treatment	48
Section XIII	Incomplete Treatment	63
Section XIV	Additional Payments	68
Section XV	Triage Activity	70
Section XVI	Conditions of Payment of Remuneration and Submission of	72
	Dental Payment Claim Forms	
DETERMINATION II:	RATES OF REMUNERATION FOR A SALARIED DENTIST	77
DETERMINATION III:	SENIORITY PAYMENTS	79
DETERMINATION IV:	VOCATIONAL TRAINING ALLOWANCES	82
DETERMINATION V:	MATERNITY PAYMENTS, PATERNITY PAYMENTS AND ADOPTIVE LEAVE PAYMENTS	85
DETERMINATION VI:	LONG-TERM SICKNESS PAYMENTS	90
DETERMINATION VII:	CONTINUING PROFESSIONAL DEVELOPMENT ALLOWANCES	93
DETERMINATION VIII:	REIMBURSEMENT OF NON-DOMESTIC RATES	96
DETERMINATION IX:	COMMITMENT PAYMENTS	100
DETERMINATION X:	ALLOWANCE AND GRANTS FOR PRACTICE IMPROVEMENTS	105
DETERMINATION XI:	QUALITY IMPROVEMENT ALLOWANCES	116
DETERMINATION XII	REMOTE AREAS ALLOWANCES	119
DETERMINATION XIII	RECRUITMENT AND RETENTION ALLOWANCES	122
DETERMINATION XIV	PRACTICE ALLOWANCES	127

DETERMINATION XV	REIMBURSEMENT OF PRACTICE EXPENSES	133
DETERMINATION XVI	ENHANCED SKILLS ALLOWANCES	140

# **Statement of Dental Remuneration**

# DETERMINATION I SCALE OF FEES — 1 November 2022

# **Section I** — **Interpretation**

1.—(1) In Sections I to XIV of this Scale of Fees unless the context otherwise requires

"an emergency" means in relation to item 60 only treatment required in response to trauma and/or urgent removal of a tooth;

"assigned" in relation to a care home has the meaning given by regulation 2(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010;

"the Board" means the Scottish Dental Practice Board;

"the CSA" means the Common Services Agency for the Scottish Health Service constituted under Section 10 of the NHS (Scotland) Act 1978;

"care home" has the meaning given by regulation 2(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010;

"consultant" means a medically and/or dentally qualified person who holds an appointment as an NHS Consultant or as an Honorary Consultant;

"contractor" means a person who has undertaken to provide general dental services and whose name is included in sub-part A of the first part of a Health Board's dental list;

"domiciliary care dentist" has the meaning given by regulation 2(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010;

"evidence" means a past history of either extractions or decay into dentine;

"month" means a calendar month and, unless specified to the contrary, payments in respect of such periods shall be made pro rata;

"patient's charge" means the charge, authorised under the National Health Service (Dental Charges) (Scotland) Regulations 2003, to the patient within the meaning of those Regulations;

"repairing a fixed appliance" means in respect of item 32(c)(4) only the replacement of two or more brackets, bands, archwires or auxiliaries that have been broken, damaged beyond repair or lost but not including items cut, damaged or removed by the dentist.

"routine fixed appliance maintenance" means in respect of items 32(a)(2) and (3):

- the placement, adjustment, removal or removal and replacement of archwires, ligatures, brackets, elastic modules, bands, auxiliaries or any other components of fixation which are similar and/or perform the same or a similar function for the purpose of promoting the objectives of the treatment plan;
- the adjustment of archwires with or without removal of these from the mouth and/or replacement of archwires for the purpose of promoting the objectives of the treatment plan or for alleviating pain and discomfort of the patient;
- the placement, changing, replacing or removal of elastic chains, coil springs, torqueing, rotational or uprighting auxiliaries or any similar component which performs the same or a similar function to promote the objectives of the treatment plan;

- the setting up and maintenance of either intramaxillary or intermaxillary traction or both types of traction for the purpose of promoting the objectives of the treatment plan;
- the replacement, refixing or recementing of one bracket, band, archwire or auxiliary in one arch, if broken, damaged, lost or displaced as well as elastic and/or steel ligatures associated with a single de-bonded attachment;
- adjustment to or replacement of components for the correction of rotations, torque, arch co-ordination, active space closure, uprighting and root paralleling as part of the overall treatment plan.

"trauma" means damage to teeth, gingival tissues or alveoli caused by a force arising outside the mouth resulting in mobility, luxation, sub-luxation or fracture of the hard tissues or injury to the soft tissues, but excludes in the case of treatment for which a dentist is remunerated in accordance with Section X of this Determination tooth fracture which only involves enamel or enamel and dentine in the incisal two-thirds of the clinical crown of the tooth; and

"the same dentist" means:

 the dentist	, or
the dentis	ı, OI

- the partner or principal of the dentist, or
- any party to an associateship agreement to which the dentist is a party, or
- any other dentist with whom there are any financial arrangements connected with the provision of general dental services, or the partner, associate, deputy, or assistant of any of them, or
- any other dentist employed as a salaried dentist by the same Health Board, or
- where the dentist has any form of financial or legal relationship or arrangement with a body corporate, another dentist with such a relationship or arrangement with that body.

"urgent dental care" means where malignancy is suspected or there is acute and intractable pain, sepsis or trauma.

- Where an item [or sub-item] of treatment (including its provisos) is distinguished by an asterisk, it is an item of treatment for which the prior approval of the Board is required by virtue of Schedule 1 and Schedule 4 of the National Health Service (General Dental Services) (Scotland) Regulations 2010.
- (3) Where the word "normally" is applied to a treatment, a dentist may provide treatments in excess of the number specified or in circumstances other than those specified but the Board may require the dentist to give reasons for the treatments.
- (4) A reference to a "patient aged 18 years or over" includes a patient who is accepted for continuing care at any time during the month before he attains the age of 18 provided that he is not immediately before that date under a capitation arrangement with that dentist; and, in all cases, patients' age shall be counted at the beginning of the appropriate course of treatment.
- (5) Wherever there is a requirement to take or provide radiographs, this shall not apply for patients whose medical or other condition contra-indicates radiographic examination or where the dentist is prevented from carrying out a radiographic examination by reason of the physical characteristics of the patient.
- (6) Where an item [or sub-item] of treatment code is distinguished by [TS], it is a Tooth Specific item of treatment. For claim submission of these items, tooth notation must be provided.
- (7) Any reference to radiograph, photographs and study models includes a digital equivalent.

- (8) The payments which may be made by the Board in accordance with this Statement of Dental Remuneration are without prejudice to—
  - (a) the power of a Health Board under section 28D (Assistance and support: general dental services) of the National Health Service (Scotland) Act 1978 to provide assistance and support, including financial support, to providers (or prospective providers) of general dental services,
  - (b) the ability of a Health Board to offer financial support to providers of general dental services in accordance with the financial support measures set out in PCA(D)(2020)7 dated 2 April  $2020^1$  and PCA(D)(2022)3 dated 2 March  $2022.^2$

COVID-19 - Revised Financial Support Measures,

PCA(D)(2020)7 publis

published

https://www.sehd.scot.nhs.uk/PCA2020(D)7.pdf

Recovery of NHS Dental Services: Revises

<sup>&</sup>lt;sup>2</sup> Recovery of NHS Dental Services: Revised Payment Arrangements, PCA(D)(2022)3 published at <a href="https://www.sehd.scot.nhs.uk/pca/PCA2022(D)03.pdf">https://www.sehd.scot.nhs.uk/pca/PCA2022(D)03.pdf</a>

# Section II — Diagnosis

1. manage		nation and Report: Fees for clinical examination, treatment planning, patient advice (including the issue of a prescription other than in connection with item 37
[treatm	nent urge	ently required for acute conditions]) and report:
	1(a)	This item has been deleted
	1(b)	This item has been deleted
	1(c)	Full case assessment (including full charting and report of periodontal status), treatment planning and report:
		(i) in connection with item 10(c) [non-surgical treatment of chronic periodontal disease] where a report on periodontal status is required, including full pocket depth charting of each standing tooth; or
		(ii) in cases involving items 18 [bridges] and, where the treatment is of special complexity, 16 [veneers], 17 [inlays and crowns] and in dentate cases involving items 27(b) and 27(c) [provision of dentures]; or
		(iii) in orthodontic cases other than in respect of treatment involving only the extraction of deciduous teeth; or
		(iv) where the treatment required carries a total fee exceeding £600:
0121	]	Dentist's Patient's Fee Charge  per course of treatment £32.25 (£0.00)
	1(d)	Provision of a care and treatment summary for a patient, other than a patient only accepted for occasional treatment, when requested by a patient/parent who has indicated an intention to change dentist, showing information about the patient's dental health and/or treatment which would not be readily available from visual examination:
0131	]	per report $\boxed{£5.05}$ (£4.04)
	1(e)	Clinical examination, including any review between examinations, by a domiciliary care dentist for patients aged 18 or over resident in an assigned care home to include examinations, advice, charting (including charting of periodontal status) and report including the examination of a patient in connection with trauma, where the patient is in a continuing care arrangement with the domiciliary care dentist:
0141	]	per examination $\boxed{£34.10}$ (£0.00)
	1(f)(i)	Extensive clinical examination, advice, charting (including assessment and recording of any malocclusion and charting of periodontal status), and report.

7

Medical history update, relevant dental history, charting of missing/present teeth, soft tissue examination, caries risk, basic periodontal examination and/or periodontal charting, oral hygiene status, any relevant non-carious tooth surface loss, information

To include:

regarding habits (behavior and dietary, to include tobacco/smoking and alcohol use).

1(f)(ii) Extensive clinical examination (orthodontics)

Diagnostic orthodontic assessment prior to undertaking, and as part of a course of active orthodontic treatment under Item 32.

1(g) Clinical examination for the purpose of orthodontic follow-up appointment.

 $\boxed{0171} \qquad \qquad \text{per examination} \boxed{\$10.40} \quad (\$0.00)$ 

1(h) Clinical examination where urgent attention and advice are required in connection with trauma.

#### Non-Orthodontic Provisos to Item 1:

Where fees are claimed on form GP 17 (or electronic equivalent) in connection with non-orthodontic treatment:

- 1. no fees under item 1 shall be payable for examination of a group of patients in a school or institution;
- 2. only one examination fee shall be payable to, or in respect of, the same dentist during the same course of treatment;
- 3. no fee shall be payable under item 1(f)(i) for an examination of a patient for whom the same dentist:
  - (i) was paid, or in respect of which the same dentist was paid, or is entitled to be paid, a fee under items 1(a), 1(b), 1(c), or 1(f)(i) for an examination during the previous 5 complete calendar months, or
  - (ii) was paid, or in respect of which the same dentist was paid, or is entitled to be paid, a fee under item 32 [orthodontic treatment];
- 4. in the case of a patient who is pregnant or has borne a child in the previous 12 complete calendar months and who is not edentulous, a fee under item 1(f)(i) shall be payable for an examination during the period of pregnancy and 12 months after confinement, in addition to the fees which may otherwise be payable and such treatment may be provided at any time during this period;
- 5. no fee shall be payable under item 1(c) for an examination of a patient for whom the same dentist was paid, or in respect of which the same dentist was paid, or is entitled to be paid, a fee under item 1(c) for an examination during the previous 23 complete calendar months, other than where the dentist is claiming under item 1(c)(iv);
- 6. no fee shall be payable under items 1(c) or 1(f)(i) for an examination of a patient for whom the domiciliary care dentist receives, or is entitled to receive, a payment under item 1(e).

7. no fee shall be payable under item 1(e) for an examination of a patient for whom the same dentist was paid, or in respect of which the same dentist was paid, or is entitled to be paid, a fee under item 1(e) for an examination during the previous 5 complete calendar months.

#### **Orthodontic Provisos to Item 1:**

Where fees are claimed on form GP 17(O) (or electronic equivalent) in connection with orthodontic treatment only:

- 1. a fee under items 1(c), 1(f)(ii), or 1(g) shall be payable only to, or in respect of, the dentist responsible for orthodontic treatment (see Note);
- 2. only one examination fee shall be payable to, or in respect of, the same dentist during the same course of treatment;
- 3. no fee shall be payable under item 1(f)(ii) for an examination of a patient for whom the same dentist was paid, or in respect of which the same dentist was paid, or is entitled to be paid, a fee under items 1(a), 1(b), 1(c), 1(f)(ii), or 1(g) during the previous 23 complete calendar months;
- 4. no fee under item 1(g) shall be payable for an examination of a patient for whom the same dentist was paid, or in respect of which the same dentist was paid, or is entitled to be paid, a fee under items 1(a), 1(b), 1(c), 1(f)(ii), or 1(g) the previous 5 complete calendar months;
- 5. no fee under item 1(c) shall be payable for an examination of a patient for whom the same dentist was paid, or in respect of which the same dentist was paid, or is entitled to be paid, a fee under item 1(c) during the previous 23 complete calendar months;
- 6. where a patient is receiving a course of orthodontic treatment no fee under items 1(c) or 1(g) shall be payable where no permanent teeth are present;
- 7. no fee under item 1(c) shall be payable unless study casts, and radiographs where appropriate, are available:
- 8. where orthodontic treatment only is provided any claim under items 1(c), 1(f)(ii), or 1(g) must be made on form GP 17(O);
- 9. when the dentist who will be providing the orthodontic treatment examines the patient on the first occasion and considers that active treatment will not be required for at least 6 months, a fee under item 1(f)(ii) would be payable on completion of a GP17(O). The reason why orthodontic treatment is not being provided at that time should be given;
- 10. no fee shall be payable under item 1(c) for the examination of a patient for whom the same dentist was paid, or in respect of which the same dentist was paid, or is entitled to be paid, a fee under items 1(f)(ii) or 1(g) during the previous 5 complete calendar months.

*NOTE:* Where a dentist is responsible for a patient's general care and undertakes orthodontic treatment, claims under item 1(c) on form GP 17(O) shall not preclude concurrent claims under item 1(f)(i) on form GP 17.

#### 2. **Procedures to Assist Diagnosis and Treatment Planning:** Fees per course of treatment:

- 2(a) Radiographic examination and radiological report to include in the case of item 2(a)(5) tracing and analysis:
  - (1) small films (under 16 square centimetres):

	Dentist's Patient's Fee Charge
	1 film $\boxed{£5.05}  (£4.04)$
	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$
0201	each additional film $\pounds 2.30$ $(£1.84)$
0201	up to a maximum for additional films £21.05 (£16.84)
	(2) medium films (16 to 50 square centimetres):
	1 film $\boxed{£6.75}$ (£5.40)
0202	each additional film $\pounds 2.85$ $(\pounds 2.28)$
0202	up to a maximum for additional films £6.00 (£4.80)
	(3) large films (over 50 square centimetres, other than panoral or lateral skull films):
	Dentist's Patient's Fee Charge
	1 film $\boxed{£10.65}$ (£8.52)
0202	each additional film $\boxed{£5.05}$ $(£4.04)$
0203	up to a maximum for additional films <b>£10.15</b> ( <b>£8.12</b> )
	(4) panoral film normally not more than one film to be taken every 3 years:
0204	per film $\boxed{£15.80}$ (£12.64)
	(5) lateral headplates, using films of over 430 square centimetres: in connection
	with orthodontic treatment using a cephalometric technique, no more than 2 films per patient including those taken on the instruction of any other dentist:
0205	per film $\boxed{£23.25}$ (£18.60)
	in other cases, normally not more than 1 film per patient:
0206	per film $\boxed{£18.85}$ (£15.08)
2(b)	Study casts, where the treatment proposed is in connection with treatment under items 18 [bridges], or 32 [orthodontics], or in other cases of special complexity, or where requested by the Board:
0211 0212	per set
0213	per single cast $£11.25$ $£9.00$
	Additional fee for occlusal analysis or in connection with treatment under items 17 [crowns], 18 [bridges], 27 [dentures], 29 [appliances], or 36(c) [occlusal equilibration] which requires the use of an adjustable articulator:
0221	such fee as the Board may determine

2(c) Duplication of panoral films and lateral headplates:

	Ι	Dentist's	Patient's
	<u>_ F</u>	Fee	Charge
0207	per duplicate film	£8.15	(£0.00)

#### **Provisos to Item 2:**

- 1. In cases of orthodontic treatment, a fee under item 2(b) shall only be payable:
  - where the dentist is responsible for orthodontic treatment and a fee under items 1(g) [clinical examination] or 1(f)(ii) [extensive clinical examination] is payable and the patient has permanent teeth and no fee has previously been paid under this item; or
  - where a fee under item 1(c) [full case assessment] is also payable; or
  - where casts are required by a hospital in respect of a patient referred for advice or treatment; or
  - where casts are required by the Board;
- 2. where the patient is under 18 years of age no more than 2 fees shall be payable for radiographs under item 2(a)(4) unless the prior approval of the Board is obtained;
- 3. a fee under item 2(c) shall only be payable to a dentist accepting orthodontic referrals from another dentist;
- 4. no fee under item 2(b) shall be payable for a digital equivalent of a duplicate study cast.
- 3. **Colour Photographs:** Fees for clinical colour photographs in connection with treatment under items 10 and 11 [periodontics], 16 [veneers], 17 [crowns], 18 [bridges] and 32 [orthodontics], normally before treatment and where a fee under item 1(a), 1(b), 1(c), 1(f)(i), 1(f)(ii), or 1(g) [examinations] is payable or has been paid under the same course of treatment:

		Dentist's Fee	Patient's Charge
	1 film	£5.05	(£4.04)
	each additional film	£2.55	(£2.04)
0301	up to a maximum for additional films	£5.30	(£4.24)

- 4. UNALLOCATED.
- 5. UNALLOCATED.

## Section III — Preventive Care

Intensive Instruction in the Prevention of Dental Disease: Including advice on diet and on oral hygiene techniques, and normally requiring more than 1 visit per course of treatment:

0601

per course of treatment | £11.25 | (£9.00)

#### **Proviso to Item 6:**

No fee under this item shall be payable where a fee under this item or under items 7(b) [topical fluoride] or 10(a), 10(b) or 10(c) [periodontics] has been paid to, or in respect of, or is payable to, or in respect of, the same dentist for treatment provided during the previous 5 complete calendar months in the same or a previous course of treatment.

#### 7. **Surface Applications as Primary Preventive Measures:**

7(a)Application of fissure sealants as a primary preventive measure to pits and fissures, of unfilled third molar teeth within 2 years of their eruption:

0701 [TS] per tooth £10.15 (£8.12)

7(b)Application of topical fluoride preparations to all teeth for patients who, because of their exceptional medical or dental conditions or because of their behavioural or habitual problems, show evidence of a high risk of rapidly advancing dental caries. Treatment normally requiring not less than three visits:

0711

per course of treatment

£48.15 (£38.52)

#### **Provisos to Item 7:**

- 1. a fee under item 7(b) shall only be payable in connection with treatment under items 10(a), 10(b) or 10(c) [periodontics] or where a fee under items 10(a), 10(b) or 10(c) is payable, or has been paid, to, or in respect of, the same dentist during the previous 3 complete calendar months, except where such treatment under item 7(b) is provided on referral or where a fee is payable, or has been paid, to the dentist under item 1(e);
- 2. no fee shall be payable under item 7(b) where the same dentist has been paid, or in respect of which the same dentist has been paid, or is entitled to be paid, a fee for treatment provided under this item during the previous 5 complete calendar months.
- 8. UNALLOCATED.
- 9. UNALLOCATED.

## Section IV — Periodontal Treatment

#### 10. **Non-Surgical Treatment**

10(a) Scaling, polishing and simple periodontal treatment, including oral hygiene instruction, normally only payable where at least two complete calendar months have elapsed since the last such treatment:

Dentist's Patient's Fee Charge

1001 per course of treatment £16.15 (£12.92)

10(b) Treatment of periodontal disease requiring more than one visit, including oral hygiene instruction, scaling, polishing and marginal correction of fillings:

1011 per course of treatment £39.25 (£31.40)

10(c) Non-surgical treatment of chronic periodontal disease, including oral hygiene instruction, over a minimum of three visits, with not less than one month between the first and third visit, and with re-evaluation of the patient's condition (to include full periodontal charting) at a further visit not less than two complete calendar months after active treatment is complete. Treatment to include root-planning, deep scaling and, where required, marginal correction of restorations, irrigation of periodontal pockets, sub-gingival curettage and/or gingival packing of affected teeth, and all necessary scaling and polishing:

with 1–4 affected teeth treated under this item with 5–9 affected teeth treated under this item with 10–16 affected teeth treated under this item with 17 or more affected teeth treated under this item with 180.95 (£40.04) (£48.92) (£57.84) (£57.84)

Additional fee payable in connection with item 10(c) for each sextant of the mouth treated:

1022 per sextant £10.15 (£8.12)

10(d) This item has been deleted.

\*10(e) Splinting of periodontally compromised teeth:

1041

#### such fee as the Board may determine

## **Provisos to Item 10:**

1021 [TS]

- 1. only one fee under any one of items 10(a), 10(b) or 10(c) shall be payable during the same course of treatment;
- a fee under items 10(a) or 10(b) shall only be payable either in connection with treatment under items 1(c) [examination] or 1(f)(i) [extensive clinical examination] or where a fee under items 1(a), 1(b), 1(c), or 1(f)(i) is payable or has been paid to, or in respect of, the same dentist, or to any other dentist who has taken over responsibility for the care and treatment of the patient, including the records of the patient, during the previous 11 complete calendar months;
- 3. no fee under items 10(b) or 10(c) shall be payable if a fee has been paid, or is payable, to, or in respect of, the same dentist for treatment provided under items 10(b) or 10(c) during the previous 9 complete calendar months;

- 4. a fee under item 10(c) shall only be payable where the same dentist has been paid, or in respect of which the same dentist has been paid, or is entitled to be paid, a fee for treatment provided under item 1(c) [full case assessment] for that patient in the previous 23 complete calendar months;
- 5. a fee under item 10(c) shall only be payable where appropriate radiographs are available.

### 11. Surgical Treatment:

11(a) Gingivectomy, gingivoplasty and other similar procedures, with post-operative instruction and management:

			Dentists	Patient s
	_		Fee	Charge
1101	upper	in each surgical procedure, the first	£27.60	(£22.08)
1102	lower	two adjacent teeth in one jaw		
1103		additional teeth - per tooth	£6.15	(£4.92)
	-	up to a maximum per visit	£63.35	(£50.68)

11(b) Raising and replacement of a mucoperiosteal flap inclusive of any necessary curettage, root planing and bone resection, and including post-operative management and instruction:

1111	upper in each surgical procedure, the firs	£61.15	(£48.92)
1112	lower two adjacent teeth in one jay	v	_
1113	additional teeth - per toot	£8.30	(£6.64)
	up to a maximum per visi	£109.30	(£87.44)

Additional fee for each sextant of the mouth treated in the same jaw, under either item 11(a) or 11(b) alone or in combination:

1100 per sextant £10.15 (£8.12)

1(c) Free gingival grafts including raising tissue from a donor site and preparation of a recipient site, with post-operative instruction and management and normally with not more than 2 grafts per course of treatment:

1121 per graft £98.40 (£78.72)

Simple excision of soft tissue to lengthen crowns in connection with items 16 [veneers], 17 [crowns], 18 [bridges], 27 [dentures] and/or 29 [obturators] and normally with not more than two teeth treated:

\*11(e) Other periodontal surgery:

such fee as the Board may determine

#### **Provisos to Item 11:**

1. no fee shall be payable under items 11(a), 11(b), 11(d) or 11(e) unless appropriate radiographs are available;

- 2. no fee shall be payable under items 11(a), 11(b), 11(c) or 11(e) unless a fee under items 10(a), 10(b) or 10(c) [non-surgical periodontal treatment] has been paid to, or in respect of, the same dentist during the previous 11 complete calendar months, except where such treatment under items 11(a), 11(b), 11(c) or 11(e) is provided on referral.
- 12. UNALLOCATED.
- 13. UNALLOCATED.

# **Section V** — **Conservative Treatment**

		<b>nent Fillings:</b> Including any dressings, pulp capping, or other preparatory treatment, ssociated treatment appropriate to item 15 [endodontics]:
	14(a)	Amalgam fillings in permanent or retained deciduous teeth:
		(1) 1 surface:
		Dentist's Patient's
1401	TS]	$\begin{array}{c} \text{Fee} & \text{Charge} \\ \text{per tooth} & \boxed{£11.00} & (£8.80) \end{array}$
		(2) 2 or more surfaces:
1402	[TS]	per tooth <b>£16.15</b> (£12.92)
		2 or more surfaces where the mesio-occlusal or disto-occlusal surfaces are involved:
1403	[TS]	per tooth <b>£21.35</b> (£17.08)
		(4) 3 or more surfaces where the mesio-occlusal and disto-occlusal surfaces are involved:
1404	[TS]	per tooth £28.20 (£22.56)
	14(b)	Tunnel restorations in permanent or retained deciduous teeth, undertaken to conserve sound tooth structure as an alternative to treatment under items 14(a)(3) or 14(a)(4), involving the use of glass ionomer with composite materials or amalgam to restore the occlusal surface:
1411 1412	[TS] [TS]	per filling $ \begin{array}{ c c c c c c c c c c c c c c c c c c c$
	14(c)	Other fillings in permanent or retained deciduous teeth:
		(1)(i) in permanent teeth:
		composite resin or synthetic resin filling, including acid etch retention:
1421 1420	[TS] [TS]	1 filling $\boxed{£20.80}$ (£16.64) 2 or more fillings in the same tooth $\boxed{£32.25}$ (£25.80)
-		Additional fees for a restoration involving:
1422	[TS]	1 incisal angle (mesial or distal) £6.75 (£5.40)
1423 1424	[TS] [TS]	incisal edge not involving incisal angle $\begin{array}{c c} £1.35 \\ \hline 2 \text{ incisal angles (mesial and distal)} \end{array}$ $\begin{array}{c c} £1.35 \\ \hline £11.00 \\ \end{array}$ (£1.08)
		Additional fee for restoration of a cusp tip of a premolar or a buccal cusp tip of a first molar tooth:
1425	[TS]	per tooth <b>£15.80</b> (£12.64)

		filling, including any dressing, pulp capping and pin or screw or acid etch retention and other preparatory treatment:
1428 [	TS]	Dentist's Patient's Fee Charge per tooth £10.15 (£8.12)
		(2) glass ionomer, silicate or silico-phosphate filling:
	[S]	1 filling $\begin{array}{ c c c c c c c c c c c c c c c c c c c$
14	l(d)	Pin or screw retention in connection with treatment under items $14(a)(2)$ , $14(a)(3)$ , $14(a)(4)$ or $14(c)$ :
1431 [T	S]	per tooth £8.60 (£6.88)
14	l(e)	This item has been deleted.
*1	4(f)	Additional fee for patients with an exceptional medical condition for the replacement of one or more amalgam fillings or the provision of a restoration in permanent or retained deciduous teeth, where a fee is also payable under items $14(c)(1)$ or $14(c)(2)$ and where the request for approval is supported by an appropriate consultant's report:
1452 -1454		such fee as the Board may determine
14	l(g)	Treatment of any surface of a permanent tooth using glass ionomer cement, where the tooth would otherwise be extracted but this is contra-indicated by exceptional medical or dental conditions:
	[S]	$\begin{array}{c c} \text{per filling} & \underline{\$18.85} \\ \text{maximum per tooth} & \underline{\$28.00} & (\$15.08) \\ \end{array}$
14	l(h)	Treatment of early or small carious lesions in pits and fissures of permanent or retained deciduous teeth by the application of a fissure sealant to all pits and fissures, following removal of any enamel caries or defects using appropriate preparation methods:
1481 [T	S]	with sealant only £10.15 (£8.12)
14	l(i)	Treatment of carious lesions in the pits and fissures of permanent or retained deciduous teeth, followed by restoration of resulting cavity by insertion of composite resin and sealing of all pits and fissures:
1482 [T	S]	with insertion of composite resin $\boxed{£14.25}$ (£11.40)
14	ŀ(j)	Treatment of carious lesions in the pits and fissures of permanent or retained deciduous teeth, followed by restoration of resulting cavity by insertion of glass ionomer and composite resin and sealing of all pits and fissures:
1483 [T	S]	with insertion of glass ionomer followed by composite £21.35 (£17.08) resin

(1)(ii) in retained deciduous teeth:

#### **Provisos to Item 14:**

1. where more than one claim is made under item 14(a) for the same tooth in the same course of treatment, the higher fee only shall be paid;

1470

[TS] 2. no fee in excess of £39.25 shall be payable for any combination of treatment in one tooth under items 14(a), 14(b), 14(c), 14(h), 14(i) and 14(j), except where an additional fee would be payable under item 14(c)(1) or 14(d) when the maximum

1471 [TS] shall be £43.75:

- 3. no fee shall be payable under item 14(h), 14(i) or 14(j) where a fee is payable under items 14(a)(2), 14(a)(3) or 14(a)(4) in respect of the same tooth;
- no fee shall be payable under items 14(c)(1) or 14(c)(2) where the filling involves the occlusal 4. surface of a molar or premolar tooth, except as in 14(f), 14(h), 14(i) and 14(j);
- no fee shall be payable under item 14(g) where a fee is payable under items 14(a), 14(b), 5. 14(c), 14(f), 14(h), 14(i) or 14(j) in respect of the same tooth;
- \*6. no fee shall be payable under item 14(h) in any one course of treatment for five or more restorations unless the prior approval of the Board has been obtained and radiographs are available where appropriate;
- 7. no fee for the same item shall be payable under item 14(a), 14(b), 14(c), 14(d), 14(f), 14(g), 14(h) 14(i) or 14(j) to repair or replace a filling where the same dentist provided the same restoration on the same tooth within the previous 11 complete calendar months unless the repair or replacement is required as a result of trauma.
- 15. Endodontic Treatment: Including opening root canal(s) for drainage, pulp extirpation, incision of an abscess and any necessary dressings and all other preparatory treatment and attention in connection therewith, except for treatment appropriate to items 2 [diagnosis], 14 [fillings], 16 [veneers], 17 [inlays and crowns], 18 [bridges] or 25 [sedation] and, except for the dressing or temporary protection of a tooth, where the permanent restoration is not provided:
  - 15(a) Root filling of each root canal of a permanent tooth with a permanent radio-opaque filling material, normally not more than three teeth being treated under this item in a course of treatment:

		Dentist's	Patient's
		Fee	Charge
1501	[TS] per incisor or canine too	th £59.45	(£47.56)
1502	[TS] per upper premolar too	th £81.05	(£64.84)
1503	[TS] per lower premolar too	th £70.20	(£56.16)
1504	[TS] per molar too	th £124.45	(£99.56)

15(b) Amputation of a vital pulp of a permanent tooth with an incomplete apex, consisting of removal of the coronal portion of the pulp and including any necessary dressing:

1511 | [TS] per tooth £23.50 (£18.80) 15(c) Apicectomy of a permanent tooth:

				Dentist's Fee	Patient's Charge
1521		[TS]	per incisor or canine tooth	£52.55	$\begin{array}{ c c }\hline (£42.04)\end{array}$
1522		[TS]	per premolar tooth	£72.30	(£57.84)
1523		[TS]	buccal root(s) of upper molar teeth per tooth	£85.10	(£68.08)
1531	&1534	all other roots	such fee as the Board may determine		
	Additi	onal fee for retro	ograde root filling of a permanent tooth:		
1541	[TS]		per tooth [	£11.25 (£	9.00)
	15(d)	Endodontic tre	eatment of a retained deciduous tooth:		
1551	] [TS]		per tooth 4	£29.40 (£	23.52)

#### Proviso to Item 15:

- 1. no fee shall be payable under item 15 unless appropriate radiographs are available,
- 2. no fee shall be payable under item 15(a) or 15(d) to repair or replace a root filling where the same dentist provided the original root filling to the same tooth within the previous 11 complete calendar months unless the repair or replacement is required as a result of trauma.

#### 16. **Porcelain Veneers:**

16(a) Facing or refacing a permanent upper tooth anterior to the first premolar, normally for patients aged 17 years or over, and including acid etch retention, other necessary preparation of the enamel and temporary coverage of the tooth, but excluding associated treatment appropriate to item 15 [endodontics]:

per tooth | £18.60 | (£14.88)

1601 1600	[TS]	per tooth $\frac{£131.30}{£10.15}$ (£105.04) additional fee for first or only tooth treated $\frac{£10.15}{£10.15}$	
	16(b)	Refixing or recementing a porcelain veneer including all associated attention:	

#### **Proviso to Item 16:**

1602 | [TS]

No fee shall be payable under item 16(b) where a fee is payable under item 16(a) in respect of the same tooth during the same course of treatment.

- 17. **Inlays and Crowns:** In permanent teeth and in retained deciduous teeth, normally for patients aged 17 years or over, inclusive of all preparation in connection therewith, including dressings, pulp capping, pins incorporated in castings, cores fabricated in the mouth and temporary coverage during construction, but excluding associated treatment appropriate to item 15 [endodontics]:
  - 17(a) In alloys containing 60% or more fine gold:
    - (1) inlays or pinlays:

		Dentist's Patient's Fee Charge
	TS]	1 surface cavity $£85.10$ (£68.08)
	IS] IS]	2 surface cavity $\begin{array}{ c c c c c c c c c c c c c c c c c c c$
	TS]	3 or more surface cavity £159.60 (£127.68)
(2) T	his ite	m has been deleted.
(3) T	his ite	m has been deleted.
17	7(b)	In cast alloys:
		(1) full or three quarter crown cast in precious metal alloy:
1711	[TS]	$\mathbf{per\ crown}  \boxed{£142.15}  (£113.72)$
		(2) full or jacket crown cast in non-precious metal alloy:
1712	TS]	per crown £109.30 (£87.44)
17	7(c)	Porcelain jacket crown:
<b>1716</b> [7]	TS]	per crown $\boxed{£107.00}$ (£85.60)
17	7(d)	Bonded crowns on teeth anterior to the first molar:
		(1) full or jacket crown cast in an alloy of fine gold or precious metal, with thermally bonded porcelain per crown:
1721	TS]	per crown £162.95 (£130.36)
		(2) full or jacket crown cast in non-precious metal alloy, with thermally bonded porcelain:
1722	TS]	per crown £146.40 (£117.12)
		(3) porcelain jacket crown thermally bonded to wrought platinum coping:
1723	TS]	per crown £124.60 (£99.68)
17	7(e)	Jacket crown in synthetic resin:
1726	TS]	per crown £87.30 (£69.84)
		Additional fee in connection with items 17(a)(1), 17(b), 17(c), 17(d) and 17(e) for the first or only inlay or crown in the same arch:
1700		per arch $\boxed{£10.15}$ (£8.12)
17	7(f)	Additional items when necessary for crowns or inlays under items 17(a), 17(b), 17(c), 17(d) or 17(e):
		(1) This item has been deleted.

		(2)	core and post both cast in metal alloy:		
				Dentist's Fee	Patient's Charge
1732	[TS]		per tooth	£45.30	(£36.24)
		(3)	core and post prefabricated in non-precious metal al	loy:	
1733	[TS]		per tooth	£23.50	(£18.80)
		(4)	pin or screw retention for a core fabricated in the mo	outh:	
1734	[TS]		per tooth	£11.25	<b>(£9.00)</b>
		(5)	provision of a facing with silicate, silico-phosphate, resin or composite resin:	glass ionome	er, synthetic
1735 1736	[TS] [TS]		per inlay per crown	£13.10 £18.30	(£10.48) (£14.64)
-		(6)	This item has been deleted.		
		(7)	laboratory produced dovetail or slot in the metal co the support and/or retention of a denture where the c within a reasonable period and normally not more th in one arch:	denture is to l	oe provided
1738	[TS]		per dovetail	£22.25	(£17.80)
		(8)	laboratory produced parallel metallic surface of a and/or retention of a denture where the denture is reasonable period:		
1739	[TS]		per crown	£20.80	(£16.64)
	17(g)		rary crown provided prior to the preparation of a ately necessary palliative:	permanent	crown as an
1742 1743	[TS] [TS]		other than post retained post retained	£18.85 £26.60	(£15.08) (£21.28)
	17(h)		al of a post fractured at or below a root face, necessit ting canal form:	ating the mo	dification of
1744	[TS]		per post	£20.60	(£16.48)
	*17(i)	Other f	orms of crown not included above:		
1752-17 1750	753		such fee as the Boa		ermine wn fee

17(j) Repair of an inlay or crown:

(1) renewal of a facing in silicate, silico-phosphate, glass ionomer, synthetic resin or composite resin:

	Dent	st's Patient's
	Fee	Charge
1761 [TS]	per inlay £13.	10 (£10.48)
1762 [TS]	per crown £15.	<b>50</b> (£12.40)

(2) other repair of a crown:

1771-1776 1770 such fee as the Board may determine not exceeding 70 per cent of the fee for a single or first new crown of the type being repaired 1st crown fee

17(k) Refixing or recementing an inlay or crown:

1781	[TS] per inlay	£13.65	(£10.92)
1782	[TS] per crown	£13.65	(£10.92)

#### Proviso to Item 17

No fee shall be payable under item 17(a), 17(b), 17(c), 17(d), 17(e), 17(f), 17(g), 17(h), 17(i), 17(j) or 17(k) to repair or replace an inlay, pinlay or crown where the same dentist provided the original inlay, pinlay or crown to the same tooth within the previous 11 complete calendar months unless the repair or replacement is required as a result of trauma.

- 18. **Provision of Bridges:** Normally for patients aged 17 years or over, normally at least 6 months after extraction and subject to satisfactory periapical condition of the abutment teeth, periodontal status, general standard of oral hygiene and occlusion. Fees are inclusive of all preparatory treatment in connection therewith, including dressing, pulp capping, pins incorporated in castings and cores fabricated in the mouth, but excluding associated treatment appropriate to item 2(b) [casts] and item 15 [endodontics]. Bridges should have an adequate retainer to pontic ratio: normally not more than one pontic per retainer in cantilever bridges, two pontics per retainer in fixed movable bridges and three pontics per two retainers in other bridges. Bridgework to replace molar teeth must be necessary for the stability of the occlusion:
  - 18(a) Retainers for other than acid etch retained bridges:
    - (1) cast in alloy containing 60% or more fine gold (but see proviso 5):

1801 [TS]  1802 [TS] 1803 [TS]		inlay or pinlay: compound cavity inlay or pinlay: compound confluent cavity or three-quarter crown full or jacket crown $ \begin{array}{c} \pounds 131.30 \\ \pounds 131.30 \\ \pounds 168.35 \\ \pounds 179.35 \\ \end{array} $
-	(2)	in other alloys (but see proviso 5)
1804 [TS] 1805 [TS]		full or jacket crown cast in precious metal $\frac{£153.95}{£120.35}$ (£123.16) full or jacket crown cast in non-precious metal $\frac{£153.95}{£120.35}$ (£96.28)
	(3)	porcelain, full or jacket crown:
1806 [TS]		per retainer <b>£131.30</b> (£105.04)

	(4)	bonded retainers:
		full or jacket crown cast in an alloy of fine gold or precious metal with thermally bonded porcelain:
		Dentist's Patient's Fee Charge
1807 [TS]		per retainer £172.35 (£137.88)
		full or jacket crown cast in an alloy of non-precious metal with thermally bonded porcelain:
1808 [TS]		per retainer <b>£157.20</b> (£125.76)
18(b)	Additi	ional items when necessary in connection with item 18(a):
	(1)	core and post both cast in precious metal alloy:
1811 [TS]		per abutment tooth <b>£46.65</b> (£37.32)
	(2)	core and post both cast in non-precious metal alloy:
1812 [TS]		per abutment tooth <b>£32.25</b> ( <b>£25.80</b> )
	(3)	core and post prefabricated in non-precious metal alloy:
1813 [TS]		per abutment tooth <b>£23.50</b> (£18.80)
	(4)	pin or screw retention for a core fabricated in the mouth:
1814 [TS]		per abutment tooth <b>£11.25</b> ( <b>£9.00</b> )
	(5)	laboratory produced dovetail or slot in the metal component of a retainer:
1815		such fee as the Board may determine
	(6)	laboratory processed composite facing in connection with full or jacket retainers under items 18(a)(1) or 18(a)(2) where the use of other types of retainer is clinically inappropriate:
1816 [TS]		per abutment tooth <b>£34.90</b> (£27.92)
18(c)	Pontic	cs (other than for acid etch retained bridges) constructed in:
	(1)	cast alloy containing 60% or more fine gold (but see proviso 5):
1821 [TS]		per unit $\boxed{£89.70}$ (£71.76)
	(2)	other alloys (but see proviso 5):

		(3)	porcelain:			
					Dentist's Fee	Patient's Charge
1824	[TS]		per	unit [	£65.50	(£52.40)
		(4)	bonded porcelain:			
			porcelain bonded to an alloy of fine gold or pred	cious n	netal:	
1825	[TS]		per	unit [	£98.00	(£78.40)
			porcelain bonded to an alloy of non-precious m	netal:		
1826	[TS]		per	unit [	£85.10	(£68.08)
		(5)	laboratory processed composite facing in connecting $18(c)(1)$ or $18(c)(2)$ where the use of other inappropriate:			
1827	[TS]		per	unit [	£35.10	(£28.08)
	18(d)	Acid et	ch retained bridges:			
		(1)	retainer in cast metal:			
1831	[TS]		per	unit [	£50.05	(£40.04)
		(2)	pontic in thermally bonded porcelain:			
1832	[TS]		per	unit [	£95.65	(£76.52)
	*18(e)	Bridge	constructed in units not contained in items 18(a)	,18(b),	18(c) or 1	8(d) above:
1841			such fee as t	he Boa	ard may de	termine
	18(f)	Tempo	rary bridge:			
		(1)	fabricated in the laboratory in cases where t retained for at least 3 months:	he tem	porary bri	dge is to be
1851	[TS]		per	unit [	£22.25	(£17.80)
		(2)	all other temporary bridges:			
1852	[TS]		per	unit [	£8.30	(£6.64)
	18(g)	Recem	enting or refixing:			
		(1)	an acid etch retained bridge:			
1861			per bri	idge [	£37.15	(£0.02)

(2) any other bridge:

Dentist's Patient's Fee Charge

1862

per bridge

£19.70

(£0.00)

18(h) Repairing a bridge:

#### 1871-1875

#### such fee as the Board may determine

18(i) This item has been deleted.

#### **Provisos to Item 18:**

- 1. no fee shall be payable for the provision of a bridge except where study casts and appropriate radiographs are available;
- 2. no fee shall be payable for more than one temporary bridge under items 18(f)(1) or 18(f)(2) per course of treatment per permanent bridge provided;
- 3. no fee shall be payable for an all-porcelain bridge of more than two units;
- 4. no fee shall be payable for the provision of an acid etch retained bridge in excess of a total of six units;
- \*5. no fee shall be payable for a bridge which includes all-metal units anterior to the premolars unless the prior approval of the Board is obtained;
- 6. no fee shall be payable under item 18(a), 18(b), 18(c), 18(d), 18(e), 18(f), 18(g) or 18(h) to repair or replace a bridge where the same dentist provided the original bridge involving the same teeth within the previous 11 complete calendar months unless the repair or replacement is required as a result of trauma.
- 19. UNALLOCATED.
- 20. UNALLOCATED.

# Section VI — Surgical Treatment

21(1) **Extractions of Permanent or Retained Deciduous Teeth**: Extractions and associated treatment:

Fee per course of treatment for extraction of:

	Dentist's	Patient's
	Fee	Charge
1 tooth	£10.15	(£8.12)
2 teeth	£18.30	(£14.64)
3 or 4 teeth	£28.20	(£22.56)
5 to 9 teeth	£37.15	(£29.72)
10 to 16 teeth	£50.05	(£40.04)
17 or more teeth	£61.15	(£48.92)

2101 [TS]

Additional fee for each visit for extraction, including the first:

2121 per visit £8.30 (£6.64)

21(2). **Extractions of Deciduous Teeth for Minors:** Extractions and other associated treatment:

Fee per course of treatment for extraction of:

1 tooth £10.15 (£0.00)2 teeth £18.30 (£0.00)3 or 4 teeth £28.20 (£0.00)5 to 9 teeth £37.15 (£0.00)10 to 16 teeth £50.05 (£0.00)17 or more teeth £61.15 (£0.00)

2102 [TS]

Additional fee for each visit for extraction, including the first:

- 22. **Extractions of Special Difficulty and Other Oral Surgery:** Extractions and other oral surgery not included in items 10 [periodontics], 15 [endodontics] and 21 [extractions]:
  - 22(a) Removal of buried root, unerupted tooth, impacted tooth or exostosed tooth, involving the raising and replacement of a surgical flap with any necessary suturing, including all associated attention except in connection with postoperative haemorrhage requiring additional visit(s):
    - (1) involving soft tissue only:

[2201] [TS] per tooth £28.20 (£22.56)

(2) involving bone removal for:

(i) incisors and canines:

2202 [TS] per tooth £39.25 (£31.40)

		(ii)	premolars, molars other than impacted third molars:
2203	] [TS]		Dentist's Patient's Fee Charge  per tooth £48.15 (£38.52)
		(iii)	impacted third molars not requiring division of roots or crowns:
	1		
2204 2206	upper jaw [T lower jaw [T		per tooth £50.05 (£40.04) per tooth £59.10 (£47.28)
		(iv)	impacted third molars requiring division of roots or crowns:
2205 2207	upper jaw [T lower jaw [T		per tooth
	22(b) Fraen	ectomy:	
2211	]		per course of treatment $\boxed{£39.25}$ (£31.40)
	*22(c) Other	oral surg	gery and more complex operations justifying higher fees:
2221-2	2229		such fee as the Board may determine
Provis	os to Item 22:		
1.	no fee shall be	payable	under item 22(a) unless appropriate radiographs are available;
2.	no fee shall b radiographs ar		e under item 22(c) where bone removal is involved unless appropriate le;
3.	where the price with the estimate		al of the Board is required, appropriate radiographs must be submitted
23.	Post-Operati	ve Care	<u> </u>
			arrest of abnormal haemorrhage, including abnormal haemorrhage nent provided otherwise than as part of general dental services:
	(1)	arrest	of haemorrhage, other than under items 23(a)(2) and/or 23(b):
2301			per visit $\boxed{£32.25}$ (£0.00)
	(2)	the ren	noval of plugs and/or sutures:
2302			per visit £10.15 (£0.00)
	23(b) Treati	ment of i	nfected sockets and/or other sequelae:
2311	]		1 visit £10.15 (£8.12) 2 or more visits £20.80 (£16.64)

#### Proviso to Item 23

A fee under item 23(a)(1) shall normally only be payable where this item of treatment does not immediately follow any other attendance at the surgery on the same day.

#### 24. This item has been deleted.

- 25. **Sedation:** Where, in the opinion of the dentist, any necessary treatment could not otherwise be provided because of a physical or mental handicap, or a form of mental illness requiring medical attention, or disproportionate dental anxiety:
  - 25(a) Administration of a single intravenous sedative agent or inhalation sedation, using a dedicated machine capable of delivering a fixed maximum level of nitrous oxide and a fixed minimum level of oxygen when providing inhalation sedation, by a doctor or a dentist other than the dentist carrying out the treatment to include any preliminary investigation and blood testing:
    - (1) in connection with treatment provided under only item 21 [extractions] or, for patients under capitation, in connection with extractions only. Fee per visit, where the number of teeth extracted at the visit is:

Dandiadla Dadiandla

		Dentist's	Patient's
		Fee	Charge
2551	1–4	£32.25	(£25.80)
2552	5–9	£37.15	(£29.72)
2553	10–16	£43.75	(£35.00)
2554	17 or more	£54.45	(£43.56)
2560	up to a maximum per course of treatment	£109.00	(£87.20)

(2) for patients in continuing care in connection with any items, whether or not including treatment under item 21 [extractions], except those items involving the provision of dentures or the preparation and fitting of veneers, inlays, crowns or bridge retainers. Fee per visit, where the cost of treatment carried out under sedation at that visit is:

2555	up to and including £10.00	£32.25	(£25.80)
2556	from £10.01 to £25.00	£59.10	(£47.28)
2557	from £25.01 to £50.00	£85.10	(£68.08)
2558	greater than £50.00	£109.00	(£87.20)

(3) for patients under capitation in connection with any treatment other than extractions only:

such fee as the Board may determine up to a maximum of £109.00

in connection with treatment which involves the preparation and fitting of veneers, inlays, crowns or bridge retainers:

such fee as the Board may determine up to a maximum of £109

25(b) Additional fee where the doctor or a dentist other than the dentist carrying out the treatment is called to the surgery where the dentist is to provide treatment in an emergency and where the distance travelled is:

		Dentist's	Patient's
		Fee	Charge
2566	less than 1.61 kilometres (1 mile)	£37.15	(£0.00)
2567	1.61 kilometres (1 mile) or more	£65.50	(£0.00)

- 25(c) Administration of a single intravenous sedative agent or inhalation sedation, using a dedicated machine capable of delivering a fixed maximum level of nitrous oxide and a fixed minimum level of oxygen when providing inhalation sedation, by and under the direct and constant supervision of the dentist carrying out the treatment:
  - (1) This item has been deleted.
  - (2) fee per patient visit, for administration by:

2573	inhalation	£15.50	(£12.40)
	supplement	£7.30	(£0.00)
2574	injection	£27.60	(£22.08)
	supplement	£9.55	(£0.00)

#### **Provisos to Item 25:**

- 1. no fee shall be payable under item 25(a)(1) where a fee is also payable under item 25(a)(2) for treatment provided at the same visit;
- 2. no fee shall be payable under item 25(b) where the attendance of the appropriately qualified dentist immediately precedes or follows any other attendance at that surgery on the same day.
- 26. UNALLOCATED.

# Section VII — Prostheses, Obturators and Other (Non-Orthodontic) Appliances

27. adjustm			<b>Dentures:</b> Including all necessary clasps, rests and strengtheners, and all ithin a reasonable period of time after completion:
	27(a)	Treati	ment provided prior to the provision of denture(s):
		(1)	provision of synthetic resin additions to the occlusal surface of existing dentures to restore the vertical dimension:
			Dentist's Patient's
2701	]		$\begin{array}{c c} & Fee & Charge \\ \hline per course of treatment & £22.25 & (£17.80) \end{array}$
		(2)	provision of a temporary base using auto-polymerising tissue conditioner to an existing denture where such lining is required because of the condition of the patient's alveolus:
2711 2712	upper lower		per application per denture per course of treatment $\frac{£29.75}{£59.05}$ (£23.80) (£47.24)
		(3)	This item has been deleted.
	27(b)	Provis	sion of dentures in synthetic resin, including all necessary backing and tagging:
		(1)	full upper and full lower dentures:
2730			per set <b>£223.40</b> (£178.72)
		(2)	full upper or full lower denture (one only):
2731 2732	upper lower		per denture £139.40 (£111.52)
		(3)	partial denture bearing:
2733 2735	upper lower		1, 2 or 3 teeth
		(4)	additional fee for stainless steel lingual or palatal bar:
2734			per unit $\boxed{£18.30}$ (£14.64)
	27(c) I		on of metal based dentures which may not be provided until such period after tion (normally not less than three months) as the dentist thinks fit:
		(1) fu	ll upper or full lower denture in chrome cobalt or stainless steel:
2741 2742	upper lower		per denture £197.00 (£157.60)

(2) plate design partial denture bearing: Dentist's Patient's Fee Charge £201.20 1, 2 or 3 teeth (£160.96)upper [TS] 4 to 8 teeth £220.75 (£176.60)2743 9 to 12 teeth £229.50 2747 lower [TS] (£183.60)(3)skeleton design partial denture with single connecting bar bearing: 2744 upper [TS] 1, 2 or 3 teeth | £212.15 (£169.72)2748 lower [TS] 4 or more teeth | £231.70 (£185.36)skeleton design partial denture with multiple connecting bars bearing: **(4)** 2745 £220.75 upper [TS] 1, 2 or 3 teeth (£176.60)2749 lower [TS] 4 or more teeth | £247.15 (£197.72)additional fee where teeth are backed: (5)per tooth £13.90 (£11.12)(£66.48)2746 [TS] up to a maximum per denture of £83.10 \*(6) This item has been deleted. 27(d)Additional fee per denture for the provision of a soft lining or soft partial lining other than one polymerised in the mouth where such lining is required on account of the condition of the patient's alveolus: 2761 upper 2762 per denture | £46.00 (£36.80)lower 27(e) Additional fee for the use of laboratory-constructed special trays for the taking of final impressions: upper per denture | £22.25 | (£17.80) 2772 lower 27(f) Additional fee for inclusion of a permanent patient-identification marker in a denture: 2781 upper 2782 lower per denture £7.10 (£5.68)**Proviso to Item 27:** No fee in excess of £277.20 shall be payable for any combination of treatment under items 27(b)(2) and/or 27(b)(3), except where treatment is also provided under items 27(b)(4), 27(d), 27(e) or 27(f) in which case these fees are payable in addition.

28. addition	_	s and	Alterations to Dentures: Including adjustments, relinings, rebasings and
	28(a)	Repair	s to dentures:
		(1)	repairing a crack or fracture, or renewal of gum (including provision of any strengthener), or refixing a tooth, or providing and fixing a replacement tooth (including any associated gum):
2801 2802	upper lower		Dentist's Patient's Fee Charge  1 repair $\pounds 21.10$ $\pounds 20.00$ each additional repair to the same denture $\pounds 7.30$ $\pounds 0.00$
		(2)	refixing a clasp, or providing and fixing a replacement clasp (including any associated gum):
2803 2804	upper lower		1 repair $ \frac{£30.50}{£14.90} $ (£0.00) each additional repair to the same denture $ \frac{£14.90}{£0.00} $
		(3)	other repairs. Fee appropriate to similar treatment under items 28(a)(1) and/or (2), together with an additional fee when attention to cast metal components is necessary:
2811			such fee as the Board may determine
		(4)	additional fee where impression technique is necessary in connection with one or more repairs under item 28(a) [repairs to dentures]:
2821 2822	upper lower		per denture £9.75 (£0.00)
	28(b)	Adjust	ments to dentures:
		(1)	adjusting a denture (including any easing and/or polishing) other than a denture provided, relined or rebased by the same dentist within the preceding 5 complete calendar months:
2831 2832	Upper Lower		per denture <b>£14.90</b> (£11.92)
		(2)	other adjustments. Fee appropriate to similar treatment under item $28(b)(1)$ , together with an additional fee where attention to cast metal components is necessary:
2841			such fee as the Board may determine
	28(c)	dentur	ng or rebasing dentures or provision or renewal of soft linings to existing es other than with a material polymerised in the mouth. Treatment to include astments needed within a reasonable period of time after completion:
		(1)	for relining or rebasing only:
2851 2852	upper lower		per denture £50.05 (£40.04)

(2) for relining or rebasing with the addition of a labial and/or buccal flange:

Dentist's Patient's Fee Charge

2853 upper | 2854 lower

per denture £56.95 (£45.56)

(3) for provision or renewal of soft lining or soft partial lining to an existing denture where such lining is required on account of the condition of the patient's alveolus:

2855 upper 2856 lower

per denture **£76.70** (£61.36)

28(d) Additions to dentures:

(1) addition of a clasp (including any associated gum):

2861 upper 2862 lower

per addition **£41.45** (£33.16)

(2) addition of a tooth (including any associated gum):

2863 upper [TS] 2864 lower [TS]

per addition **£34.90** (**£27.92**)

(3) addition of a new gum not associated with an addition under items 28(d)(1) or 28(d)(2):

2865 upper 2866 lower

per addition | £34.90 | (£27.92)

(4) other additions. Fee appropriate to similar treatment under items 28(d)(1) and/or 28(d)(2), together with an additional fee where attention to cast metal components is necessary:

2871

such fee as the Board may determine

#### Provisos to Item 28:

1. where combinations of repairs under items 28(a)(1) and 28(a)(2) are undertaken, the fee for one repair shall be at the highest appropriate fee for the single repair and the fee for each additional repair shall be £7.30;

2810 2820 **upper** 2. no fee in excess of £48.15 per denture shall be payable under items 28(a)(1)

**820 lower** and 28(a)(2);

- 3. no fee shall be payable under item 28(a)(4) [impressions] where a fee is also payable under items 28(c) [relining] or 28(d) [additions] for the same denture;
- 4. no fee in excess of £59.10 per denture shall be payable under item 28(d) or for any combination of treatment under items 28(a) and 28(d), except where treatment is also provided under items 28(a)(3) and/or 28(d)(4) in which case these fees are payable in addition.

	29(a)	9(a) Obturators. Fee per case, in addition to appropriate denture fee:		
2901		such fee as the Board may determine		
	29(b)	This item has been deleted.		
	29(c)	Splinting in connection with external trauma:		
		(1) provision of emergency splinting for luxated or mobile teeth consisting of cemented foil or other similar material designed to allow primary healing to take place:		
2921		Dentist's Patient's Fee Charge per splint £30.50 (£24.40)		
		(2) provision of acid etch retained composite splint:		
2922		per union up to a maximum per arch of $\frac{£22.25}{£80.95}$ (£17.80) (£64.76)		
		(3) additional fee for metal bar or wire insert in conjunction with treatment under item $29(c)(2)$ :		
2923		per splint $\boxed{£4.70}$ (£3.76)		
		(4) provision of laboratory fabricated heat cured acrylic splint designed to be retained normally for more than 6 weeks:		
2924		per unit $\frac{£26.05}{£91.70}$ (£20.84) up to a maximum of $\frac{£91.70}{£91.70}$		
		provision of cast metal splint designed to be retained normally for more than 3 months:		
2925		per unit		
		(6) provision of any other type of splint:		
2926		such fee as the Board may determine		
	29(d)	Provision of a laboratory processed heat-cured acrylic occlusal appliance normally covering the occlusal and incisal surfaces of all the teeth in one jaw and used for diagnostic and/or therapeutic purposes. There should normally be three months between the first and last visit. Fee to include all adjustments.		
2941		fee per appliance £107.00 (£85.60)		
	*29(e)	Treatment involving other appliances:		
2991		such fee as the Board may determine		

Obturators, Splints and Similar Appliances other than Orthodontic Appliances:

29.

\*29(f) Provision of a laboratory processed pressure-formed soft occlusal appliance normally covering the occlusal and incisal surfaces of all teeth in one jaw; used for diagnostic and/or therapeutic purposes, solely in connection with parafunctional clenching grinding of teeth and/or temporomandibular disorder.

29	42
	74

#### such fee as the Board may determine

#### **Proviso to Item 29:**

- 1. no fee shall be payable under item 29(d) unless study casts are available;
- 2. no fee shall be payable under item 29(f) where the same dentist was paid, or in respect of which the same dentist was paid, or is entitled to be paid, a fee under item 29(f) during the previous 11 complete calendar months.
- 30. UNALLOCATED.
- 31. UNALLOCATED.

# Section VIII — Orthodontic Treatment

32.		rthodontic Treatment: Including appliances constructed of suitable materials and any oral hygiene instruction and general patient management:			
	32(a)	Intra-oral appliances. Fee per appliance (including routine fixed appliance maintenance as necessary), where the treatment required for the permanent dentition is completed by the use of one or more of the following:			
		(1) removable spring and/or screw type ap	opliance:		
3201	Luppor			Dentist's Patient's Fee Charge	
3202	upper lower		per appliance	£155.15 (£124.12)	
		(2) simple fixed type appliance consisting	of no more tha	nn 6 attachments:	
3203 3204	upper lower	Į	er appliance [	£148.75 (£119.00)	
		(3) fixed multiband or multibracket applia active phase of treatment lasts 12 mon	•	only payable where the	
3205 3206	upper lower	I	er appliance	£435.10 (£348.08)	
		(4) functional appliance:			
3207		р	er appliance	£266.75 (£213.40)	
		(5) bite plane appliance:			
3211 3212	upper lower	I	er appliance [	£113.80 (£91.04)	
		Additional fee for extra-oral traction or anch normally only payable once per course of treat		ement where necessary,	
3221 3223	upper lower		er appliance [	£63.35 (£50.68)	
3222 3224	upper lower	rigid anchorage reinforcement I	er appliance	£63.35 (£50.68)	
	32(b)	Retention, normally to last for a minimum period	od of 12 month	ns:	
		(1) supervision of retention for a period months to include at least 2 visits per course o		n five complete calendar	

per course of treatment  $\boxed{£39.25}$  (£31.40)

3231

Additional fee for each further period of supervision of not less than two complete calendar months, normally subject to a maximum of two such periods to include at least one visit per additional period:

3232	]	Dentist's Patient's Fee Charge  per additional period £19.70 (£15.76)
	(2)	retention appliance, normally only 1 removable or 1 fixed retainer per arch and only provided after active appliance treatment. Fee per appliance:
3233 3234 3235 3236 3237	upper lower upper lower upper	(i) removable retainer in acrylic resin £76.70 (£61.36)  (ii) fixed or bonded retainer £87.30 (£69.84)  (iii) removable pressure formed retainer £62.15 (£49.72)
3238 32(c)	Repairs	to orthodontic appliances:
3241 3247	upper lower	(1) repairing cracks or fractures in the acrylic of a removable appliance:  per appliance £32.25 (£0.00)
		(2) refixing a metal component or providing and fixing a replacement metal component on a removable appliance only. Fee per appliance:
3242 3248	upper lower	one repair $ \frac{£39.25}{£10.15} $ $ (£0.00) $ each additional repair $ \frac{£10.15}{} $
3230		(3) repairing a removable functional appliance:  per appliance £50.05 (£0.00)
		(4) repairing a fixed appliance involving the replacing of two or more brackets, bands, archwires or auxiliaries or any combination thereof in one arch:
3239 3240	upper lower	such fee as the Board may determine - no patient charge
		Additional fee where an impression technique is necessary in connection with repairs under item $32(c)(1)$ , $32(c)(2)$ or $32(c)(3)$ above. A second additional fee shall only be payable when necessary in connection with the repair of a removable functional appliance:
3245 3246	upper lower	per impression $\boxed{\$9.75}$ (\\$0.00)

32(d)	Additions to or inclusions in orthodontic appliances of an artificial tooth to replace a
	missing natural tooth:

(1) addition or inclusion of an artificial tooth (including any associated gum) to a fixed appliance or fixed retainer:

			Dentist's Patient's
	_		Fee Charge
3261		r [TS]	first tooth $\boxed{£16.90}$ (£13.52)
3262	lower	·[TS]	each additional tooth $\boxed{£16.90}$ (£13.52)
		(2)	addition or inclusion of an artificial tooth (including any associated gum) to a removable appliance or removable retainer:
22(2	1	rancia	6:4441
3263 3264	upper lower		first tooth each additional tooth $ \begin{array}{ c c c c c c c c c c c c c c c c c c c$
3204	lower	[13]	
-	32(e)	Replac	cement of appliances lost or damaged beyond repair:
		(1)	space maintainer or retention appliance:
3281	]		per appliance £61.15 (£48.92)
		(2)	removable spring and/or screw type appliance:
	•		
3282	]		per appliance <b>£83.10</b> (£66.48)
		(3)	simple fixed type appliance consisting of no more than 6 attachments:
3283	]		per appliance £85.10 (£68.08)
		(4)	fixed multiband or multibracket appliance:
3284	]		per appliance £159.60 (£127.68)
		(5)	functional appliance:
3285	]		per appliance £98.40 (£78.72)
*32(f)	Any ot	her orth	nodontic treatment:
3291	1		such fee as the Board may determine

### **Provisos to Item 32:**

- \*1. no fee under item 32(a) shall be payable for the closure of central diastemas where the associated permanent canines have not erupted unless the prior approval of the Board is obtained;
- 2. no fee shall be payable under items 32(a) or 32(f) unless a fee is also payable, or has been paid, under items 1(c) [full case assessment] except where an appropriate consultant's report is available;
- 3. no fee shall be payable under items 32(a) or 32(f) unless study casts, and radiographs where appropriate, are available;

- 4. no more than three fees per course of treatment shall be payable for extra-oral traction and/or anchorage reinforcement;
- 5. where a combination of repairs under items 32(c)(1) and 32(c)(2) is undertaken, the fee for the repair shall be the highest appropriate fee for the single repair and the fee for each additional repair shall be £10.15 up to a maximum of £20.70;
- 6. no fee in excess of £33.85 per appliance shall be paid under items 32(d)(1);
- 7. no fee in excess of £50.35 per appliance shall be paid under item 32(d)(2);
- 8. no fee shall be payable under item 32(b)(2)(iii) where the same dentist was paid, or in respect of which the same dentist was paid, or is entitled to be paid, a fee under item 32(b)(2)(iii) during the previous 11 complete calendar months;
- 9. no fee shall be payable under item 32 unless any appliance provided under item 32 has been fitted by the dentist;
- 10. no fee shall be payable under item 32(c)(1), 32(c)(2) or 32(c)(3) unless the repair incurred a laboratory fee;
- 11. a fee under item 32(c)(4) shall only be payable in exceptional circumstances to a dentist, other than an orthodontist, who repairs a fixed appliance on behalf of an orthodontist for a patient who resides in a remote area where any delay in the repair would adversely affect the patient's orthodontic treatment.
- 33. UNALLOCATED.
- 34. UNALLOCATED.

# Section IX — Other Forms of Treatment

# 35. **Domiciliary Visits and Recalled Attendance:**

35(a) Domiciliary visits including travel to one or more patients whose condition so requires at a location or locations other than the dentist's surgery. Normally no more than two claims would be appropriate for domiciliary visits in respect of any day. Fee per circuit where the total distance travelled is:

		•						
3501 3502 3503		Dentist's Fee   Charge						
	35(b)	Recalled attendance to reopen the dentist's surgery and provide treatment at the surgery in an emergency under NHS arrangements at a time when the surgery would not normally be open. Fee per circuit where the total distance travelled is:						
3511 3512		less than 1.61 kilometres (1 mile) $\begin{array}{ c c c c c }\hline \pounds 54.45 & (\pounds 0.00) \\\hline 1.61 & kilometres (1 mile) or more & £89.70 & (£0.00) \\\hline \end{array}$						
Provis	o to item	35						
		payable under item 35(a) to a domicilary care dentist in respect of a domiciliary visit care home.						
36.	Miscel	llaneous Treatments:						
	36(a)	Taking of material for pathological examination:						
3601		per course of treatment $\boxed{£15.50}$ (£12.40)						
	36(b)	Stoning and smoothing the surface of a tooth including any necessary finishing and polishing (except in the case of treatment under item 10(c) [periodontics] and in respect of teeth filled or restored under items 14 [fillings], 17 [inlays and crowns] and/or 18 [bridges]):						
3611	[TS]	$ \begin{array}{c c} 1 \text{ tooth} & £3.65 \\ 2 \text{ or more teeth} & £6.75 \end{array} $ (£2.92) (£5.40)						
	*36(c)	Occlusal equilibration. Estimates to include an outline of proposed treatment:						
3621		such fee as the Board may determine						
	36(d)	Treatment of sensitive cementum or dentine:						
3631		per course of treatment £6.75 (£5.40)						

per prescription  $\boxed{\$5.55}$  (\\$4.44)

Issue of a prescription only (but see proviso):

36(e)

3641

	36(f)	(f) Re-implantation of a luxated permanent tooth following trauma:					
3651	] [TS	Dentist's Patient's Fee Charge  per tooth £21.10 (£16.88)					
	36(g) supp	Removal of the fractured portion of a natural crown, where its dissection from the orting soft tissues is necessary, prior to the provision of a permanent restoration:					
3661	[TS]	per tooth $\boxed{£10.65}$ (£8.52)					
	36(h) of the	Removal of the coronal portion of a permanent tooth and the shaping and preparation root face, for the provision of an overdenture; normally not more than 4 teeth per arch					
3671	[TS]	per tooth $\boxed{£14.25}$ (£11.40)					
Proviso	o to It	em 36:					
		be payable under item 36(e) in connection with any item of treatment other than items 3 visits and recalled attendance] and 45 [continuing care payments].					
	a (incl	tment Urgently Required for Acute Conditions: For conditions of the gingivae/or uding pericoronitis, ulcers and herpetic lesions), including any necessary oral hygiend/or the issue of a prescription:					
3701		per course of treatment £9.75 (£7.80)					
*38. include		Other Treatment: For treatment necessary to secure and maintain oral health, but no where in this fee scale, pre-determined fee items:					
3801-3	859	such fee as the Board may determine					
*39.		Other Treatment: For treatment necessary to secure and maintain oral health, but no where in this fee scale, non pre-determined fee items:					
3901-3 3996-3		such fee as the Board may determine					

\*40. This item has been deleted.

# Section X — Treatment under Capitation

- 41. **Capitation Payments:** Fees for patients aged under 18 years and accepted into capitation:
  - 41(a)(i) Aged 0-2 years (inclusive) basic fee for the provision of care under capitation, including all necessary preventative measures not already included elsewhere in this fee scale:

Dentist's Patient's Fee Charge
per month £2.25 (£0.00)

41(a)(ii) This item has been deleted.

41(a)(iii) Aged 3-5 years (inclusive) - basic fee for the provision of care under capitation, including all necessary preventive measures not already included elsewhere in this fee scale:

per month 3.00 (£0.00)

41(a)(iv) This item has been deleted.

41(a)(v) This item has been deleted.

41(a)(vi) Aged 6-17 years (inclusive) - basic fee for the provision of care under capitation, including all necessary preventive measures not already included elsewhere in this fee scale:

6-12 year per month £5.20 (£0.00) 13-17 years per month £6.75 (£0.00)

41(b) Where the patient has a severe mental or physical disability or severe learning difficulties and where, to provide treatment, it is necessary for the dentist to spend at least double the normal amount of time for a patient of the same age:

per month double the appropriate basic fee item 41(a)(i), 41(a)(iii) and 41(a)(vi) (no patient charge)

- 41(c) This item has been deleted.
- 41(d) This item has been deleted.
- 41(e) Where the patient's postcode of residence is in a Scottish Index of Multiple Deprivation (SIMD) area 1 the dentist or contractor shall receive an addition to the capitation fee paid monthly in arrears:

per month

[£0.25] (no patient charge)

### **Provisos to Item 41:**

- 1. no fee shall be payable to the same dentist under items 41(a)(i), 41(a)(iii), 41(a)(vi) and 41(b) for the same patient;
- 2. where necessary to secure or maintain oral health, additional fees shall be payable to the same dentist under the following items: 1(d) [care and treatment summary], 1(f)(i) [extensive clinical examination], 2(a)(i) [radiographs], 2(b) [study casts], 3 [clinical

photographs], 14 [permanent fillings], 15(a), 15(b) and 15(c) [endodontics], 16 [veneers], 17 [inlays and crowns], 18 [bridges], 21 [extractions], 22 [extractions of special difficulty and other oral surgery], 23(a)(1) [arrest of haemorrhage], 25 [sedations], 27(b) and 27(c) [provision of dentures], 27(e) [special trays], 27(f) [identification marker], 28(a) [repairs to dentures], 28(c) [relining and rebasing dentures], 28(d) [additions to dentures], 29 [appliances], 32 [orthodontics], 35 [domiciliary visits and recalled attendance], 43 and 44 [treatment special to minors], 53(a)(1) [arrest of haemorrhage], 62 [incomplete dentures], 63(e) and 63(f) [incomplete endodontic treatment], 64 [incomplete porcelain veneers], 65 [incomplete crowns], 73 [any other incomplete treatment—where lab cost is involved] and, when in connection with orthodontic treatment, 1(c) [examination], 1(f)(ii) [extensive clinical examination], or 1(g) [examination] and 2 [radiographs];

- 3. where necessitated by trauma [see paragraph (1) of Section I—Interpretation], additional fees shall be payable for all items of treatment provided;
- 4. no fee shall be payable under item 41 for a patient in respect of whom the same dentist is providing occasional treatment or treatment on referral from another dentist;
- 5. a fee under item 41(e) shall only be paid in respect of an eligible patient for whom a full and valid postcode has been provided;
- 6. where the dentist has not submitted a payment claim form in respect of a patient for 3 years or more any of the fees payable under item 41(a)(i), 41(a)(iii), 41(a)(vi), 41(b) or 41(e) shall be reduced to 20% of the relevant fee.

### 42. Childsmile:

Aged 0-17 (inclusive) – fee according to the Scottish Index of Multiple Deprivation (SIMD) of the patient's postcode of residence to include:

Recording preventive care to Childsmile Practice standards as described in the Memorandum to NHS: PCA(D)(2011)(5); and

Toothbrushing instructions:

- (i) for ages 0-5: the demonstration of the appropriate toothbrushing instruction technique to the patient, or the patient's parent or carer, then supervising the patient or carer whilst they brush the patient's teeth with a toothbrush and at least 1000ppm fluoride toothpaste; or
- (ii) for ages 6-17: the demonstration of the appropriate toothbrushing instruction technique to the patient; and

Dietary advice: relevant dietary advice targeted to the patient's specific requirements as directed by the caries risk assessment including, when appropriate, diet diaries.

	Dentists	Patient s
	Fee	Charge
4201 [TS]	SIMD 1-2 per child £19.45	(£0.00)
4202 [TS]	SIMD 3-5 per child £6.35	(£0.00)

### **Provisos to Item 42**

1. No fee shall be payable under item 42 where the same dentist was paid, or is entitled to be paid, a fee under item 42 within the previous 11 complete calendar months;

2.	A fee under ite	em 42 shall	only be paid	l in respec	t of an	eligible	patient for	r whom	a full a	ınd
valid	postcode has bee	en provided.	If a full a	nd valid po	stcode	has not	been pro	vided the	en no	fee
under	ritem 42 shall be p	oayable.								

43.	Treatment	Special	to Minor	rs: Resin	filling,	including	acid etc	h retention	in pern	nanent
molar	and pre-molar	teeth in a	patient u	nder 15 y	ears of a	age at the b	eginning	g of a course	of treat	ment:

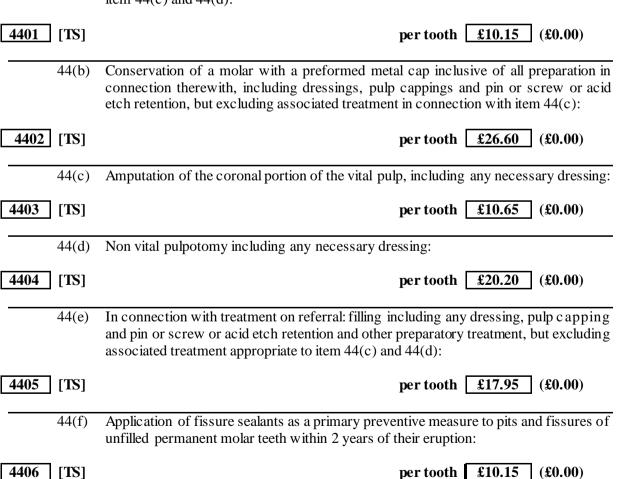
		Dentist's	Patient's
		Fee	Charge
4301 [TS]	per tooth	£21.35	(£0.00)
4302 [TS]	per tooth where the mesio-occlusal and/or disto-	£33.35	(£0.00)
	occlusal sufaces are involved		_

### Proviso to Item 43

No fee shall be payable under item 43 to repair or replace a filling where the same dentist provided the original filling to the same tooth within the previous 11 complete calendar months unless the repair or replacement is required as a result of trauma.

44 Treatment Special to Minors: Conservative treatment of deciduous teeth in patients under 18 years of age at the beginning of a course of treatment:

44(a) Filling including any dressing, pulp capping and pin or screw or acid etch retention and other preparatory treatment, but excluding associated treatment appropriate to item 44(c) and 44(d):



per tooth £10.15 (£0.00)

44(g) Application of topical fluoride to all tooth surfaces where the patient is aged 2-12 years (inclusive):

4407

per full mouth application £7.60 £0.00

### **Proviso to Item 44:**

- 1. no fee under item 44(e) shall be payable where a fee has been paid, or is payable, to, or in respect of, the same dentist under item 41;
- 2. only one fee under item 44(f) shall be payable for the same tooth by the same dentist;
- 3. no fee under item 44(g) shall be payable where a fee has been paid, or is payable, to, or in respect of, the same dentist for treatment provided under item 44(g) in the previous 5 complete calendar months;
- 4. no fee shall be payable under item 44(a), 44(b) or 44(e) to repair or replace a filling or crown where the same dentist provided the original filling or crown to the same tooth within the previous 11 complete calendar months unless the repair or replacement is required as a result of trauma.

# Section XI — Continuing Care and Patient Management

45. **Continuing Care Payments:** Fees payable for the provision of continuing care to patients aged 18 years or over:

Dentist's Patient's Fee Charge 45(a) 18-64 years of age  $\mathbf{per\ month}$   $\mathbf{£1.30}$   $\mathbf{£1.60}$   $\mathbf{£0.00}$ 

45(c) Where the patient has a severe mental or physical disability or severe learning difficulties and where, to provide treatment, it is necessary for the dentist to spend at least double the normal amount of time for a patient of the same age:

per month double the appropriate basic fee [item 45(a) or (b)] (no patient charge)

Where the patient's postcode of residence is in a Scottish Index of Multiple Deprivation (SIMD) area 1 the dentist or contractor shall receive an addition to the continuing care fee paid monthly in arrears:

per month

[£0.25] (no patient charge)

### **Provisos to Item 45:**

- 1. no fee shall be payable under item 45 for a patient in respect of whom the same dentist is providing occasional treatment or treatment on referral from another dentist;
- 2. where the Board authorises a payment under item 45 in respect of a patient aged 18 years or over and in continuing care on or after April 2007, the CSA shall pay to, or in respect of, the dentist an additional sum equal to 0.3% of the amount so authorised. Where as a result, the total payments authorised under item 45 in any month amount to a fraction of one whole penny, the CSA shall round the payment to the nearest whole penny;
- 3. no fee shall be payable to, or in respect of, the same dentist under items 41(a) or (b) for the same patient;
- 4. a fee under item 45(d) shall only be paid in respect of an eligible patient for whom a full and valid postcode has been provided;
- 5. the fees payable under item 45(a), 45(b), 45(c) or 45(d) shall be reduced to 20% of the relevant fee in respect of any patient where the same dentist has not submitted a claim for treatment provided to that patient in the previous 3 years or more
- 6. no fee shall be payable under item 45(c) to a domiciliary care dentist in respect of a patient in an assigned care home.
- 46. **Treatment on Referral:** Fee for patient management where the patient is being treated on referral from another dentist with whom the patient has a continuing care or capitation agreement.

### **Provisos to Item 46:**

1. fees shall only be payable under this item in connection with treatment which the referring dentist is unable to carry out because of a lack of necessary facilities, experience or expertise;

- 2. additional fees under Section I–X inclusive and Section XIII shall be payable for all items of treatment, subject to proviso 1, other than where the referral is for occasional treatment in which case fees shall be payable only for items listed in Section XII [occasional treatment];
- 3. where the treatment is required because of trauma or where the patient is judged to be in pain, the prior approval of the Board shall not be required;
- 4. at least one patient visit shall occur within each 3 month period for which a fee is claimed;
- 5. no more than eight such quarterly fees, or in the case of orthodontic referrals 12 such quarterly fees, are payable, per referral, for any one patient;
- 6. no fee shall be payable under this item where the referral is for examination or diagnosis only or where the referral is for occasional treatment.

### Section XII — Occasional Treatment

### 47. Assessment and Advice:

47(a) Fee for the assessment (including assessment of oral mucosal) of, and the giving of advice to a patient:

	Dentist's	Patient's
	Fee	Charge
4701	per course of treatment £10.40	(£0.00)

### **Provisos to Item 47:**

- 1. no fee under item 47(a) shall be payable for assessment of patients in a school or institution;
- 2. only 1 assessment fee shall be payable to, or in respect of, the same dentist during the same course of treatment;
- 3. no fee shall be payable under item 47(a), except where urgent attention and advice are required in connection with trauma, for an assessment of a patient for whom the same dentist was paid, or in respect of which the same dentist was paid, or is entitled to be paid, a fee under item 47(a) for an assessment carried out during the previous 5 complete calendar months.
- 48. **Issue of a Prescription Only:**

4801 per prescription £5.55 (£4.44)

### **Proviso to Item 48:**

4901

4911

No fee shall be payable under item 48 in connection with any treatment other than item 57 [domiciliary visits and recalled attendance].

- 49. Radiographic Examination and Radiological Report: Fee per course of occasional treatment:
  - 49(a) Small films (under 16 square centimetres):

49(b) Medium films (16 to 50 square centimetres):

 $\begin{array}{c|cccc} & & 1 \text{ film} & £6.75 & (£5.40) \\ & & \text{each additional film} & £2.85 & (£2.28) \\ \text{up to a maximum for additional films} & £6.00 & (£4.80) \end{array}$ 

48

49(0	Large films (over 50 square centimetres, other than panoral	or lateral skull films):
4921	1 film each additional film up to a maximum for additional film	$1  \begin{array}{ c c } \hline £5.05 & (£4.04) \\ \hline \end{array}$
49(0	) Panoral film, normally not more than one film to be taken ev	very 3 years:
4931	per film	£15.80 (£12.64)
	<b>our Photographs:</b> Fee for clinical colour photographs and rads:	eport in connection with
4941	1 film each additional film up to a maximum for additional films	\$5.05 \$2.55 \$5.30 (£4.04) \$(£2.04) \$(£4.24)
Proviso to 1	tem 49:	
	tient is under 18 years of age no more than 2 fees shall be payabless the prior approval of the Board is obtained.	ole for radiographs under
50. Dre	ssing of Deciduous, Permanent or Retained Deciduous Teeth	and Other Palliative
50(a	Dressings, including any preparatory treatment for:	
	(1) permanent or retained deciduous teeth:	
5001 [TS	1 tooth 2 teeth each additional tooth up to a maximum of	\$\frac{\xakta7.65}{\xakta11.00} (\xakta6.12) (\xakta6.12) (\xakta6.80) (\xakta2.28) (\xakta2.28) (\xakta18.32)
	(2) deciduous teeth:	
5002 [TS	1 tooth 2 teeth each additional tooth up to a maximum of	\$\frac{\xi 7.65}{\xi 11.00}  (\xi 0.00) \\ \xi 22.85  (\xi 0.00) \\ \xi 22.90  (\xi 0.00)
50(1	) Incising an abscess:	
5011	per abscess	£10.15 (£8.12)
50(0	Opening root canal(s) for drainage of:	
	(1) permanent or retained deciduous teeth:	
5021 [TS	per tooth	£11.55 (£9.24)

(2) deciduous teeth: Dentist's Patient's Fee Charge 5022 [TS] £11.55 (£0.00)per tooth 50(d)Pulp extirpation and dressing to seal cavities in permanent teeth: 5031 (£12.92)one canal [TS] per tooth £16.15 5032 more than one canal [TS] per tooth £24.35 (£19.48)Stoning and smoothing the surface of a tooth, including any necessary finishing and 50(e)polishing (except in respect of teeth treated under items 51 [inlays, crowns and bridges] and/or 58(b), 58(c) and 58(e) [fillings]) for: (1) permanent or retained deciduous teeth: 1 tooth (£2.92)£3.65 5041 [TS] 2 or more teeth (£5.40)£6.75 (2) deciduous teeth: 1 tooth £3.65 (£0.00)5042 [TS] 2 or more teeth (£0.00)Treatment of sensitive cementum or dentine: 50(f)5051 per course of treatment | £6.75 | (£5.40)50(g)Splinting in connection with external trauma: (1) provision of emergency splinting for luxated or mobile teeth consisting of cemented foil or other similar material designed to allow primary healing to take place: 5061 £30.50 (£24.40)per splint (2) provision of an acid etch retained composite splint: per union £22.25 (£17.80)(£64.76)5062 up to a maximum per arch of £80.95 (3) additional fee for metal bar or wire insert in connection with treatment under item 50(g)(2): 5063 per splint | £4.70 (£3.76)Re-implantation of a luxated permanent tooth following trauma: 50(h)5071 | [TS] per tooth **£21.10** (£16.88)

	in:
	(1) permanent or retained deciduous teeth
5075 [TS]	Dentist's Patient's Fee Charge  per tooth £10.65 (£8.52)
	(2) deciduous teeth
5076 [TS]	per tooth $\boxed{£10.65}$ (£0.00)
50(j)	This item has been deleted.
51. <b>Inlay</b>	s, Crowns and Bridges:
51(a)	Temporary crown provided as an immediately necessary palliative:
5102 [TS] 5103 [TS]	other than post retained post retained post retained $\frac{£18.85}{£26.60}$ (£15.08)
51(b)	Removal of a post fractured at or below a root face, necessitating the modification of the existing canal form:
5104 [TS]	per post $\boxed{£20.60}$ (£16.48)
51(c)	Refixing or recementing an inlay or crown:
5111 [TS] 5112 [TS]	per inlay
51(d)	Refixing or recementing:
	(1) an acid etch retained bridge:
5121	per bridge $\boxed{£37.15}$ (£0.00)
-	(2) any other bridge:
5122	per bridge $\boxed{£19.70}$ (£0.00)
51(e)	Repairing a bridge:
5131-5135	such fee as the Board may determine
Proviso to Ite	em 51:

Removal of the fractured portion of a natural crown, where its dissection from

supporting soft tissue is necessary, prior to the provision of a permanent restoration

50(i)

No fee shall be payable under item 51(d) or 51(e) to repair a bridge where the same dentist provided the original bridge involving the same teeth within the previous 11 complete calendar months unless the repair is required as a result of trauma.

52.	Extrac	tions of	Teeth:				
	52(1)			Permanent or Retained Deciduous xtraction of:	s Te	_	
						Dentist's Fee	Patient's Charge
				11	tootl		(£8.12)
					teetl		(£14.64)
				3 or 4 t	teetl	h £28.20	(£22.56)
				5 to 9 t			(£29.72)
	<b>-</b>			10 to 16 t			(£40.04)
5201	_ [TS]			17 or more	teetl	h £61.15	(£48.92)
_	Additio	nal fee f	or each	visit for extractions, including the first:			
5206				perv	visit	£8.30	(£6.64)
	52(2)	<b>Extract</b> extracti		f Deciduous Teeth for Minors: Fee	per	course of t	reatment for
				1 to	oth	£10.15	(£0.00)
					eth		(£0.00)
				3 or 4 te			(£0.00)
				5 to 9 te	eth	£37.15	(£0.00)
				10 to 16 te			(£0.00)
5202	[TS]			17 or more to	eeth	£61.15	(£0.00)
	Additio	nal fee f	or each	visit for extractions, including the first:			
5206				perv	visit	£8.30	(£0.00)
	52(b)	involvir includir	ng the ra	puried root, unerupted tooth, impacted assing and replacement of a surgical flap associated attention except in confequiring additional visit(s):	with	any necessa	ry suturing,
		(1)	involvi	ng soft tissue only:			
5211	[TS]			per to	oth	£28.20	(£22.56)
		(2)	involvi	ng bone removal for:			
			(i)	incisor and canines:			
5212	[TS]			per to	oth	£39.25	(£31.40)
			(ii)	premolars, molars other than impacted	third	l molars:	
5213	[TS]			per to	oth	£48.15	(£38.52)
			(iii)	impacted third molars not requiring divi	ision	of roots or	crown:
5214 5216		jaw [TS] jaw [TS]		per to per to			(£40.04) (£47.28)

(iv) impacted third molars requiring division of roots or crown:

### **Provisos to Item 52:**

No fee shall be payable under item 52(b) unless appropriate radiographs are available.

### 53. Post Operative Care:

- 53(a) Treatment for arrest of haemorrhage, including abnormal haemorrhage following dental treatment provided otherwise than as part of general dental services:
  - (1) arrest of haemorrhage other than under item 53(a)(2) and/or 53(b):

per visit £32.25 (£0.00)

(2) removal of plugs and/or sutures:

per visit £10.15 (£0.00)

53(b) Treatment of infected sockets and/or other sequelae:

1 visit £10.15 (£8.12) 2 or more visits £20.80 (£16.64)

- 54. **Sedation:** Where, in the opinion of the dentist, any necessary treatment could not otherwise be provided because of a physical or mental handicap, or a form of mental illness requiring medical attention, or disproportionate dental anxiety:
  - 54(b) Administration of a single intravenous sedative agent or inhalation sedation, using a dedicated machine capable of delivering a fixed maximum level of nitrous oxide and a fixed minimum level of oxygen when providing inhalation sedation, by a doctor or dentist other than the dentist carrying out the treatment to include any preliminary investigation and blood testing:
    - (1) in connection with treatment provided under only item 52(1) or (2) [extractions]. Fee per visit, where the number of teeth extracted at the visit is:

5451	1–4	£32.25	(£25.80)
5452	5–9	£37.15	(£29.72)
5453	10–16	£43.75	(£35.00)
5454	17 or more	£54.45	(£43.56)
5460	up to a maximum per course of treatment	£109.00	(£87.20)

in connection with treatment under item 50 [dressings], 52(b) [extractions of special difficulty] and/or item 58(b)–(f) [conservation]. Fee per visit, where the cost of treatment carried out under sedation at that visit is:

		Dentist's	Patient's
		Fee	Charge
5455	up to and including £10.00	£32.25	(£25.80)
5456	from £10.01 to £25.00	£59.10	(£47.28)
5457	from £25.01 to £50.00	£85.10	(£68.08)
5458	greater than £50.00	£109.00	(£87.20)

(3) additional fee where a doctor or a dentist other than the dentist carrying out the treatment is called to the surgery where the dentist is to provide treatment in an emergency and where the distance travelled is:

5466	less than 1.61 kilometres (1 mile)	£37.15	(£0.00)
5467	1.61 kilometres (1 mile) or more	£65.50	(£0.00)

- 54(c) Administration of a single intravenous sedative agent or inhalation sedation, using a dedicated machine capable of delivering a fixed maximum level of nitrous oxide and a fixed minimum level of oxygen when providing inhalation sedation, by and under the direct and constant supervision of the dentist carrying out the treatment.
  - (1) This item has been deleted.
  - (2) Fee per patient visit, for administration by:

5473	inhalation	£15.50	(£12.40)
	supplement	£7.30	(£0.00)
5474	injection	£27.60	(£22.08)
	supplement	£9.55	(£0.00)

### **Provisos to Item 54:**

- 1. no fee shall be payable under item 54(b)(1) where a fee is also payable under item 54(b)(2) for treatment provided at the same visit;
- 2. no fee shall be payable under item 54(b)(3) where the attendance of the appropriately qualified doctor immediately precedes or follows any other attendance at that surgery on the same day.

### 55. Repairs and Alterations to Dentures and Other Appliances:

### 55(a) Repairs to dentures:

(1) repairing a crack or fracture, or renewal of gum (including provision of any strengthener), or refixing a tooth, or providing and fixing a replacement tooth (including any associated gum):

5501	upper	1 repair	£21.10	(£0.00)
5502	lower	each additional repair to the same denture	£7.30	(£0.00)

		(2)	refixing a clasp, or providing and fixing a replacement clasp (including any associated gum):
			Dentist's Patient's Fee Charge
5503 5504	upper		each additional repair to the same denture $ \begin{array}{c c} 1 \text{ repair} & £30.50 \\ £14.90 & £14.90 \\ \hline \end{array} (£0.00) $
		(3)	other repairs. Fee appropriate to similar treatment under items $55(a)(1)$ and/or $55(a)(2)$ , together with an additional fee when attention to cast metal components is necessary:
5511			such fee as the Board may determine
		(4)	additional fee where impression technique is necessary in connection with one or more repairs under item 55(a) [repairs to dentures]:
5521 5522	upper lower		per denture <b>£9.75</b> (£0.00)
	55(b)	Adjusti	ments to dentures:
		(1)	adjusting a denture (including any easing and/or polishing) other than a denture provided, relined or rebased by the same dentist within the preceding 5 complete calendar months:
5531 5532	upper lower		per denture £14.90 (£11.92)
		(2)	other adjustments. Fee appropriate to similar treatment under item $55(b)(1)$ , together with an additional fee where attention to cast metal components is necessary:
5541			such fee as the Board may determine
	55(c)	denture	g or rebasing dentures or provision or renewal of soft linings to existing es other than with a material polymerised in the mouth. Treatment to include astments needed within a reasonable period of time after completion:
		(1)	for relining or rebasing only:
5551 5552	upper lower		per denture £50.05 (£40.04)
		(2)	for relining or rebasing with the addition of a labial and/or buccal flange:
5553 5554	upper lower		per denture £56.95 (£45.56)
		(3)	for provision or renewal of soft lining or soft partial lining to an existing denture where such lining is required on account of the condition of the patient's alveolus:
5555 5556	upper lower		per denture £76.70 (£61.36)

		(1)	addition of a clasp (including any associated gum):		
	ı			Dentist's Fee	Patient's Charge
5561 5562	upper Lowe	er	per addition	£41.45	£33.16)
		(2)	addition of a tooth (including any associated gum):		
5563 5564	upper lower		per addition	£34.90	(£27.92)
		(3)	addition of a new gum not associated with an addition of 55(d)(2):	on under ite	ems 55(d)(1)
5565 5566	upper lower		per addition	£34.90	(£27.92)
		(4)	other additions. Fee appropriate to similar treatment and/or 55(d)(2), together with an additional fee where components is necessary:		
5571			such fee as the Bo	ard may de	etermine
	55(e)	Repairs	s to orthodontic appliances:		
		(1)	repairing cracks or fractures in the acrylic of a remov	able appliar	ice:
5581 5587	upper lower		per appliance	£32.25	(£0.02)
		(2)	refixing a metal component or providing and fixing component on a removable appliance only. Fee per a		ement metal
5582 5588	upper lower		one repair each additional repair	£39.25 £10.15	(£0.02) (£0.00)
-		(3)	repairing a functional appliance:		
5583			per appliance	£50.05	(£0.03)
		(4)	repairing a fixed appliance involving the replace brackets, bands, archwires or auxiliaries or any cor arch:		
5584 5589	upper lower		per appliance	£67.90	(£0.00)

55(d) Additions to dentures:

Additional fee where an impression technique is necessary in connection with repairs under items 55(e)(1), 55(e)(2) or 55(e)(3) above. A second additional fee shall only be payable when necessary in connection with the repair of a functional appliance:

> Dentist's Patient's Fee Charge

5585 upper 5586 lower

per impression \ £9.75

(£0.00)

Other repair or adjustment to a fixed or removable appliance: 55(f)

5591

such fee as the Board may determine

### **Provisos to Item 55:**

- 1. where combinations of repairs under items 55(a)(1) and 55(a)(2) are undertaken, the fee for one repair shall be at the highest appropriate fee for the single repair and the fee for each additional repair shall be £7.30;
- 2. no fee in excess of £48.15 denture shall be payable under items 55(a)(1) and 55(a)(2);
- 3. no fee shall be payable under item 55(a)(4)[impressions] where a fee is also payable under items 55(c) [relining] or 55(d) [additions] for the same denture;
- 4. no fee in excess of £59.10 denture shall be payable under item 55(d) or for any combination of treatment under items 55(a) and 55(d), except where treatment is also provided under items 55(a)(3) and/or 55(d)(4) in which case these fees are payable in addition;
- 5. where a combination of repairs under items 55(e)(1) and 55(e)(2) is undertaken, the fee for the repair shall be the highest appropriate fee for the single repair and the fee for each additional repair shall be £10.15 to a maximum of £20.70.
- 56. Treatment Urgently Required for Acute Conditions: For conditions of the gingivae/oral mucosa (including pericoronitis, ulcers and herpetic lesions), including any necessary oral hygiene instruction and/or the issue of a prescription:

5601 per course of treatment (£7.80)

#### 57. **Domiciliary Visits and Recalled Attendance:**

57(a) Domiciliary visits including travel to one or more patients whose condition so requires at a location or locations other than the dentist's surgery to provide treatment under NHS arrangements. Normally no more than two claims would be appropriate for domiciliary visits in respect of any day. Fee per circuit where the total distance travelled is:

5701	less than 16.1 kilometres (10 miles)	£46.00	(£0.00)
5702	16.1 kilometres (10 miles) to 64.4 kilometres (40 miles)	£63.35	(£0.00)
5703	over 64.4 kilometres (40 miles)	£83.10	(£0.00)

57(b) Recalled attendance to reopen the dentist's surgery and provide treatment at the surgery in an emergency under NHS arrangements at a time when the surgery would not normally be open. Fee per circuit where the total distance travelled is:

		Dentist's Patient's
5711 5712	}	Fee   Charge     £54.45   (£0.00)     1.61 kilometres (1 mile) or more   £89.70   (£0.00)
58.	Conse	rvative Treatment:
	58(a)	UNALLOCATED
	58(b)	Permanent amalgam fillings, including any dressings, pulp capping and other preparatory treatment, in permanent or retained deciduous teeth:
		(1) 1 surface:
5811	[TS]	per tooth £11.00 (£8.80)
		(2) 2 or more surfaces:
5812	[TS]	per tooth £16.15 (£12.92)
		(3) 2 or more surfaces where the mesio-occlusal or disto-occlusal surfaces are involved:
5813	[TS]	per tooth £21.35 (£17.08)
		(4) 3 or more surfaces where the mesio-occlusal and disto-occlusal surfaces are involved:
5814	[TS]	per tooth £28.20 (£22.56)
	58(c)	Other permanent fillings, including any dressings, pulp capping or other preparatory treatment, in permanent or retained deciduous teeth:
		(1) composite resin or synthetic resin filling, including acid etch retention:
5821 5820	[TS] [TS]	two or more fillings in the same tooth $\begin{array}{ c c c c c }\hline £20.80 & £20.80 \\\hline £32.25 & £25.80 \\\hline \end{array}$
-		Additional fees for a restoration involving:
5822 5823 5824	[TS] [TS] [TS]	1 incisal angle (mesial or distal)
		Additional fee for restoration of a cusp tip of a premolar or a buccal cusp tip of a first molar tooth:
5825	[TS]	per tooth <b>£15.80</b> (£12.64)

		(2) glass ionomer, silicate or silico-phosphate filling:
5826 5827	[TS] [TS]	Dentist's Patient's Charge  1 filling $\frac{£18.85}{£25.70}$ (£15.08)  two or more fillings in the same tooth
	58(d)	Pin or screw retention in connection with treatment under item $58(b)(2)$ , $58(b)(3)$ , $58(b)(4)$ or $58(c)$ :
5831	[TS]	per tooth <b>£8.60</b> (£6.88)
	58(e)	Treatment of any surface of a permanent tooth using glass ionomer cement, where the tooth would otherwise be extracted but this is contra-indicated by exceptional medical or dental conditions:
5836 5837	[TS] [TS]	$\begin{array}{c c} \text{per filling} & \underline{\pounds 18.85} \\ \text{maximum per tooth} & \underline{\pounds 28.00} & (\pounds 15.08) \\ \end{array}$
	58(f)	For teeth anterior to the first molar, root filling of each root canal with a permanent radio-opaque filling material, not more than 2 teeth being treated under this item unless in connection with trauma, including all attention in connection therewith except for treatment appropriate to items 49 [radiographs], 51 [inlays, crowns and bridges], 54 [sedation], 58(b), 58(c) and 58(e) [fillings], 58(g) [replacement of a temporary bridge], and except for the dressing or temporary protection of a tooth where a permanent restoration is not provided:
5841 5842 5843	[TS] [TS] [TS]	incisor or canine tooth upper premolar tooth lower premolar tooth lower premolar tooth $\frac{£59.45}{£81.05}$ (£47.56) $(£64.84)$
	58(g)	Replacement of a temporary bridge where the original is lost or damaged beyond repair:
		(1) fabricated in the laboratory in cases where the temporary bridge is to be retained for at least 3 months:
5851	[TS]	per unit £22.25 (£17.80)
		(2) all other temporary bridges:
5852	[TS]	per unit <b>£8.30</b> (£6.64)
Proviso	os to Ite	em 58:
1.		more than one claim is made under item 58(b) for the same tooth in the same course of ent, the higher fee only shall be paid;
2.		shall be payable to, or in respect of, the same dentist in respect of the same patient tems 58(b) or 58(c) for more than two permanent or retained deciduous teeth;
5838 5839	[TS] [TS]	3. no fee in excess of £39.25 shall be payable for any combination of treatment in one tooth under items 58(b) and 58(c), except where an additional fee would be payable under items 58(c)(1) or 58(d) when the maximum shall be £43.75;

- 4. no fee shall be payable under item 58(e) where a fee is payable under items 58(b), 58(c) or 58(f) in respect of the same tooth;
- 5. no fee shall be payable under item 58(f) unless appropriate radiographs are available,
- 6. no fee shall be payable under item 58(b), 58(c), 58(d), 58(e) or 58(f) to repair or replace a filling or root filling where the same dentist provided the original filling or root filling to the same tooth within the previous 11 complete calendar months unless the repair or replacement is required as a result of trauma.
- 59. **Appliances:** Replacement of a denture bearing one or more teeth anterior to the first premolar where there are no unsound teeth in the same arch, including any necessary clasps, rests and strengtheners and all adjustments needed within a reasonable period after completion, where the original is lost or damaged beyond repair or where new dentures are necessitated by trauma or exceptionally by extraction:
  - 59(a) Replacement of dentures in synthetic resin, including all necessary backing and tagging:
    - (1) full upper and full lower dentures:

Dentist's Patient's Fee Charge per set \(\xi\_{223.40}\) 5900 (£178.72)(2) full upper or full lower denture (one only): 5901 upper per denture £139.40 (£111.52) 5902 lower (3) partial denture bearing: 1, 2 or 3 teeth £87.30 (£69.84)5903 upper [TS] 4 to 8 teeth £115.95 (£92.76)5905 lower [TS] 9 or more teeth | £137.80 (£110.24)(4) additional fee for stainless steel lingual or palatal bar: 5904 per unit | £18.30 | (£14.64) 59(b) Replacement of a metal based denture: (1) full dentures in chrome cobalt or stainless steel: 5911 upper 5912 lower full upper or full lower denture  $\boxed{\$197.00}$  (\\$157.60) This item has been deleted. (2) (3) This item has been deleted. (4)This item has been deleted.

		(5) additional fee where teeth are backed:
5916	] [TS]	Dentist's Patient's Fee Charge  per tooth £13.90 (£11.12)  up to a maximum per denture of £83.10 (£66.48)
		*(6) This item has been deleted.
	59(c)	Additional fee per denture for the provision of a soft lining or soft partial lining other than one polymerised in the mouth where such lining is required on account of the condition of the patient's alveolus:
5931 5932	upper lower	per denture £46.00 (£36.80)
	59(d)	Additional fee for the use of laboratory constructed special trays for the taking of final impressions:
5941 5942	upper lower	per denture <b>£22.25</b> (£17.80)
	59(e)	Additional fee for inclusion of a permanent patient-identification marker in a denture:
5951 5952	upper lower	per denture £7.10 (£5.68)
Proviso	o to Iter	m 59:
5910	59(a)(2	in excess of £277.20 shall be payable for any combination of treatment under items 2) or 59(a)(3), except where treatment is also provided under items 59(a)(4), 59(c), or 59(e) in which case these fees are payable in addition.
60. in patie		nent Special to Minors: Conservative treatment of not more than two deciduous teether 18 years of age at the beginning of a course of treatment.
	60(a)	Filling including any dressing, pulp capping and pin or screw or acid etch retention and any other preparatory treatment, but excluding associated treatment appropriate to item $60(c)$ and $60(d)$ :
6001	[TS]	per tooth £17.95 (£0.00)
	60(b)	Conservation of a molar with a preformed metal cap inclusive of all preparation in connection therewith, including dressings, pulp cappings and pin or screw or acid etch retention but excluding associated treatment in connection with item 60(c):
6002	[TS]	per tooth $\boxed{£26.60}$ (£0.00)
	60(c)	Vital pulpotomy consisting of removal of the coronal portion of the pulp, including any necessary dressing:
6003	[TS]	per tooth $\boxed{£10.65}$ (£0.00)

60(d) Non-vital pulpotomy, including any necessary dressing:

Dentist's Patient's Charge
per tooth £20.20 (£0.00)

6004 [TS]

### **Proviso to Item 60:**

- 1. Where treatment is required in an emergency or in association with sedation there is no restriction on the number of teeth which can be treated in a course of treatment.
- 2. no fee shall be payable under item 60(a) to repair or replace a filling where the same dentist provided the original filling to the same tooth within the previous 11 complete calendar months unless the repair is required as a result of trauma.
- 61. UNALLOCATED

# Section XIII — Incomplete Treatment

Fees under this section should normally be claimed only after the elapse of at least 2 months since the last patient attendance. When fees for veneers, inlays, pinlays, crowns, dentures, bridges and other appliances are claimed under this section the appliance must be retained for at least 12 months after the date of payment and submitted to the Board on request. If the patient resumes treatment, normally only the balance of fees will be subsequently payable.

50% of the appropriate scale

 $66^{2/3}$  % of the appropriate scale

62. **Dentures in Synthetic Resin:** Treatment begun under items 27 or 59, but either not completed or not fitted.

### In Synthetic Resin:

62(a) Impression taken and occlusal rims constructed

25% of the appropriate scale		
fee for a synthetic resin denture		
Full upper and lower		6200
Full upper		6201
Partial upper	[TS]	6202
Full lower		6203
Partial lower	[TS]	6204
Maximum combinations		6205

62(b) Set-up to try-in stage

		fee for a synthetic resin denture
6210		Full upper and lower
6211		Full upper
6212	[TS]	Partial upper
6213		Full lower
6214	[TS]	Partial lower
6215		Maximum combinations

62(c) Tried-in in the wax state

		fee for a synthetic resin denture
6220		Full upper and lower
6221		Full upper
6222	[TS]	Partial upper
6223		Full lower
6224	[TS]	Partial lower
6225		Maximum combinations

62(d) Completed but not fitted

	95% of the appropriate scale
	fee for a synthetic resin denture
6230	Full upper and lower
6231	Full upper
6232 [TS]	Partial upper
6233	Full lower
6234 [TS]	Partial lower

### **Maximum combinations**

### **In Chrome Cobalt or Stainless Steel:**

62(e) Impression taken and occlusal rims constructed

		25% of the appropriate scale
		fee for a synthetic resin denture
6240		Full upper and lower
6241		Full upper
6242	[TS]	Partial upper
6243		Full lower
6244	[TS]	Partial lower
6245		Maximum combinations

62(f) Set-up to try-in stage—no metal cast

50% of the appropriate scale fee for a synthetic resin denture 6250 Full upper and lower 6251 Full upper 6252 Partial upper [TS] 6253 Full lower **Partial lower** 6254 [TS] 6255 **Maximum combinations** 

- 62(g) Set-up to try-in stage—metal cast:
  - (1) full upper or full lower denture

6261	upper	70% of the appropriate scale fee
6262	lower	for a metal denture

(2) plate design partial denture

6263	[TS]	upper	70% of the appropriate scale fee
6266	[TS]	lower	for a metal denture

(3) skeleton design partial denture with single connecting bar

6264 [TS]		upper	70% of the appropriate scale fee
6267	[TS]	lower	for a metal denture

(4) skeleton design partial denture with multiple connecting bars

6265 [TS]	upper	70% of the appropriate scale fee
6268 [TS]	lower	for a metal denture

- 62(h) Tried-in in the wax state
  - (1) full upper or full lower denture

6271	upper	85% of the appropriate scale fee
6272	lower	for a metal denture

(2) plate design partial denture 6273 [TS] 85% of the appropriate scale fee upper 6276 [TS] lower for a metal denture (3) skeleton design partial denture with single connecting bar 6274 [TS] 85% of the appropriate scale fee upper 6277 [TS] for a metal denture lower **(4)** skeleton design partial denture with multiple connecting bar [TS] 6275 upper 85% of the appropriate scale fee [TS] 6278 for a metal denture lower Completed but not fitted 62(i) (1) full upper or full lower denture 6281 95% of the appropriate scale fee upper 6282 lower for a metal denture (2)plate design partial denture 6283 [TS] 95% of the appropriate scale fee upper 6286 [TS] lower for a metal denture (3)skeleton design partial denture with single connecting bar 6284 [TS] upper 95% of the appropriate scale fee for a metal denture 6287 [TS] lower (4) skeleton design partial denture with multiple connecting bar 6285 95% of the appropriate scale fee [TS] upper 6288 [TS] lower for a metal denture 63. Fillings and Endodontic Treatment: Treatment begun under items 14, 15 or 58, but not completed. 63(a) Dressings, including any preparatory treatment: Patient's Dentist's Charge Fee 1 tooth £7.65 (£6.12)2 teeth (£8.80)£11.00 each additional tooth £2.85 (£2.28)6301 [TS] up to a maximum of £22.90 (£18.32)Incising an abscess: 63(b) 6311 per abscess £10.15 (£8.12)Opening root canal(s) for drainage: 63(c) 6321 [TS] per tooth | £11.55 | (£9.24)

	63(d) Pulp extirpation and dressing to seal cavities in permanent teeth:						
			Dentist's Fee	Patient's Charge			
6331 6332	[TS] [TS]	one canal per tooth more than one canal per tooth	£16.15 £24.35	(£12.92) (£19.48)			
	63(e)	Any other endodontic treatment (including combinations of 6 involving one visit only:	63(b), 63(c)	and 63(d)),			
6341 6342 6343 6344	[TS] [TS] [TS] [TS]	per incisor or canine tooth per upper premolar tooth per lower premolar tooth per molar tooth	£21.75 £32.25 £26.05 £52.55	(£17.40) (£25.80) (£20.84) (£42.04)			
	63(f)	Any other endodontic treatment (including combinations of einvolving more than one visit:	63(b), 63(c)	and 63(d)),			
6351 6352 6353 6354	[TS] [TS] [TS] [TS]	per incisor or canine tooth per upper premolar tooth per lower premolar tooth per molar tooth	£32.80 £48.15 £39.25 £78.80	(£26.24) (£38.52) (£31.40) (£63.04)			
Proviso	o to Itei	m 63:					
1.	only of	ne fee shall be payable under this item for the same toothent.	in the san	ne course of			
2.	a fee under item 63(a) may be claimed by a domiciliary care dentist for the provision of a dressing where it is not possible to provide a permanent filling for a patient resident in an assigned care home, where the patient is in a continuing care arrangement with the domiciliary care dentist.						
64.	Porcel	ain Veneers: Made under item 16 but not fitted:					
6401 6400	[TS]	per tooth additional fee for first or only tooth treated	£115.95 £10.15	(£92.76) (£8.12)			
65.	Crown	s: Made under item 17 but not fitted:					
	65(a)	Porcelain jacket crown:					
6501	[TS]	per crown	£94.10	(£75.28)			
	65(b)	Bonded crowns:					
		(1) full or jacket crown cast in an alloy of fine gold thermally bonded porcelain:	or precious	s metal, with			
6511	[TS]	per crown	£137.45	(£109.96)			

		(2)	full or jacket crown ca porcelain:	ast in non-pre	ecious metal alloy,	with therm	ally bonded
6512	] [TS]				per crown	Dentist's Fee £131.30	Patient's Charge (£105.04)
		(3)	porcelain jacket crown	n thermally be	onded to wrought	platinum co	oping:
6513	[TS]				per crown	£107.00	(£85.60)
	65(c)	Cast ful	l or jacket crowns:				
		(1)	This item has been dele	eted.			
		(2)	in precious metal alloy	:			
6522	[TS]				per crown	£116.45	(£93.16)
		(3)	in non-precious metal	alloy:			
6523	[TS]				per crown	£94.10	(£75.28)
	65(d)		onal fee in connection v in the same arch:	with items 65	5(a), 65(b) and 6	55(c) for the	e first or only
6500					per arch	£10.15	(£8.12)
66.	UNAL	LOCAT	'ED				
67.	UNAL	LOCAT	'ED				
68.	UNAL	LOCAT	'ED				
69.	UNAL	LOCAT	'ED				
70.	UNAL	LOCAT	'ED				
71.	UNAL	LOCAT	'ED				
72.	UNAL	LOCAT	ED				
73.	Any C	ther Ir	ncomplete Treatment				
7301	1			s	uch fee as the Bo	oard may d	etermine

# Section XIV — Additional Payments

### 74. Additions in respect of earlier payments

Where the Board sends to the CSA an authorisation of payment under Determination I on or after 1 April 2022 but before 1 November 2022 in respect of a contract or arrangement entered into on or after 1 April 2022 but before 1 November 2022, the CSA shall pay to, or in respect of, the dentist an additional sum equal to 4.5% of the payment so authorised.

### 75. Scale additions:

Where the Board sends to the CSA an authorisation of payment under Determination I dated on or after 1 November 2022 in respect of a contract or arrangement entered into or made:

- a) on or after 1 April 2018 and before 1 April 2019, the CSA shall pay to, or in respect of, the dentist an additional sum equal to 16.31% of the payment so authorised;
- b) on or after 1 April 2019 and before 1 April 2020, the CSA shall pay to, or in respect of, the dentist an additional sum equal to 13.4% of the payment so authorised;
- c) on or after 1 April 2020 and before 1 April 2021, the CSA shall pay to, or in respect of, the dentist an additional sum equal to 10.6% of the payment so authorised;
- d) on or after 1 April 2021 and before 1 April 2022, the CSA shall pay to, or in respect of, the dentist an additional sum equal to 7.6% of the payment so authorised;
- e) on ar after 1 April 2022 and before 1 November 2022, the CSA shall pay to, or in respect of, the dentist an additional sum equal to 4.5% of the payment so authorised;
- f) on or after 1 November 2022, no additional payment shall be made

### **Proviso to Item 75**

No additional payments under item 75 will be made on payments on account already paid by the CSA pending completion of care and treatment.

### 76. **Point of Treatment Checks**

76(a) Fee per course of treatment where the patient is an adult and has claimed entitlement to exemption from, or remission of, the Patient's Charge:

	Fee	Charge
per course of treatment	£0.15	(£0.00)

### **Provisos to Item 76:**

- 1. fees shall only be payable under item 76(a) where the patient is aged 18 or over;
- 2. only one claim under item 76(a) is allowed per course of treatment;
- 3. fees shall only be payable under item 76(a) where the contractor has met the obligations under paragraph 30 of Schedule 1 to the National Health Service (General Dental Services) (Scotland) Regulation 2010 with regard to the checking of a patient's entitlement to

### 77. Deprived Areas Enhancement

Where the Board sends to the CSA an authorisation of payment under Determination I dated on or after 1 April 2008 in respect of a contract or arrangements entered into or made on or after 1 April 2008 and processed on or after 1 December 2010 in respect of a patient whose postcode of residence is in a Scottish Index of Multiple Deprivation (SIMD) area 1 the CSA shall pay to, or in respect of, the dentist an additional sum equal to 10% of the payment so authorised.

### **Proviso to Item 77:**

A fee under item 77 shall only be paid in respect of an eligible patient for whom a full and valid postcode has been provided.

# Section XV — Triage Activity

### 80. Recording of triaging activity: Initial telephone call triaging: 80(a)Dentist's Patient's Fee Charge 8001 per call £0.00 (£0.00)80(b)Advice and closing the case: 8011 per case £0.00 (£0.00)Advice and a prescription - antibiotics and or analgesics 80(c)Closing the Case: 8021 per call £0.00 (£0.00)80(d) Appointment for treatment arranged (further Covid triaging to determine status) Red Covid Pathway: 8031 per appointment £0.00 (£0.00)Appointment for treatment arranged (further Covid triaging to determine status) 80(e) Green Covid Pathway: 8041 per appointment £0.00(£0.00)80(f)Appointment visit (treatment) aerosol generating procedure: 8051 per visit £0.00 (£0.00)80(g)Non aerosol procedure: 8061 per procedure £0.00(£0.00)Unable to deliver care - Phobia/Co-operation/Consent issue: 8071 £0.00 (£0.00)per case 80(i) Aerosol generating procedure: 8081 £0.00 (£0.00)per procedure 80(j)Interpreter required: 8082 £0.00 (£0.00)per contact 80(k) Multiple contact with UDCC required: 8083 per course of treatment £0.00

80(1) Any referral not included in 80(d) or (e): Dentist's Patient's Fee Charge 8084 per course of treatment £0.00 (£0.00)Visor(s) being used for the patient require to be disposed of due to splatter contamination: 8085 (£0.00) per course of treatment £0.00

# Section XVI — Conditions of Payment of Remuneration and Submission of Dental Payment Claim

### Interpretation

1.—(1) In this Section, unless the context otherwise requires:—

"the Board" means the Scottish Dental Practice Board;

"the CSA" means the Common Services Agency for the Scottish Health Service constituted under Section 10 of the NHS (Scotland) Act 1978;

"contractor" means a person who has undertaken to provide general dental services and whose name is included in sub-part A of the first part of a Health Board's dental list;

"dental list" means a dental list prepared by a Health Board in accordance with Regulation 4(1) of the Regulations;

"dental payment claim" means—

- (a) in respect of the provision of general dental services other than orthodontic care and treatment information submitted for the purposes of payment for such services;
- (b) in respect of the provision of orthodontic care and treatment, the form GP17(O), supplied by the Health Board for the purposes of payment for such care and treatment;

and "appropriate dental payment claim" shall be construed accordingly;

"dentist" means a registered dental practitioner whose name is included on either the first or second part of the dental list of a Health Board otherwise than as a salaried dentist;

"electronic communication" has the meaning given by section 15(1) of the Electronic Communications Act 2000;

"emergency dental services" shall have the same meaning as in the Regulations;

"practice record form" means a form supplied by the Health Board or in electronic form and completed for the purpose of obtaining general dental services in circumstances where the dentist intends to submit a claim for remuneration by electronic communication;

"Regulations" means the National Health Service (General Dental Services) (Scotland) Regulations 2010;

"salaried dentist" means a dentist, employed by a Health Board to provide general dental services;

(2) In this Section, a reference to a numbered paragraph is to the paragraph bearing that number and a reference in a paragraph to a numbered sub-paragraph is to the sub-paragraph bearing that number in that paragraph.

### **Conditions of Payment**

2.—(1) It shall be a condition of the payment of remuneration for the provision of general dental services that a dentist satisfies the conditions specified in sub-paragraph (2) and sub-paragraphs (3) and/or (4) where appropriate.

- (2) The conditions referred to in sub-paragraph (1) are that the dentist shall—
- (a) submit a claim for remuneration to the Board in accordance with paragraph 3 (completion of forms);
- (b) comply with paragraph 4 (time limits); and
- (c) comply with paragraph 5 (conditions as to materials).
- (3) It is a condition of payment of remuneration that the prior approval of the Board is obtained for any course of care and treatment under a continuing care arrangement or a capitation arrangement, including any treatment on referral, or any occasional treatment or orthodontic treatment, the cost of which exceeds or is likely to exceed £430. Such prior approval is required under paragraph 28 of Schedule 1 of the Regulations. The costs which are excluded from the prior approval calculation are set down in Schedule 4 of the Regulations.
- (4) It shall be a condition of payment of remuneration for the provision of orthodontic treatment as specified in Section VIII of this Determination that a dentist when undertaking orthodontic treatment shall have regard to guidance on the Index of Orthodontic Treatment Need and on orthodontic treatment issued by the Scottish Government.

#### **Completion of Appropriate Dental Payment Claim**

- 3.—(1) A dentist, including a salaried dentist, who submits a claim by an electronic communication shall—
  - (a) at the appropriate time, secure the completion, so far as applicable, by the patient or any person acting on behalf of the patient, of the practice record form either on a form supplied by the Health Board or in electronic form;
  - (b) use only such computer program as the Board has approved as suitable for the purpose;
  - (c) include with the claim—
    - (i) the number by which his arrangement with the Health Board is identified, the patient's name and address, sex and date of birth and details of the care and treatment provided; and
    - (ii) the personal identification number allocated to him by the Board for the purpose; and
  - (d) shall ensure so far as is reasonably possible, that the data is transmitted to the Board in the format required by the Board.
- (2) A dentist, including a salaried dentist, who submits a claim by electronic communication, is deemed to have accepted responsibility for any claim which is made using the personal identification number allocated to him by the Board for that purpose.
- (3) A dentist, including a salaried dentist who submits a claim by post or by hand for the provision of orthodontic treatment, shall, at the appropriate time—
  - (a) complete each relevant part of the dental payment claim; and
  - (b) secure the completion of that claim as far as applicable, by the patient or any person acting on behalf of the patient.

(4) Any claim submitted to the Board under sub-paragraph (1) or (3) shall be completed in accordance with instructions given by the Scottish Government, or the CSA on behalf of the Scottish Government.

#### **Time Limits**

- 4.—(1) A dentist, including a salaried dentist, shall:—
  - (a) where care and treatment for a patient is provided under a continuing care or a capitation arrangement, submit the appropriate dental payment claim to the Board within three months of the completion of such care and treatment;
  - (b) in the case of treatment on referral, submit the appropriate dental payment claim to the Board within three months of the completion of such treatment on referral; or
  - (c) in the case of occasional treatment, submit the appropriate dental payment claim to the Board within three months of the completion of such occasional treatment.
- (2) In very exceptional circumstances where an adequate reason is provided the Board may have discretion to pay claims received outwith the time limit. Each claim will be considered by the Board on its merits under this discretionary power. Batches of claims will not be considered under this discretionary power.

#### **Conditions as to Materials**

- 5.—(1) A dentist, including a salaried dentist, shall not, without the prior approval of the Board, use materials other than dental amalgam, silicate, silico-phosphate or glass ionomer cements or composites or other self-polymerising synthetic resin filling materials for the filling of permanent teeth.
  - (2) A dentist, including a salaried dentist:—
  - (a) shall only use in metal denture bases—
    - (i) an alloy containing not less than  $33^{1/3}\%$  fine gold, platinum or palladium and not more than 20% base metal; or
    - (ii) stainless steel; or
    - (iii) cobalt chromium casting alloy;
  - (b) shall only use in bands and clasps—
    - (i) an alloy containing not less than 40% precious metal of fine gold, platinum or palladium, and including not less than 15% fine gold, and not more than 20% base metal; or
    - (ii) stainless steel; or
    - (iii) cobalt chromium casting alloy;
  - (c) shall only use in cusps, backing posts and tags—
    - (i) an alloy containing not less than  $33^{1/3}\%$  fine gold, platinum or palladium and not more than 20% base metal; or

- (ii) stainless steel; or
- (iii) cobalt chromium casting alloy; or
- (iv) nickel chromium casting alloy;

provided that he shall only use metal cusps in cases of close bite where porcelain or synthetic resin teeth cannot be used:

- (d) shall only use lingual or palatal bar castings which contain not less than 75% gold;
- (e) shall only use in crowns—
  - (i) an alloy containing not less than  $33^{1/3}$ % fine gold, platinum or palladium and not more than 20% base metal; or
  - (ii) stainless steel; or
  - (iii) cobalt chromium casting alloy; or
  - (iv) nickel chromium casting alloy;
- (f) shall only use in inlays and bridges—
  - (i) an alloy containing not less than  $33^{1/3}$ % of fine gold, platinum or palladium and not more than 20% base metal; or
  - (ii) cobalt chromium casting alloy; or
  - (iii) nickel chromium casting alloy; and
- (g) shall only use in inlay or three-quarter crown construction, synthetic resin for the provision of any facing or core.

#### **Claims for Repairs and Replacements**

#### 6.—(1) Where a dentist:—

- (a) in the course of a continuing care arrangement, repairs or replaces in accordance with paragraph 7 of Schedule 1 to the Regulations, a restoration which he or another dentist on his behalf has provided;
- (b) in the course of a capitation arrangement, repairs or replaces any restoration specified in sub-paragraph (3), which he or another dentist on his behalf has provided and for which remuneration apart from the capitation fee may be payable; or
- in the course of providing treatment on referral, repairs or replaces in accordance with paragraph 14 of Schedule 1 to the Regulations, a restoration which he has provided;

he may not make a claim to the Board for payment of the fee, unless that repair or replacement is required as a result of trauma.

(2) Where a dentist makes a claim under sub-paragraph (1) due to the repair or replacement being required as a result of trauma, the Board shall authorise in accordance with the Scale of Fees the remuneration to be paid by the CSA to, or in respect of, the dentist in respect of that repair or replacement.

- (3) The restorations referred to in sub-paragraph (1)(b) are any filling, root filling, inlay, pinlay or crown which, within 12 months of the date on which it was provided, has to be repaired or replaced to secure oral health.
- (4) Where a dentist, in the course of a continuing care arrangement or capitation arrangement transferred to him, repairs or replaces in accordance with paragraph 7 of Schedule 1 to the Regulations, a restoration which was provided by or on behalf of the dentist from whom the arrangement was transferred, he may make a claim to the Board for payment of the fee in full for that repair or replacement.
- (5) Where a dentist makes a claim under sub-paragraph (4), the Board shall authorise in accordance with the Scale of Fees the remuneration to be paid by the CSA to, or in respect of, the dentist in respect of that repair or replacement.

#### Claims for Repairs and Replacements – Occasional Treatment

- 7.—(1) Where a dentist in the course of occasional treatment repairs or replaces in accordance with paragraph 18 of Schedule 1 to the Regulations, a restoration which he or another dentist on his behalf has provided he may not make a claim to the Board for payment of the fee, unless the repair or replacement is required as a result of trauma.
- (2) Where a dentist makes a claim under sub-paragraph (1) due to the repair or replacement being required as a result of trauma, the Board shall authorise in accordance with the Scale of Fees the remuneration to be paid by the CSA to, or in respect of, the dentist in respect of that repair or replacement.
- (3) The restorations referred to in sub-paragraph (1), are any filling or root filling which, within 12 months of the date it was provided, has to be repaired or replaced to secure oral health.

### **Emergency Dental Services**

8. Where a dentist provides, pursuant to arrangements made under regulation 19 of the Regulations, emergency dental services to a patient who has a continuing care or capitation arrangement with him, he shall not be entitled to claim item of service fees in respect of any treatment provided to that patient as part of emergency dental services.

## **DETERMINATION II**

# RATES OF REMUNERATION FOR A SALARIED DENTIST AND THE PROVISION OF EMERGENCY DENTAL SERVICES

#### Part I — General

#### **Application**

- 1.—(1) The provisions of Parts I and III of this Determination shall apply to a dentist who enters into an arrangements with a Health Board to provide emergency dental services.
- (2) The provisions of Parts I and IV of this Determination shall apply to a salaried dentist where he is employed by a Health Board in accordance with the salary plus bonus scheme.

#### Interpretation

2.—(1) In this Determination, unless the context otherwise requires:

"dentist" means a registered dental practitioner whose name is included on a dental list prepared by a Health Board in accordance with regulation 4(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010;

"quarter" means a period of 3 months ending on 31 March, 30 June, 30 September or 31 December;

"salaried dentist" means a dentist employed by a Health Board to provide general dental services;

"salaried plus bonus dentist" means a salaried dentist employed by a Health Board in accordance with the salary plus bonus scheme;

(2) In this Determination, a reference to a numbered paragraph is to the paragraph bearing that number in this Determination and a reference in a paragraph to a numbered sub-paragraph is to the sub-paragraph bearing that number in that paragraph.

#### Part II — Salaried Dentists

1. The rates of remuneration and allowances payable to salaried dentists are published by the Scottish Government from time to time by means of a PCS(DD).

# Part III — Emergency Dental Services

- 2. Where a dentist enters into arrangements with a Health Board to provide emergency dental services under Regulation 19, he shall:
  - (a) be paid a fee for each 3 hour session he undertakes with effect from 1 April 2009, of £119.55 plus a pro-rata sum appropriate to the sessional fee for each hour or part hour worked in excess of that 3 hour session; and
  - (b) be paid for the mileage travelled in excess of 32.2 kilometres (20 miles) (for each round trip to an emergency session) at normal NHS rates.

# Part IV—Salaried Plus Bonus Dentists

- 3.—(1) The remuneration payable by a Health Board to a salaried plus bonus dentist shall be in accordance with this paragraph.
- (2) Salary payment will be made monthly with the bonus being paid quarterly based on the previous 3 months' work. The Health Board will recover any amount overpaid by way of bonus on the annual amount due to the salaried dentist.
- (3) The salary will be payable at an annual rate determined by Scottish Ministers with a bonus for gross fee income in excess of 2 times that annual salary rate. With effect from 1 April 2013:
  - (a) the salary will be payable at annual rate of £30,776; and
  - (b) the bonus payable will be 37.1% of the amount of gross fee income in excess of £60,496.

# DETERMINATION III SENIORITY PAYMENTS

Determination III describes the eligibility conditions for claiming a seniority payment; the amount that can be claimed; and how to make a claim.

#### **Conditions of Eligibility**

- 1.—(1) To be eligible for an allowance under this Determination a dentist must have:
  - (a) their name included in sub-part A of the first part of a dental list;
  - (b) reached the age of 55 years on or before the first day of the relevant quarter;
  - (c) provided general dental services, other than as a salaried dentist or as an assistant, for at least 10 years since July 1948, at least 5 years of which (whether or not either of such periods has been continuous) must have been within the 10 years immediately preceding the first day of the relevant quarter;
  - (d) received pensionable earnings of at least £237,000 within the previous 10 financial years;
  - (e) undertaken at least 2 approved postgraduate education sessions in the 5 quarters prior to the first day of the quarter to which the claim relates.

#### **Amount of Allowance**

- 2.—(1) A seniority payment of 10% of the accumulated gross fees authorised for payment to the dentist in the relevant quarter, up to a maximum accumulated gross fees per dentist by the end of the relevant quarter as stated in Table 1 below, will be paid to a dentist who meets the conditions of eligibility in paragraph 1 and:
  - (a) has accumulated gross fees, to the end of the relevant quarter, of at least those set out in Table 2 below; or
  - (b) if they cannot meet the accumulated gross fees as set out in Table 2, then at least 90% of their total earnings from dentistry are attributable to their accumulated gross fees authorised for payment to the dentist, to the end of the relevant quarter.

Table 1 – maximum accumulated gross fees per quarter

Quarter ending	Accumulated gross fees
1st quarter	£39,286
2nd quarter	£78,572
3rd quarter	£117,858
4th quarter	£157,144

Table 2 – minimum accumulated gross fees per quarter

Quarter	Accumulated gross fees
1st quarter	£7,022
2nd quarter	£14,044
3rd quarter	£21,066
4th quarter	£28,088

#### Claim for Allowance

- 3. —(1) A dentist must make a claim for an allowance under paragraph 2 to the CSA on a form supplied by the Health Board and must include with the claim the details of their fulfilment of the conditions set out in paragraph 1.
- (2) Where a dentist, who meets the conditions of entitlement, provides general dental services in more than one Health Board area only one claim for an allowance under this Determination is to be paid.
- (3) A claim cannot be made in respect of any period before the quarter immediately preceding the quarter in which the application is received.
- (4) A dentist will not be entitled to a seniority payment from the first day of the quarter immediately following any quarter in which they receive a superannuation benefit.
- (5) A dentist must notify the CSA within one month of any change of circumstances which may affect their entitlement to a payment under this Determination.

#### Interpretation

5.—(1) In this Determination, unless the context otherwise requires:

"accumulated gross fees" means the gross fees under Determination I authorised for payment by the Scottish Dental Practice Board in respect of a period since 1 April in a financial year;

"approved postgraduate education session" means a session of not less than two-and-a-half hours' duration which forms the whole or a part of a course approved by a postgraduate dean;

"the CSA" means the Common Services Agency for the Scottish Health Service constituted under Section 10 of the National Health Service (Scotland) Act 1978;

"dental list" means a dental list prepared by a Health Board in accordance with regulation 4(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010;

"dentist" means a registered general dental practitioner whose name is included in sub-part A of the first part of a dental list;

"financial year" means the period beginning on 1 April in one year and ending on 31 March in the next year;

"payment period" means a period since 1 April in a financial year;

"pensionable earnings" means that remuneration defined—

(a) in Schedule 1 to the National Health Service Superannuation Scheme

(Scotland) Regulations 1995; or

(b) in Schedule 2 to the National Health Service Pension Scheme Regulations 1995;

which is paid to a dentist for the provision of general dental services whether or not such a dentist is entitled to participate in the benefits provided under those Regulations, as if that definition—

- (i) excluded payments made under this Determination and remuneration as a salaried practitioner; and
- (ii) disregarded any limit on remuneration of which account shall be taken under those Regulations;

"quarter" means a period of 3 months ending on 31 March, 30 June, 30 September or 31 December;

"seniority payment" has the meaning assigned to it in paragraph 2(1) of this Determination;

"superannuation benefit" means any payment, other than a refund of contributions, made to a dentist by virtue of the application of the (a) National Health Service Pension Scheme Regulations 1995; or (b) the National Health Service Superannuation Scheme (Scotland) Regulations 1995 or (c) the corresponding provisions of the law in force in Northern Ireland; as a result of his providing general dental services;

"1st quarter" means the period beginning on 1 April and ending on 30 June in a financial year;

"2nd quarter" means the period beginning on 1 July and ending on 30 September in a financial year;

"3rd quarter" means the period beginning on 1 October and ending on 31 December in a financial year;

"4th quarter" means the period beginning on 1 January and ending on 31 March in a financial year.

- (2) In this Determination a reference to any enactment or statutory instrument is to that enactment or statutory instrument as amended or re-enacted by any subsequent enactment or statutory instrument.
- (3) In this Determination a reference to a numbered paragraph is to the paragraph bearing that number in this Determination and a reference in a paragraph to a numbered sub-paragraph is to the sub-paragraph bearing that number in that paragraph.

# DETERMINATION IV VOCATIONAL TRAINING ALLOWANCES

#### Interpretation

1.—(1) In this Determination, unless the context otherwise requires:

"dental list" means a dental list prepared by a Health Board in accordance with regulation 4(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010;

"dentist" means a registered dental practitioner whose name is included in sub-part A of the first part of a dental list;

"EEA" means European Economic Area;

"trainee" means a dentist who is employed by a trainer as an assistant in accordance with paragraph 2 of this Determination;

"trainer" means a dentist whose name is included in sub-part A of the first part of the dental list who employs a trainee as an assistant in accordance with paragraph 2 of this Determination:

"year" means a period beginning on 1 August in one year and ending on 31 July in the next year.

(2) In this Determination, a reference to a numbered paragraph is to the paragraph bearing that number in this Determination and a reference in a paragraph to a numbered sub-paragraph is to the sub-paragraph bearing that number in that paragraph.

#### **Entitlement**

- 2.—(1) A trainer who satisfies the conditions specified in sub-paragraph (2) and who makes a claim to NHS Education for Scotland in accordance with paragraph 5 shall be entitled to the allowances specified in paragraph 3.
  - (2) The conditions referred to in sub-paragraph (1) are:
    - (a) the trainer's name is included in sub-part A of the first part of the dental list of a Health Board:
    - (b) the trainer's application to act as a trainer in a vocational training scheme for general dental practice has been approved by the Postgraduate Dental Dean;
    - (c) the trainer has engaged a trainee under a contract of employment as an assistant in his dental practice for a period of one year's full time employment (or an equivalent period of part-time employment) or for such additional period, not exceeding three months' full-time employment or the part-time equivalent, as the Postgraduate Dental Dean has authorised as necessary for the completion of vocational training and the trainee is for the time being employed by the trainer under such a contract;
    - (d) the trainer has agreed to pay the trainee a monthly salary at the rate specified in respect of the remuneration of the trainee in this Determination'; and

- (e) the trainer agrees to meet all the conditions of the assessment system required for satisfactory completion as set down by NHS Education for Scotland.
- (3) No allowances will be made under this Determination in respect of trainees who are overseas nationals (other than EEA nationals);
  - (a) who hold a work permit from the Department for Education and Employment issued under the Training and Work Experience Scheme, or
  - (b) who do not have a right to work in the United Kingdom for a period greater than 12 months from the date of commencement of the training contract.

#### Allowances

- 3.—(1) NHS Education for Scotland shall pay the following allowances to the trainer each month during the currency of the training contract:
  - (a) a training grant:
    - (i) in any case where the trainee's contract provides for employment of at least 35 hours each week, inclusive of any attendance by the trainee at a day release course in connection with the vocational training scheme, the sum specified in paragraph 4(a); or
    - (ii) in any case where the trainee's contract provides for employment of less than 35 hours each week as defined above, a sum proportionate to the sum specified in paragraph 4(a), calculated by reference to the number of hours for which the contract provides;
  - (b) reimbursement of the salary which the trainer has paid to the trainee;
    - (i) in any case where the trainee's contract provides for employment of at least 35 hours each week inclusive of any attendance by the trainee at a day release course in connection with the vocational training scheme, the sum specified in paragraph 4(b); or
    - (ii) in any case where the trainee's contract provides for employment of less than 35 hours each week as defined above, a sum proportionate to the sum specified in paragraph 4(b), calculated by reference to the number of hours for which the contract provides; and
  - (c) reimbursement of the amount of the national insurance contributions which the trainer has paid each month as the employer of the trainee.

#### **Amount of Allowances**

- 4. The sums referred to in paragraph 3 are as follows:
  - (a) training grant in respect of a contract providing for employment of at least 35 hours each week with effect from 1 August 2014:
    - (i) £1,167 a month (£14,004 per annum) where the dentist has less than 4 years experience as a trainer; or
    - (ii) £1,250 a months (£15,000 per annum) where the dentist has 4 or more years experience as a trainer;

over the previous 10 years immediately preceding the current year.

(b) reimbursement of salary paid to the trainee under a contract providing for employment of at least 35 hours each week with effect from 1 April 2021, £2,937.17 a month (£35,246 per annum).

#### Claim for Allowances

- 5.—(1) The trainer shall make the claim for the allowances on a form supplied by NHS Education for Scotland for the purpose, or on a form to like effect.
- (2) The dentist shall notify NHS Education for Scotland of any change in his circumstances which may affect his entitlement to the payment of the allowances.

#### Failure to Meet Conditions of Allowance

- 6.—(1) Where the trainer fails to meet the condition set out in paragraph 2(2)(e) he shall be required to repay to NHS Education for Scotland a proportion of the allowance received.
  - (2) The amount of the allowance to be recovered shall not exceed 30% of the allowance paid.
- (3) NHS Education for Scotland may waive repayment of the allowance in any case where it considers that the failure to meet the conditions was because of exceptional circumstances.

#### **Termination of Contract**

7. If the contract between the trainer and the trainee is terminated by either party before it has run its full course and the trainee does not serve out a period of notice but instead is paid an amount equal to the salary due in respect of the period of notice, the trainer will be reimbursed the amount of the salary which he has paid to the trainee up to a maximum of one month's salary. In these circumstances the payment of the training grant will cease from the date of the expiry of the period of notice.

# DETERMINATION V MATERNITY PAYMENTS, PATERNITY PAYMENTS AND ADOPTIVE LEAVE PAYMENTS

Determination V describes the amount and duration of maternity, paternity and adoptive leave payments which can be claimed. It also explains the eligibility conditions for these payments, including how they apply to surrogacy arrangements; how to make a claim; and what happens if a dentist fails to return to work after claiming these payments.

#### **Conditions of Eligibility**

- 1.—(1) Subject to paragraphs 1(5) and 1(6), a dentist who, at the date when the claim is made, satisfies the conditions specified in paragraphs 1(2), 1(3) or 1(4) and who makes a claim to the CSA, in accordance with paragraph 4, will be eligible for a maternity, paternity or adoptive leave payment calculated in accordance with paragraph 2.
  - (2) The maternity payment conditions are that:
    - (a) the dentist's name has been included in sub-part A of the first part of a dental list, for at least 2 years, the last 26 weeks of which must be continuous and immediately precede the 15th week before the expected week of confinement;
    - (b) the dentist has reached, or been confined before reaching, the 11th week before the expected week of confinement;
    - (c) the dentist has ceased to provide general dental services because of pregnancy but their name remains included in sub-part A of the first part of the dental list;
    - (d) the dentist will transfer care of their patients, including any patient registrations, to the dentist or deputy who is taking over responsibility for their patients during the pay period; and
  - (3) The paternity payment conditions are that:
    - (a) the dentist's name has been included in sub-part A of the first part of a dental list, for at least 2 years, the last 26 weeks of which must be continuous and immediately precede the date of birth<sup>3</sup> or adoption;
    - (b) the dentist's spouse or partner has:
      - (i) given birth,

(ii) adopted a child and is the main care provider and the dentist is also an adoptive parent of that child, or

(iii) become the intended parent through a surrogacy arrangement and is the main care provider, and the dentist is also an intended parent of the child through that surrogacy arrangement;

<sup>&</sup>lt;sup>3</sup> In a surrogacy arrangement, this is the date of birth of the child in that arrangement.

- (4) The adoptive leave payment conditions are that:
  - (a) the dentist's name has been included in sub-part A of the first part of a dental list, for at least 2 years, the last 26 weeks of which must be continuous and immediately precede the date of adoption, or the date of birth of the child in a surrogacy arrangement;
  - (b) the dentist has:
    - (i) become the adoptive parent of a child and is the main care provider for that child, or
    - (ii) become the intended parent through a surrogacy arrangement and is the main care provider for the child;
  - (c) the dentist has ceased to provide general dental services because of the adoption or surrogacy arrangement, but their name remains included in sub-part A of the first part of the dental list;
  - (d) the dentist will transfer care of their patients, including any patient registrations, to the dentist or deputy who is taking over responsibility for their patients during the pay period; and
- (5) In the case of a dentist who has undergone one year's approved vocational training, the two years mentioned in paragraph 1(2)(a), 1(3)(a) and 1(4)(a) will be reduced to one year.
- (6) A dentist who is in receipt of payments in consequence of suspension under Scottish Ministers' Determination made under regulation 26 of the National Health Service (General Dental Services) (Scotland) Regulations 2010 will not be entitled to payments under this Determination.

#### **Amount of Payments**

- 2.—(1)The amount payable per week will be a maximum of £1,399.00 per dentist, pro-rated by:
  - (a) the whole time equivalent hours the dentist normally provides; and then by
  - (b) the dentist's NHS commitment level.

#### **Duration of Payments**

- 3.—(1) Subject to paragraph 3(3), a payment calculated in accordance with paragraph 1 will be payable in respect of each week during the pay period for a maximum of 26 weeks.
  - (2) Payments will:
    - (a) be payable monthly in arrears and only for complete weeks; and
    - (b) not be payable for any week falling more than 5 weeks before the date on which the dentist makes the claim.
- (3) A payment will not be payable for any week in the pay period during which any activity goes through on the dentist's list number.
- (4) Where a dentist who is in receipt of payment dies before the end of the pay period, the CSA will pay to that dentist's executor or personal representatives the balance of payments due for as many weeks of the pay period as were not paid at the date on which the dentist died.
  - (5) The payments will be included in the dentist's monthly schedule of fees paid by the CSA.

#### **Claims for Payment**

- 4.—(1) A dentist must submit a claim for payment, on an electronic form supplied by the CSA, on which they must make a declaration confirming:
  - (a) for a maternity payment:
    - (i) that they have a maternity certificate or other statement completed by a registered medical practitioner or registered midwife, giving the expected or actual date of confinement:
    - (ii) the whole time equivalent hours they normally provide;
    - (iii) their NHS commitment level.
    - (iv) that they intend to return to practice and provide general dental services, for a minimum of 30 calendar days, within one year of the date of confinement and agree to repay any maternity payments if they do not return to provide general dental services:
    - (v) that they have not made a claim for maternity payments for this confinement or expected confinement in any other Health Board on whose dental list they are included; and
    - (vi) that they will provide proof to support their declaration if required to do so by the CSA.
  - (b) for a paternity payment:
    - (i) the expected or actual date of birth<sup>4</sup> of the child or, where the child is adopted, the expected or actual date the child is to be placed for adoption and the date the adopter was notified of having been matched with the child;
    - (ii) that they will share responsibility for the child's upbringing and are taking time off to support their partner or to care for the child;
    - (iii) the whole time equivalent hours they normally provide;
    - (iv) their NHS commitment level.
    - (v) that they have not made a claim for paternity payments for the birth<sup>5</sup> or adoption of this child in any other Health Board on whose dental list they are included; and
    - (vi) that they will provide proof to support their declaration if required to do so by the  $\mbox{CSA}$  .
  - (c) for an adoptive leave payment:
    - (i) the expected or actual date the child is to be placed for adoption or the expected or actual date of birth of the child in a surrogacy arrangement;
    - (ii) the date the adopter was notified of having been matched with the child or, in a surrogacy arrangement, that they have, or intend to apply for, a parental or adoption order for the child;

<sup>&</sup>lt;sup>4</sup> In a surrogacy arrangement, this is the date of birth of the child in that arrangement.

<sup>&</sup>lt;sup>5</sup> In a surrogacy arrangement, this is a reference to the birth of the child in that arrangement.

- (iii) that the dentist will be the main care giver for the child
- (iii) the whole time equivalent hours they normally provide;
- (iv) their NHS commitment level.
- (v) that they intend to return to dental practice to provide general dental services, for a minimum of 30 calendar days, within one year of the date of adoption, or the date of birth of the child in a surrogacy arrangement, and agree to repay any adoptive leave payment if they do not return to provide general dental services;
- (vi) that they have not made a claim for adoptive leave payments for this child in any other Health Board on whose dental list they are included; and
- (vii) that they will provide proof to support their declaration if required to do so by the CSA.
- (2) Where a dentist's name is included in sub-part A of the first part of the dental list of more than one Health Board, the dentist shall make the claim for payments in respect of the Health Board for whom that dentist provides the greater or greatest proportion of general dental services at the date of claim.
- (3) Where a dentist's entitlement to payments depends on a period during which the dentist's name was included in a dental list in England, Northern Ireland or Wales the claim for payments must include sufficient information to enable the CSA to determine entitlement and consent to the disclosure of information by an equivalent authority covering England, Northern Ireland or Wales, where appropriate.
- (4) Where a dentist takes paternity leave other than as two consecutive weeks the dentist must submit a separate claim for payment for each individual week of paternity leave.

#### Failure to Return to Work

- 5.—(1) Subject to paragraph 5(2), a dentist who does not return to dental practice to provide general dental services, for a minimum of 30 calendar days, within 1 year of the date of confinement, the date of the adoption or the date of birth of the child in a surrogacy arrangement, as the case may be, must repay all payments made under this Determination, if required to do so by the Health Board, within such period as the Health Board considers to be reasonable.
- (2) The Health Board may waive repayment of payment in any case where it considers that a dentist is unable to return to work because of exceptional circumstances.

#### Interpretation

- 6. In this Determination, unless the context otherwise requires:
  - "the CSA" means the Common Services Agency for the Scottish Health Service constituted under section 10 of the National Health Service (Scotland) Act 1978;
  - "confinement" means the birth of a living child, or the birth of a child, whether living or not, after 24 weeks of pregnancy;
  - "dental list" means a dental list prepared by a Health Board in accordance with regulation 4(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010 or any equivalent to such list prepared by an equivalent body in England, Northern Ireland or Wales;
  - "dentist" means a registered dental practitioner whose name is included in sub-part A of the first part of the dental list;

"deputy" means a dentist who acts on behalf of another dentist, other than in the capacity of an assistant, for the purpose of providing general dental services;

"expected date of confinement" means the date on which the birth of a child is expected;

"expected week of confinement" means the week in which the birth of a child is expected; "list number" means the identification number for the dental list prepared by a Health Board in accordance with regulation 4(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010 that is assigned by the CSA to an individual dentist at a specific practice and which is used for the purpose of making payments;

"intended parent through a surrogacy arrangement" means one of a couple who has applied for, or intends to apply for, a parental or adoption order for a child, and includes a person who has obtained such a parental or adoptive order;

"NHS commitment level" means the average percentage of time spent on NHS work compared to private work in a typical week;

"partner" means a member of a couple who, in the case of a man and a woman are living as husband and wife, and, in any other case, are living in a relationship which has the characteristics of the relationship between husband and wife except that the couple are of the same sex;

"paternity payment" includes payment for the adoptive leave for an adoptive parent who is not the main care provider;

"payment" means a maternity payment, a paternity payment, or an adoptive leave payment;

"pay period" means—

- (a) for a maternity payment, a period not exceeding 26 weeks which starts—
  - (i) no earlier than 11 weeks before the expected week of confinement, nor later than the expected week of confinement; or
  - (ii) on the Monday immediately before the actual date of confinement, if confinement occurs earlier than 11 weeks before the expected week of confinement:
- (b) for a paternity payment, a period not exceeding 2 weeks, which need not be taken consecutively but must be taken in full weeks, within 26 weeks of the date of birth<sup>6</sup> or adoption;
- (c) for an adoptive leave payment, a period not exceeding 26 weeks which immediately follows the date of the adoption, or in a surrogacy arrangement the date of birth of the child;

"week" means a period of 7 days beginning with midnight between Sunday and Monday;

"Whole time equivalent hours" means a working week, combining both NHS work and private work, with a minimum of 37.5 hours per week.

•

<sup>&</sup>lt;sup>6</sup> In a surrogacy arrangement, this is the date of birth of the child in that arrangement.

# DETERMINATION VI LONG TERM SICKNESS PAYMENTS

Determination VI describes the amount and duration of payments which can be claimed for long term sickness. It also explains the eligibility conditions for these payments and how to make a claim.

#### **Conditions of Eligibility**

- 1.—(1) Subject to paragraphs 1(3) and 1(4), a dentist who, at the date when the claim is made, satisfies the conditions specified in paragraph 1(2) and who makes a claim to the CSA, in accordance with paragraph 4, will be eligible for sickness payments calculated in accordance with paragraph 2.
- (2) The sickness payment conditions are thatthe dentist's name has been included in sub-part A of the first part of a dental list for at least 2 years, which need not be continuous, with the same Health Board, or immediately precede the period of sickness;
- (3) In the case of any dentist who has undergone one year's approved vocational training, the two years mentioned in paragraph 1(2) will be reduced to one year.
  - (4) A dentist who is in receipt of:
    - (a) payments in consequence of suspension under Scottish Ministers' Determination made under regulation 26 of the National Health Service (General Dental Services) (Scotland) Regulations 2010; or
    - (b) maternity, paternity, or adoptive leave payments under Determination V of the Statement of Dental Remuneration;

will not be entitled to sickness payments under this Determination.

#### **Amount of Sickness Payment**

- 2.—(1) The amount payable per week will be a maximum of £349.00 pro-rated by:
  - (a) the whole time equivalent hours the dentist normally provides; and then by
  - (b) the dentist's NHS commitment level.

#### **Duration of Sickness Payments**

- 3.—(1) Subject to paragraphs 3(2) and 3(4), sickness payments calculated in accordance with paragraph 2 will be payable in respect of any complete week during the period of sickness which a dentist is unable to provide general dental services because of sickness.
  - (2) Sickness payments:
    - (a) will not be payable in respect of the first four weeks of any period of sickness;
    - (b) will be payable for a maximum of 22 weeks in any period of sickness; and
    - (c) will stop when the dentist returns to dental practice to provide general dental services or the dentist's name is no longer included in sub-part A of the first part of the dental list, whichever occurs first.

- (3) Sickness payments will be payable monthly in arrears and only for complete weeks and may be backdated.
- (4) Where a dentist who is in receipt of sickness payments dies before the end of the period of sickness, the CSA will pay to his executor or personal representatives the balance of the sickness payments due for as many of the 22 weeks as were not paid at the date on which he died.
- (5) Sickness payments will be included in the dentist's monthly schedule of fees paid by the CSA.

#### **Claim for Sickness Payments**

- 4.—(1) A dentist must submit a claim for payment on an electronic form supplied by the CSA, on which they must make a declaration confirming:
  - (a) that they have a medical certificate, or other statement, completed by a registered medical practitioner confirming the dentist is incapable of work by reason of sickness;
  - (b) the whole time equivalent hours they normally provide;
  - (c) their NHS commitment level;
  - (d) if their name is included in sub-part A of the first part of the dental list of more than one Health Board, that the claim for sickness payment has been made in respect of the Health Board they provide the greater or greatest proportion of general dental services at the date the claim is made; and
  - (e) that they will provide proof to support their declaration if required to do so by the CSA.
- (2) Where a dentist's entitlement to payments depends on a period during which the dentist's name was included in a dental list in England, Northern Ireland or Wales the claim for payments must include sufficient information to enable the CSA to determine entitlement and consent to the disclosure of information by an equivalent authority covering England, Northern Ireland or Wales, where appropriate.

#### Interpretation

5.—(1) In this Determination, unless the context otherwise requires:

"the CSA" means the Common Services Agency for the Scottish Health Service constituted under Section 10 of the National Health Service (Scotland) Act 1978;

"dental list" means a dental list prepared by a Health Board in accordance with regulation 4(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010 or any equivalent to such list prepared by an equivalent body in England, Northern Ireland or Wales;

"dentist" means a registered dental practitioner whose name is included in sub-part A of the first part of the dental list;

"NHS commitment level" means the average percentage of time spent on NHS work compared to private work in a typical week;

"period of sickness" means the period beginning with the date on which the dentist ceases to provide general dental services because of sickness and ending with the date on which the dentist returns to dental practice to provide general dental services;

"Whole time equivalent hours" means a working week, combining both GDS and private work, with a minimum of 37.5 hours per week;

"week" means a period of 7 days beginning with midnight between Sunday and Monday.

## DETERMINATION VII CONTINUING PROFESSIONAL DEVELOPMENT ALLOWANCES

#### Interpretation

1.—(1) In this Determination, unless the context otherwise requires:

"approved postgraduate course" means a course approved by the Postgraduate Dental Dean, NHS Education for Scotland;

"CPD" means verifiable continuing professional development, as described in the General Dental Council's publication of April 2000, "Lifelong Learning – Taking Dentistry Forward", and may include attendance at approved postgraduate education courses but does not include any quality improvement activities within the meaning of paragraph 40 of Schedule 1 to the National Health Service (General Dental Services) (Scotland) Regulations 2010 in respect of which he is claiming an allowance in accordance with Determination XI of this Statement;

"the CSA" means the Common Services Agency for the Scottish Health Service constituted under Section 10 of the National Health Service (Scotland) Act 1978;

"dental list" means a dental list prepared by a Health Board in accordance with regulation 4(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010 or in accordance with regulation 4(1A) of the National Health Service (General Dental Services) (Scotland) Regulations 1996 prior to 2 July 2010;

"dentist" means a registered dental practitioner whose name is included in sub-part A of the first part of the dental list;

"financial year" means the period beginning on 1 April in one year and ending on 31 March in the next year;

"total earnings" means all of the dentist's gross earnings from the practice of dentistry by him in person, and "NHS earnings" means the dentist's gross earnings from the provision by him in person of general dental services under the National Health Service (Scotland) Act 1978, as amended, including where the dentist's name is included in sub-part A of the first part, or Part A prior to 2 July 2010, of two or more dental lists in Scotland, but neither his total earnings nor his NHS earnings shall be taken to include any remuneration by way of salary;

"year" means the period beginning on 1 April in one year and ending on 31 March in the next year.

- (2) Where a question arises as to whether a dentist is a remote dentist this will be determined by the CSA whose decision is final.
- (3) In this Determination, a reference to a numbered paragraph is to the paragraph bearing that number in this Determination and a reference to a numbered sub-paragraph is to the sub-paragraph bearing that number in that paragraph.

#### **Conditions of Entitlement**

- 2.—(1) A dentist who satisfies the conditions specified in sub-paragraph (2) and who makes a claim to the CSA in accordance with paragraph 4 shall be paid a CPD allowance of an amount as certained in accordance with paragraph 3.
  - (2) For the purposes of sub-paragraph (1) the specified conditions are that:

- (a) the dentist's name is included in sub-part A of the first part of a dental list;
- (b) subject to sub-paragraph (3), either:
  - (i) the dentist's NHS earnings in the financial year prior to that in respect of which the allowance is to be paid are not less than the sum listed below:

£27,800; or

- (ii) at least 90 per cent of the dentist's earnings from the practice of dentistry in the year prior to that in respect of which the allowance is to be paid were attributable to NHS earnings.
- (3) The condition referred to in sub-paragraph 2(b) does not apply to any dentist whose name has been included in sub-part A of the first part of a dental list for less than 1 year on 1 April in the year in respect of which his claim is made.

#### **Amount of Allowance**

3.—(1) The amount of CPD allowance to be paid where a dentist has satisfied the conditions in paragraph 2(2) and made a claim in accordance with paragraph 4 for attendance at an approved postgraduate course shall be:

Amount of Allowance
Nil
£115.55
£231.10

less any abatement in accordance with sub-paragraph (3).

- (2) Payments under sub-paragraph (1) shall not exceed £1,386.60 for the year, less any abatement in accordance with sub-paragraph (3).
- (3) Subject to paragraph 2(2)(b), the abatement to be applied is the amount which represents the percentage of the dentist's total earnings which is specified in column 2 of the Table below as corresponding to the proportion in column 1 of that Table which his NHS earnings bear to his total earnings in the year prior to that in respect of which the allowance is to be paid.

TABI			
Column 1	Column 2		
Proportion which NHS earnings bear to the total earnings	Percentage of earnings	Full Session	Half Session
90% or more	no abatement	£231.10	£115.55
80% or more but less than 90%	10%	£207.99	£103.99
70% or more but less than 80%	20%	£184.88	£92.44
60% or more but less than 70%	30%	£161.77	£80.88
50% or more but less than 60%	40%	£138.66	£69.33
40% or more but less than 50%	50%	£115.55	£57.78
30% or more but less than 40%	60%	£92.44	£46.22

20% or more but less than 30%	70%	£69.33	£34.66	
10% or more but less than 20%	80%	£46.22	£23.11	
Less than 10%	90%	£23.11	£11.55	

#### **Claim for Allowance**

- 4.—(1) A dentist shall make a claim for CPD allowance to the CSA, on a form supplied by the course organiser for the purpose, in which he states:
  - (a) the percentage of his NHS earnings from the provision by him in person of general dental services in relation to his total earnings for the practice financial year prior to the year in which his claim is made; and
  - (b) that if requested to do so by the CSA in the year following one in which he has been paid a CPD allowance, he will provide to the CSA at his own expense:
    - (i) a certificate signed by an accountant stating the proportion that his NHS earnings bore to his total earnings and that he will provide the certificate within three months of the request being made; or
    - (ii) certificates or course registration documents of the kind required by the General Dental Council in respect of verifiable CPD undertaken in the previous year, and that he will provide such certificates or documents within 28 days of the request being made.
- (2) Where a dentist's name is included in sub-part A of the first part of the dental list of more than one Health Board he shall make the claim in respect of the Health Board for which he provides the greater or greatest proportion of general dental services at the date of his claim.
- (3) A claim for an allowance under sub-paragraph (1) shall be made within 6 months of completion of the approved postgraduate course.

#### **Application of Determination**

5. This Determination applies to any dentist who attends an approved postgraduate course on or after 1 April 2002. Any amendment to this Determination shall take effect from the relevant amendment effective date.

# DETERMINATION VIII REIMBURSEMENT OF NON-DOMESTIC RATES

#### Interpretation

1.—(1) In this Determination, unless the context otherwise requires:

"body corporate" means a body corporate carrying on the business of dentistry;

"contractor" means a person who has undertaken to provide general dental services and whose name is included in sub-part A of the first part of the dental list;

"the CSA" means the Common Services Agency for the Scottish Health Service constituted under Section 10 of the National Health Service (Scotland) Act 1978;

"demand note" means the note issued by the rating authority, in relation to the premises for the purpose of levying non-domestic rates prescribed by Scottish Ministers for the financial year, in respect of which a claim is made under this Determination;

"dental list" means a dental list prepared by a Health Board in accordance with regulation 4(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010;

"director" means a director of a body corporate or a member of the body of persons controlling a body corporate;

"financial year" means the period beginning on 1 April in one year and ending on 31 March in the next year, except where defined as the "relevant period";

"gross earnings" in relation to premises means the gross earnings of the premises as a whole from the provision of general dental services under the National Health Service (Scotland) Act 1978, as amended;

"gross income" in relation to premises means the gross income of the premises as a whole;

"non-domestic rates" means the non-domestic rate levied in accordance with Section 7 of the Local Government (Scotland) Act 1975, as amended;

"premises" means relevant lands and heritages in respect of which non-domestic rates may be levied pursuant to section 7 of the Local Government (Scotland) Act 1975, as amended;

"rate payer" means the person who is liable to pay under section 8 of the Local Government (Scotland) Act 1975, as amended, an amount in respect of non-domestic rates;

"rating authority" has the same meaning as in the Local Government (Scotland) Act 1973, as amended;

"the Regulations" mean the National Health Service (General Dental Services) (Scotland) Regulations 2010;

"relevant period" means the rate payers last financial year ending in the year preceding that in respect of which a claim is made under this Determination.

(2) In this Determination, any reference to a numbered paragraph is to the paragraph bearing that number in this Determination and any reference in a paragraph to a numbered sub-paragraph is to the sub-paragraph bearing that number in that paragraph.

#### **Conditions of Entitlement**

- 2.—(1) Subject to sub-paragraph (4), a contractor who satisfies the conditions specified in sub-paragraph (2) and who makes a claim to the CSA in accordance with paragraph 4 shall be entitled to be reimbursed an amount, calculated in accordance with paragraph 3, in respect of the non-domestic rates payable in any financial year in relation to any premises at which he provides general dental services.
  - (2) The conditions referred to in sub-paragraph (1) are:
    - (a) the contractor is the rate payer, or is a partner in a partnership of dentists which is the rate payer or, is a director of a body corporate which is the rate payer, in relation to the premises in respect of which the claim is made ("the premises");
    - (b) the contractor's name is included in sub-part A of the first part of the dental list of the Health Board in whose locality the premises are situated and in respect of which the claim is made;
    - (c) subject to sub-paragraph (3), the gross earnings of the premises for the financial year preceding that in respect of which the claim is made are not less than the sum listed below—

for 2008/09 £27,400 for 2009/10 £27,800 from 2010/11 £28,050.

- (3) The CSA may waive the condition in sub-paragraph (2)(c) in any case where it considers it is reasonable in all the circumstances to do so.
- (4) Where the contractor satisfies the conditions in sub-paragraph (2) but the Health Board considers that that part of the premises to which paragraph 42(1) and 42(3) of Schedule 1 to the Regulations applies ("the premises"), does not satisfy the requirements of that paragraph, the CSA shall be entitled to delay payment of the reimbursement of an amount under this Determination until such time as the Health Board is satisfied the premises comply with the requirements of that paragraph.

#### **Amount of Reimbursement of Non-Domestic Rates**

- 3.—(1) The amount of any reimbursement shall be the amount specified in the demand note less, where the gross income of the premises as a whole is not exclusively derived from the provision of general dental services, any amount ("the abatement") calculated in accordance with sub-paragraph (2).
- (2) The abatement shall be the amount which represents the percentage of the non-domestic rates or, where the contractor pays the non-domestic rates in two equal installments, the percentage of the half of the non-domestic rates which is specified in column 2 of the Table below as corresponding to the proportion in column 1 of that Table which the gross earnings bear to the gross income of the premises during the relevant period.

#### **TABLE**

#### Column 1

#### Column 2

Proportion which the gross earnings bear to the gross income of the premises during the relevant period	Proportion of non-domestic rates to be abated
90% or more	no abatement
80% or more but less than 90%	10%
70% or more but less than 80%	20%
60% or more but less than 70%	30%
50% or more but less than 60%	40%
40% or more but less than 50%	50%
30% or more but less than 40%	60%
20% or more but less than 30%	70%
10% or more but less than 20%	80%
Less than 10%	90%

#### Claim for Reimbursement

- 4.—(1) A contractor shall make a claim for reimbursement on a form supplied by the Health Board for the purpose, or on a form to like effect, and shall include with the claim:
  - (i) the demand note or a copy thereof certified by the rating authority;
  - (ii) unless the provisions of sub-paragraph (4) apply, a receipt from the rating authority for the whole amount or, as the case may be, half the amount specified in the demand note;
  - (iii) a declaration in writing, as to the proportion, expressed as a percentage, which the gross earnings of the premises bear to the gross income of the premises during the relevant period;
  - (iv) a declaration in writing, that if requested to do so by the CSA the contractor will provide to the CSA at his own expense a certificate signed by an accountant stating the proportion that the premises gross earnings bore to the premises gross income in the relevant period and that he will provide the certificate within three months of the request being made;
  - (v) a declaration in writing, that if requested to do so by the CSA the contractor will provide to the CSA at his own expense proof that he is the rate payer, or is a partner in a partnership of dentists which is the rate payer or is a director of a body corporate which is the rate payer, in relation to the premises in respect of which the claim is made and that he will provide the proof within 2 months of the request being made;
  - (v) where the contractor is a partner or a director of a body corporate a declaration in writing that no claim for reimbursement in respect of the same financial year has been made by another partner or, as the case may be, on behalf of the body corporate;
  - (vii) a declaration in writing that neither the contractor nor any partner, director or executor have applied under the Small Business Bonus Scheme; and
  - (viii) a declaration in writing to advise the CSA immediately if the contractor or any

partner, director or executor applies under the Small Business Bonus Scheme in the financial year to which the claim relates.

- (2) Where a contractor seeks reimbursement of an amount in respect of non-domestic rates in relation to more than one premise, he shall submit to the CSA a claim in relation to each such premise.
- (3) Except where the provisions of sub-paragraph (4) apply, a claim for reimbursement of non-domestic rates, whether it is for the whole amount or half the amount specified in the demand note, shall be made within 6 months of the date that the rating authority has specified that payment should be made.
- (4) Where a contractor pays the non-domestic rates in monthly installments he shall send to the CSA at the end of the financial year in respect of which the claim is made a receipt from the rating authority for the whole amount specified in the demand note, and the claim for reimbursement should be made:
  - (a) where the contractor wishes to be reimbursed in monthly installments, within 6 months of the date on which payment of the first monthly installment falls due and the contractor shall include with the claim, details of the amount to be paid each month, the date the payments are due to commence and the date the payments are due to cease; or
  - (b) where the contractor wishes to be reimbursed in a lump sum after payment of all the installments, within 6 months of the date on which payment of the last installment falls due.
- (5) The contractor shall notify the Health Board and the CSA within 1 month of any change in circumstances which may affect entitlement to reimbursement of an amount under this Determination.
- (6) Where a contractor has died and at the time of his death he satisfied the conditions set out in paragraph 2(2), for so long as his name remains on sub-part A of the first part of the dental list in accordance with the proviso to regulation 12(2) of the Regulations, his executor shall be entitled to make a claim for reimbursement of an amount under this Determination.
- (7) Where the CSA is satisfied that the contractor is entitled to reimbursement of an amount under this Determination
  - in the case of a contractor who pays the non-domestic rates in monthly installments, it shall pay that amount to the dentist in monthly installments;
  - (b) in any other case, it shall pay that amount to the contractor.

### **Application of this Determination**

5. This Determination shall take effect on 1 April 1992, or 2 July 2010 in respect of those contractors that are bodies corporate, and shall not apply to any liability to pay non-domestic rates in respect of any period before that date. Any amendments to this Determination shall take effect from the relevant amendment effective date.

# DETERMINATION IX COMMITMENT PAYMENTS

Determination IX describes the conditions of eligibility for Commitment Payments; the amount of payment that can be claimed; and how to make a claim.

#### **Conditions of Eligibility**

- 1.—(1) To be eligible for an allowance under this Determination a dentist must:
  - (a) be able to prove that one of the following applies:
    - (i) their name was first entered on a dental list at least 5 years prior to the day before the first day of the relevant quarter;
    - (ii) their name is entered on a dental list and for at least 5 years prior to the day before the first day of the relevant quarter either his name was entered on such a list or he was employed by a principal dentist as a dentist; or
    - (iii) they are an assistant who was first employed by a principal dentist at least 5 years prior to the day before the first day of the relevant quarter; and
  - (b) have NHS gross earnings of at least £7,013 during the relevant earnings period and provided or assisted in providing general dental services, otherwise than as a salaried dentist;
  - (c) have received qualifying gross earnings of at least £28,052 for at least 5 relevant years, or where a dentist is eligible under paragraph 1(1)(a)(ii) or (iii) and has undertaken a one-year course of vocational training, 4 relevant years (which do not need to be continuous).
- (2) Where a part-time dentist does not meet the conditions in paragraph 1(1)(b) but meets the rest of the conditions in paragraph 1(1), and at least 90 per cent of their gross earnings are attributable to NHS gross earnings, they will be eligible for a level 1 commitment payment.

#### **Amount of Payment**

2.—(1) For the period from 1 April in a financial year, eligible dentists, whose gross earnings for the relevant earnings period fall within a range given in column 1 of the table below, will receive a quarterly payment as outlined in column 2, for the first and each subsequent quarter in which they are eligible.

Column 1 Quarterly gross earnings	Column 2 Quarterly p	ayment
£7,013–£12,374.99	£135	("level 1 payment")
£12,375–£16,374.99	£456	("level 2 payment")
£16,375–£19,499.99	£588	("level 3 payment")
£19,500–£22,499.99	£704	("level 4 payment")
£22,500–£25,999.99	£822	("level 5 payment")

£26,000-£29,124.99	£934	("level 6 payment")
£29,125–£32,249.99	£1055	("level 7 payment")
£32,250–£35,624.99	£1173	("level 8 payment")
£35,625–£38,999.99	£1289	("level 9 payment")
£39,750 or more	£1406	("level 10 payment")

- (2) In addition to meeting the relevant gross earnings per quarter outlined in the table at paragraph 2(1), dentists must also meet the following minimum registration levels:
  - (a) for a level 8 payment -1,500 patients. Where a dentist does not meet this but has: (i) less than 1,500 patients then a level 7 payment will be made;
  - (b) for a level 9 payment -1,650 patients. Where a dentist does not meet this but has:
    - (i) at least 1,500 patients then a level 8 payment will be made;
    - (ii) less than 1,500 patients then a level 7 payment will be made;
  - (c) for a level 10 payment -1,800 patients. Where a dentist does not meet this but has:
    - (i) at least 1,650 patients then a level 9 payment will be made;
    - (ii) at least 1,500 patients then a level 8 payment will be made;
    - (iii) less than 1,500 patients then a level 7 payment will be made.
- (3) For the purposes of paragraph 2(2), where the CSA authorises a patient dental claim over the relevant earnings period in respect of treatment on referral fees or occasional patient examinations (item 47 in Determination I), a dentist is entitled to treat that as adding the following additional registered patients to their registration level, provided no patient is counted more than once in the relevant earnings period:
  - (a) for treatment on referral fees -4.5 additional registered patients;
  - (b) for 2 occasional patient examinations 1 additional registered patient.
- (4) In determining a dentist's registration level, the CSA may use the information available to it at the time of its determination, and where it is satisfied, given the particular circumstances of the case, that it would be just and equitable to amend a figure for a dentist's registration level, it may do so.
- (5) Where a dentist is aged 45 or over on the day before the first day of the relevant quarter they will be entitled to a quarterly payment which is 50% higher than they would otherwise be entitled to, except where they receive a level 1 payment.
- (6) A dentist who is aged under 45 on the day before the first day of the relevant quarter will be entitled to a quarterly payment which is 50% higher than they would otherwise be entitled to, except where they receive a level 1 payment, if:
  - (a) their name was first entered on a dental list at least 20 years prior to the day before the first day of the relevant quarter; or
  - (b) the dentist was first employed as a dentist at least 20 years prior to the day before the first day of the relevant quarter by another dentist or contractor whose name was on a dental list.
- (7) Where a dentist receives a commitment payment of level 2 or higher and regularly undertakes GDS-related activities which are equivalent to at least one half day of 3.5 hours per week during the relevant quarter, they will be entitled to receive an increase, in respect of that quarter, of one payment level per half day, up to a maximum of four increases or a level 10 payment, which ever

occurs first. Paragraph 2(2) will not apply to these payment level increases.

(8) For the purposes of paragraph 2(7) above, if a dentist or an assistant dentist has a standard working day which is less than 7 hours, the CSA is to determine the number of half days a week to be treated as attributable to GDS-related activity by reference to one half of that dentist's (or assistant dentist's) standard working day.

#### **Claim for Payment**

- 3.—(1) A dentist must submit a claim for payment providing the requested information, on a form supplied by the Health Board, and
  - (a) where a dentist's entitlement to a commitment payment depends on a period during which their name was on a dental list in England, Northern Ireland or Wales or on their gross earnings from such a period, providing sufficient information to enable the CSA to determine entitlement and consent to the disclosure of information by an equivalent authority covering England, Northern Ireland or Wales, where applicable.
- (2) Where an assistant dentist is entitled to a commitment payment the form outlined at paragraph 3(1) must be completed by the dentist and then counter-signed and submitted by the contractor whom the dentist will be assisting.
- (3) The contractor submitting a claim on behalf of an assistant dentist will be responsible for paying the commitment payment in full to the assistant and must submit an initial declaration to the CSA stating that they will make the payment in full. Thereafter, the contractor must submit an annual declaration, on an electronic form supplied by the CSA, confirming that they have paid in full to the assistant all of the commitment payment which they are due.
- (4) Claims for a commitment payment must be submitted within 3 months following the last day of the relevant quarter to be eligible for a payment for that quarter.

#### **Relation to other Determinations**

5. Payments made under this Determination shall not be included in the calculation of any payments due under any other Determinations in this Statement.

#### Interpretation

6.—(1) In this Determination, unless the context otherwise requires:

"assistant" has the meaning given in regulation 2(1) of the Regulations;

"commitment payment" has the meaning assigned to it in paragraph 2 of this Determination;

"contractor" means a person who has undertaken to provide general dental services and whose name is included in sub-part A of the first part of a dental list, who has one or more assistants whose work is included in the claims made in respect of that contractor to the CSA and in relation to whose work the contractor receives payment from the CSA;

"the CSA" means the Common Services Agency for the Scottish Health Service constituted under Section 10 of the National Health Service (Scotland) Act 1978;

"dental list" means a dental list prepared by a Health Board in accordance with regulation 4(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010, or in accordance with regulation 4(1A) of the National Health Service (General Dental Services) (Scotland) Regulations 1996 prior to 2 July 2010, or any equivalent to such list prepared by an equivalent body in England, Northern Ireland or Wales;

"dentist" means a registered dental practitioner whose name is included in either sub-part A of the first part or the second part of the dental list;

"GDS-related activities" means activities carried out by a dentist and are associated with the provision of general dental services including acting as an adviser to a Health Board, acting as a vocational training adviser (but not as a vocational trainer within the meaning of Determination IV), acting as a clinical tutor and acting in connection with quality improvement;

"the Regulations" means the National Health Service (General Dental Services) (Scotland) Regulations 2010;

"gross earnings" means in respect of a dentist whose name is included in sub-part A of the first part of the dental list the dentist's gross earnings from the provision of general dental services under the National Health Service (Scotland) Act 1978, the National Health Service Act 2006 prior to 1 April 2006 or NI equivalent other than:

- (i) remuneration attributable to any care or treatment carried out by any assistant of the dentist; and
- (ii) remuneration in respect of any patient whose continuing care or capitation arrangement has been transferred to the dentist, where that remuneration relates to any period prior to the date that transfer was effected;

#### but shall include:

- (i) remuneration under Determinations I, IV (other than paragraph 4(b) of that Determination) VI, VII, XI and XII of this Statement; and
- (ii) where the dentist's name has been included in 2 or more dental lists simultaneously, other than any such list in England, Northern Ireland or Wales, during all or any part of the relevant earnings period, all remuneration on an aggregate basis;

"gross earnings" means in respect of a dentist whose name is included in the second part of the dental list remuneration attributed to any care or treatment carried out by the assistant;

"principal dentist" means a dentist on a dental list prepared by a Health Board in accordance with regulation 4(1A) of the National Health Service (General Dental Services) (Scotland) Regulations 1996;

"quarter" means a period of three months ending on 30 June, 30 September, 31 December and 31 March:

"registration level" means the average number of patients registered with a dentist on sub-part A of the first part of the dental list over the relevant quarter, but does not include patients whose registration is assigned by a dentist to an assistant or trainee of his;

"relevant earnings period" means the period of 3 months ending on the last day of the processing date, as fixed by the CSA, in the quarter for which the commitment payment is to be determined;

"relevant year" means a period of twelve consecutive months, as fixed by the CSA, beginning with the scheduling month of April

"standard working day" means the total time for which a dentist is contracted to practice dentistry and to perform GDS-related activities in one week, divided by five.

- (2) In this Determination, a reference to a numbered paragraph is to the paragraph bearing that number in this Determination and a reference in a paragraph to a numbered sub-paragraph is to the sub-paragraph bearing that number in that paragraph.
- (3) The payments which may be made by the CSA in accordance with this Determination are without prejudice to—
  - (a) the power of a Health Board under section 28D (Assistance and support: general dental services) of the National Health Service (Scotland) Act 1978 to provide assistance and support, including financial support, to providers (or prospective providers) of general dental services,
  - (b) the ability of a Health Board to offer financial support to providers of general dental services in accordance with the financial support measures set out in NHS: PCA(D)(2020)7 dated 2 April 2020<sup>7</sup> or PCA(D)(2022)3 dated 2 March 2022.<sup>8</sup>

<sup>7</sup> COVID-19 – Revised Financial Support Measures, PCA(D)(2020)7 published a <a href="https://www.sehd.scot.nhs.uk/pca/PCA2020(D)7.pdf">https://www.sehd.scot.nhs.uk/pca/PCA2020(D)7.pdf</a>

<sup>&</sup>lt;sup>8</sup> Recovery of NHS Dental Services: Revised Payment Arrangements, PCA(D)(2022)3 published at <a href="https://www.sehd.scot.nhs.uk/pca/PCA2022(D)03.pdf">https://www.sehd.scot.nhs.uk/pca/PCA2022(D)03.pdf</a>

# DETERMINATION X ALLOWANCES AND GRANTS FOR PRACTICE IMPROVEMENTS

Determination X describes the allowances available for practice improvements, including for IT infrastructure, ventilation improvements, electric speed adjusting hand pieces, and replacement and/or repairs of dental equipment. It also describes the grants available for practice improvements for new and established vocational training practices. The eligibility criteria; the amount that can be claimed; how to apply; and what happens if a dentist or practice fails to meet the conditions are also explained.

# **Part I - Allowances for Practice Improvements**

#### General

1. Allowances under this determination may be paid, at the Health Board's discretion, to contractors towards the cost of improving existing dental practices. The Health Board shall exercise discretion in accordance with this determination and having regard to its pre-determined priorities and to its cash allocation for the relevant period. It may be determined that a contractor will not receive an allowance at all.

#### **Eligibility**

- 2. An allowance under this determination may only be paid where either:
  - (a) a contractor's gross earnings in the financial year prior to that in respect of which the allowance is to be made are not less than the sum listed below:

for 2008/09 of £27,400 from 2009/10 of £27,800 or

(b) at least 90 per cent of the contractor's earnings from the practice of dentistry during the financial year prior to that in respect of which the allowance is to be paid were attributable to gross earnings.

#### Projects Eligible for an Allowance

3. Allowances may be paid for or towards the purchase, renewal or upgrade of practice equipment or for the modification or improvement of practice premises, which will result in improvements to patient safety, the practice environment for the benefit of patients or practice efficiency.

#### **Amounts**

4. A Health Board shall not make allowances under this scheme, which taken together over a period of a financial year, exceed the amount allocated to the Health Board by the Scottish Ministers in respect of this Determination.

#### **Applications for Allowances**

5. Applications for allowances shall be made by contractors to a Health Board in such manner as the Health Board may determine.

#### **Payment of Allowance**

6. The Health Board may, if it thinks fit, pay the allowance either in the form of cash or in the form of other assistance which may include providing or securing the provision of the new practice

equipment or renewal or upgrade of practice equipment or modification or improvement of practice premises.

#### **Conditions**

- 7.—(1) Any allowance shall be paid subject to the condition that the contractor to whom the allowance is paid, or where appropriate his successor, shall continue to meet the eligibility criteria for a period of not less than 3 years after the allowance is paid and to such other conditions as the Health Board may think fit.
- (2) An allowance under this Part shall not be paid for any improvements for which a grant has been paid under Part II or Part III of this Determination or for which a Scottish Dental Access Initiative grant has been awarded.

# Part IA – Additional Allowance for Practice Improvements

#### General

8. Subject to paragraph 9, the CSA shall pay to a designated contractor within a practice an allowance as specified in paragraph 11 towards the cost of IT infrastructure as described in the Memorandum to NHS: PCA(D)(2016) 4. Where there is no designated dentist within a practice the CSA shall pay the allowance to a contractor nominated by the practice and agreed with the CSA.

#### **Condition of Entitlement**

9. Practices eligible for payment of an allowance under paragraph 10 are those which were in use for the provision of general dental services on 1 April 2016.

#### **Amounts**

10. The amount of allowance to be paid where the condition at paragraph 9 is met shall be £350 per practice.

# Part IB – Ventilation Improvement Allowance

#### Payment of a Ventilation Improvement Allowance

- 11. (1) Subject to paragraph 1 (general) above, a Health Board may pay a ventilation improvement allowance to a practice towards the purchase, renewal or upgrade of equipment that has improved the ventilation capacity within the practice.
  - (2) A Health Board may only pay a ventilation improvement allowance in respect of equipment which was purchased, renewed or upgraded on or after 1 April 2020.

#### Amounts

- 12. (1) A Health Board shall not pay allowances under this Determination, which taken together over a period of a financial year, exceed the amount allocated to the Health Board by the Scottish Ministers in respect of this Determination.
  - (2) The maximum allowance payable under paragraph 11 in respect of a practice is the lower of:
    - (a) the full value of the qualifying receipts which are provided to the Health Board as part of the application for the allowance in respect of that practice, in accordance with paragraph 14(2)(b); or

- (b) the practice NHS commitment percentage multiplied by £1500, multiplied by the number of ventilated surgeries in the practice.
- (3) The "practice NHS commitment percentage" is calculated by:
  - (a) firstly adding together the NHS commitment level of all registered dental practitioners who practise dentistry from the practice, and
  - (b) finally dividing the result of the calculation carried out under paragraph 12(3)(a) by the total number of registered dental practitioners who practise dentistry from the practice.
- (4) A Health Board may only make one allowance payment per practice.

#### **Conditions of Entitlement**

- 13. An allowance under paragraph 11 is not to be paid to any practice unless the Health Board has secured from an authorised representative of the contractor(s) who provide general dental services from the practice, undertakings that:
  - (a) the contractors will continue to provide general dental services until 1 April 2024; and
  - (b) the ventilation equipment for which an allowance is claimed meets the required standard.

#### **Application for Allowance**

- 14. (1) Applications for allowances shall be made by contractors to a Health Board in such manner as the Health Board may determine.
  - (2) A Health Board must only consider applications for an allowance under paragraph 11 which:
    - (a) are made by an authorised representative on behalf of all the contractors within the practice;
    - (b) enclose qualifying receipts for the ventilation equipment for which the allowance is being claimed; and
    - (c) are received by the Health Board on or before 30 June 2022.

#### **Recovery of Allowances**

15. Where an authorised representative receives an allowance under this Part, but the practice does not continue to meet the conditions of entitlement at paragraph 13, the Health Board must require the authorised representative to pay back one thirty-sixth of that allowance for every month of the three year period during which the conditions are not met.

# Part IC – Improvement Allowance for Electric Speed Adjusting Hand Pieces

#### Payment of an Improvement Allowance for Electric Speed Adjusting Hand Pieces

16.—(1) Subject to paragraph 1 (general) above, a Health Board may pay an improvement allowance for electric speed adjusting hand pieces to a practice towards the purchase, renewal or upgrade of electric speed adjusting hand pieces and/or an electric motor which can allow the hand piece to be operated at speeds of less than 60,000 rpm, and which it is regarded will lead to minimal aerosol production.

(2) A Health Board may only pay an improvement allowance for electric speed adjusting hand pieces in respect of equipment which was purchased, renewed or upgraded on or after 1 April 2020.

#### **Amounts**

- 17.—(1) A Health Board shall not pay allowances under this Determination, which taken together over a period of a financial year, exceed the amount allocated to the Health Board by the Scottish Ministers in respect of this Determination.
  - (2) The maximum allowance payable under paragraph 16 in respect of a practice is the lower of:
    - (a) the full value of the qualifying receipts which are provided to the Health Board as part of the application for the allowance in respect of that practice, in accordance with paragraph 19(2)(b); or
    - (b) the practice NHS commitment percentage multiplied by £2500, multiplied by the number of surgeries in the practice.
  - (3) The "practice NHS commitment percentage" is calculated by:
    - (a) firstly adding together the NHS commitment level of all registered dental practitioners who practise dentistry from the practice, and
    - (b) finally dividing the result of the calculation carried out under paragraph 17(3)(a) by the total number of registered dental practitioners who practise dentistry from the practice.
  - (4) A Health Board may only make one allowance payment per practice.

#### **Conditions of Entitlement**

- 18. An allowance under paragraph 16 is not to be paid to any practice unless the Health Board has secured from an authorised representative of the contractor(s) who provide general dental services from the practice, undertakings that:
  - (a) the contractors will continue to provide general dental services until 1 April 2024; and
  - (b) the electric speed adjusting hand pieces, electric motor, and associated equipment for which an allowance is claimed meets the required standard.

#### **Application for Allowance**

- 19.—(1) Applications for allowances shall be made by contractors to a Health Board in such manner as the Health Board may determine.
  - (2) A Health Board must only consider applications for an allowance under paragraph 16 which:
    - (a) are made by an authorised representative on behalf of all the contractors within the practice;
    - (b) enclose qualifying receipts for the electric speed adjusting hand pieces and/or electric motor for which the allowance is being claimed; and
    - (c) are received by the Health Board on or before 30 June 2022.

#### **Recovery of Allowances**

20. Where an authorised representative receives an allowance under this Part, but the practice does not continue to meet the conditions of entitlement at paragraph 18, the Health Board must require the authorised representative to pay back one thirty-sixth of that allowance for every month of the three year period during which the conditions are not met.

# Part ID – Improvement Allowance for Replacement and/or Repairs to Dental Equipment

### Payment of an Improvement Allowance for Replacement and/or Repairs to Dental Equipment

- 21.—(1) Subject to paragraph 1 (general) above, a Health Board may pay an improvement allowance for replacement and/or repairs to dental equipment to a practice towards the purchase, renewal or repair of the following, non-exhaustive, list of items of dental equipment:
  - (i) Dental chair;
  - (ii) Dental operating light
  - (iii) Cuspidor/Spitoon
  - (iv) Dental suction
  - (v) Dental compressor
  - (vi) Dental xray unit.
  - (2) A Health Board may only pay an improvement allowance for replacement and/or repairs to dental equipment in respect of equipment which was purchased, renewed or repaired on or after 1 April 2020.

#### **Amounts**

- 22.—(1) A Health Board shall not pay allowances under this Determination, which taken together over a period of a financial year, exceed the amount allocated to the Health Board by the Scottish Ministers in respect of this Determination.
  - (2) The maximum allowance payable under paragraph 21 in respect of a practice is the lower of:
    - (a) the full value of the qualifying receipts which are provided to the Health Board as part of the application for the allowance in respect of that practice, in accordance with paragraph 24(2)(b); or
    - (b) the practice's NHS commitment percentage multiplied by £2500, multiplied by the number of surgeries in the practice.
  - (3) The "practice NHS commitment percentage" is calculated by:
    - (a) firstly adding together the NHS commitment level of all registered dental practitioners who practise dentistry from the practice, and
    - (b) finally dividing the result of the calculation carried out under paragraph 22(3)(a) by the total number of registered dental practitioners who practise dentistry from the practice.
  - (4) A Health Board may only make one allowance payment per practice.

#### **Conditions of Entitlement**

- 23. An allowance under paragraph 21 is not to be paid to any practice unless the Health Board has secured from an authorised representative of the contractor(s) who provide general dental services from the practice, undertakings that:
  - (a) the contractors will continue to provide general dental services until 1 April 2024; and
  - (b) the dental equipment for which an allowance is claimed meets the required standard.

### **Application for Allowance**

- 24.—(1) Applications for allowances shall be made by contractors to a Health Board in such manner as the Health Board may determine.
  - (2) A Health Board must only consider applications for an allowance under paragraph 21 which:
    - (a) are made by an authorised representative on behalf of all the contractors within the practice;
    - (b) enclose qualifying receipts for dental equipment for which the allowance is being claimed; and
    - (c) are received by the Health Board on or before 30 June 2022.

### **Recovery of Allowances**

25. Where an authorised representative receives an allowance under this Part, but the practice does not continue to meet the conditions of entitlement at paragraph 23, the Health Board must require the authorised representative to pay back one thirty-sixth of that allowance for every month of the three year period during which the conditions are not met.

# Part II - Grants for Practice Improvements: New Vocational Training Practices

### **Conditions of Entitlement**

- 26.—(1) A dentist who wishes to become a trainer, who satisfies the conditions specified in paragraph 26(2) and who makes a claim to the Health Board in accordance with paragraph 28 shall be entitled to a grant as specified in paragraph 27.
  - (2) The conditions referred to in paragraph 26(1) are:
    - (a) no dentist has provided vocational training within the proposed trainer's current training practice during the 5 years prior to his application to become a trainer; and
    - (b) the dentist has been provisionally assessed by NHS Education for Scotland as suitable to become a trainer, pending improvements to the proposed training surgery within the proposed training practice to satisfy vocational training standards.

#### **Amount of Grant**

27.—(1) The amount of grant to be paid where a dentist has satisfied the conditions in paragraph 26 and made a claim in accordance with paragraph 28 shall be up to £10,000 less any abatement in accordance with paragraph 26(2).

(2) The abatement to be applied is the amount in column 2 of the Table below corresponding to the proportion in column 1 of that Table which the dentist's NHS earnings bear to his total earnings in the financial year prior to that in respect of which the allowance is to be paid.

#### **TABLE**

Column 1	Column 2
Proportion which NHS earnings	Percentage of
bear to total earnings	abatement
90% or more	no abatement
80% or more but less than 90%	10%
70% or more but less than 80%	20%
60% or more but less than 70%	30%
50% or more but less than 60%	40%
40% or more but less than 50%	50%
30% or more but less than 40%	60%
20% or more but less than 30%	70%
10% or more but less than 20%	80%
Less than 10%	90%

- (3) The grant shall be paid to one contractor per training practice on behalf of all the contractors at that practice.
- (4) Only one grant shall be payable per training surgery within a proposed training practice. This training surgery to be permanent unless a change is agreed with NHS Education for Scotland. Where a dentist will be a trainer otherwise than full-time the grant to be paid shall be a pro-rata sum calculated on the basis of a whole-time week of 35 hours.
- (5) A grant under this Part shall not be paid for any improvements for which an allowance has been paid under Part I of this Determination or for which a Scottish Dental Access Initiative grant has been awarded.

## **Application for Grant**

- 28.—(1) A dentist shall make a claim for a grant under paragraph 27 to the Health Board within 3 months of the improvements to the training surgery being completed, on a form supplied by the Health Board, and shall include with the claim a declaration:
  - (a) in which he states the percentage of his NHS earnings in relation to his total earnings for the financial year prior to the year in which his claim is made;
  - (b) that by the end of the second vocational training cycle of receiving a grant under paragraph 27:
    - (i) he will employ a trainee; or
    - (ii) where he does not employ a trainee, the surgery which was to be used by the trainee shall be used for the provision of general dental services by a dentist or assistant dentist who has completed his training within the previous 3 months prior to joining the practice;
  - (c) that the surgery shall continue to be used for vocational training or for the provision of general dental services for a period of 3 years following commencement of its use in accordance with paragraph 28(1)(b) and the full range of general dental services (except for specialist services where referral may be appropriate) will be provided to all categories of NHS patients throughout that period.

- (2) A grant shall be payable on production of receipts for the work undertaken to the proposed training surgery to meet vocational training standards.
- (3) A grant can only be made for those items identified by NHS Education for Scotland as requiring upgrading in the proposed training surgery to satisfy vocational training standards.
- (4) Where the prospective trainer is not an owner of the proposed training practice, any application shall be made jointly by the owner(s) of the practice and the prospective trainer and the conditions in paragraph 28(1)(b) and (c) will apply equally to both the trainer and the owner(s).

# Part III - Grants for Practice Improvements: Established Vocational Training Practices

#### **Conditions of Entitlement**

- 29.—(1) A dentist who is a trainer, who satisfies the condition specified in paragraph 29(2) and who makes a claim to the Health Board in accordance with paragraph 31 shall be entitled to a grant as specified in paragraph 30.
  - (2) The condition referred to in paragraph 29(1) is NHS Education for Scotland determines that:
    - (a) the training surgery within the training practice requires subsequent improvements to continue to satisfy vocational training standards; or
    - (b) the training practice is suitable for expansion to become a multiple training practice, pending improvements to the proposed additional training surgery(ies) within the training practice to satisfy vocational training standards.

#### **Amount of Grant**

- 30.—(1) The amount of grant to be paid where a dentist has satisfied the condition in paragraph 29 and made a claim in accordance with paragraph 31 shall be up to £6,000 less any abatement in accordance with paragraph 30(2).
- (2) The abatement to be applied is the amount in column 2 of the Table below corresponding to the proportion in column 1 of that Table which the trainer's NHS earnings bear to his total earnings in the financial year prior to that in respect of which the allowance is to be paid.

TAL	BLE
Column 1	Column 2
Proportion which NHS earnings bear to total earnings	Percentage of abatement
90% or more	No abatement
80% or more but less than 90%	10%
70% or more but less than 80%	20%
60% or more but less than 70%	30%
50% or more but less than 60%	40%
40% or more but less than 50%	50%
30% or more but less than 40%	60%
20% or more but less than 30%	70%
10% or more but less than 20%	80%
Less than 10%	90%

- (3) The grant shall be paid to one contractor per training practice on behalf of all the contractors at that practice.
- (4) Only one grant shall be payable per training surgery within a training practice in each 5 financial years. This training surgery to be permanent unless a change is agreed with NHS Education for Scotland. Where a dentist is a trainer otherwise than full-time the grant to be paid shall be a prorata sum calculated on the basis of a whole-time week of 35 hours.
- (5) A grant under this Part shall not be paid for any improvements for which an allowance or grant has been paid under either Part I or Part II of this Determination or for which a Scottish Dental Access Initiative grant has been awarded.

#### **Application for Grant**

- 31.—(1) A trainer shall make a claim for a grant under paragraph 30 to the Health Board within 3 months of the improvements to the training surgery being completed, on a form supplied by the Health Board, and shall include with the claim a declaration:
  - (a) in which he states the percentage of his NHS earnings in relation to his total earnings for the financial year prior to the year in which his claim is made; and
  - (b) that the training practice shall continue to provide vocational training for a period of 3 years following receipt of a grant and that the trainee shall provide the full range of general dental services (except for specialist services where referral may be appropriate) to all categories of NHS patients throughout that period or
  - (c) that where he does not employ a trainee during any year within the 3 year period, the surgery which was to be used by the trainee shall be used for the provision of general dental services by the dentist to whom the trainer has provided training which has been completed within the previous 3 months.
- (2) A grant shall be payable on production of receipts for the work undertaken to the training surgery to continue to meet vocational training standards.
- (3) A grant can only be made for those items identified by NHS Education for Scotland as requiring upgrading in the training surgery to continue to satisfy vocational training standards.
- (4) Where the trainer is not an owner of the training practice, any application shall be made jointly by the owner(s) and the trainer and the condition in paragraph 31(1)(b) will apply equally to both the trainer and the owner(s).

## **Transitional Application of Part III**

32. A contractor who has received a grant under this Part of this Determination in the financial year 2012/13 shall not be entitled to receive a further grant for 4 complete financial years.

# Part IV - Repayment of Allowances and Grants

- 33.—(1) Where there has been a breach of paragraph 7 above, the allowance will be recovered in whole or in part by taking back one third for each financial year in which the relevant condition was unmet. In such circumstances, payment must be made within three months of demand. If the dentist fails to repay the amount due, appropriate recovery action by the Health Board will follow.
- (2) Where there has been a breach of paragraph 28(1)(b) or (c) or 31(1)(b) or (c) above, the grant will be repaid in full or by an amount calculated by taking the percentage of the number of months unserved compared with the agreed period of 24/36 months plus 20%, whichever is the lesser. In such circumstances, payment must be made within 3 months of demand. If the dentist fails to

repay the amount due, appropriate recovery action by the Health Board will follow.

## Interpretation

34.—(1) In this Determination, unless the context otherwise requires:

"assistant" has the meaning given in regulation 2(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010 but excludes those assistants who are vocational trainees;

"contractor" means a person who has undertaken to provide general dental services and whose name is included in sub-part A of the first part of the dental list;

"dental list" means a list prepared by a Health Board in accordance with regulation 4(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010;

"dentist" means a registered dental practitioner whose name is included in sub-part A of the first part of the dental list;

"designated contractor" means that contractor deemed designated by the practice and recorded by the CSA;

"financial year" means the period beginning on 1 April in one year and ending on 31 March in the next year;

"gross earnings" means in relation to Part I the contractor's gross earnings from the provision of general dental services under the National Health Service (Scotland) Act 1978 and does not include remuneration by way of salary;

"gross earnings" means in relation to Parts II and III the dentist's gross earnings from the provision of general dental services under the National Health Service (Scotland) Act 1978 and does not include remuneration by way of salary;

"NHS commitment level" means the average percentage of time spent on NHS work compared to private work in a typical week;

"NHS earnings" means the dentist's gross earnings, excluding any remuneration by way of salary, from the provision by him in person of general dental services under the National Health Service (Scotland) Act 1978;

"a practice" means a single geographical location from which general dental services are provided, this location being the practice address on a Health Board list;

"practice NHS commitment percentage" has the meaning given in paragraph 12(3) and paragraph 17(3);

"qualifying receipts" means—

- (a) in relation to the ventilation improvement allowance, receipts for the purchase, renewal, upgrading and (if needed) installation of ventilation equipment which meets the required standard:
- (b) in relation to the improvement allowance for electric speed adjusting hand pieces, receipts for the purchase, renewal or upgrading of electric speed adjusting hand pieces, electric motors and associated equipment which meets the required standard;

"required standard" means—

- (a) in relation to the ventilation improvement allowance, equipment which allows for 10 or more air changes per hour in the surgery in which it is installed; and
- (b) in relation to the improvement allowance for electric speed adjusting hand pieces,

electric speed adjusting hand pieces powered by an electric motor which can allow the hand piece to be operated at speeds of less than 60,000 rpm, and which it is regarded will lead to minimal aerosol production;

"total earnings" means the dentist's gross earnings, excluding any remuneration by way of salary, from the practice of dentistry by him in person;

"trainee" means a dentist who is employed by a trainer as an assistant in accordance with paragraph 2 of Determination IV;

"trainer" means a dentist who employs a trainee as an assistant in accordance with paragraph 2 of Determination IV;

"training" means a period of one year's vocational training or two years general professional training;

"training practice" means a practice which has been assessed as being an appropriate standard to undertake vocational training as determined by NHS Education for Scotland;

"training surgery" means the surgery which has been agreed with NHS Education for Scotland as being the surgery to be used by a trainee to provide general dental services;

"ventilated surgery" means a surgery in which ventilation equipment which meets the required standard has been installed;

"vocational training" has the meaning given in regulation 2(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010;

"vocational training cycle" means a period beginning on 1 August in one year and ending on 31 July in the next year;

"year" means a vocational training cycle.

(2) In this Determination, a reference to a numbered paragraph is to the paragraph bearing that number in this Determination and a reference in a paragraph to a numbered sub-paragraph is to the sub-paragraph bearing that number in that paragraph.

# Statement of Dental Remuneration

# DETERMINATION XI QUALITY IMPROVEMENT ALLOWANCES

#### Interpretation

1.—(1) In this Determination, unless the context otherwise requires:

"approved project" means a project of clinical audit activities which has been approved by NHS Education for Scotland or the Health Board and "first approved project" means the first such project undertaken in the relevant period;

"assistant" has the meaning given in regulation 2(1) of the Regulations;

"quality improvement activities" has the meaning given in paragraph 40(4) of Schedule 1 to the Regulations, namely activities which involve the systematic, critical and reflective analysis of the quality of dental care provided by the dentist, and any changes made by the dentist to bring about improvement in quality of care, patient experience, patient safety and clinical effectiveness, (including the processes used by that dentist for diagnosis, intervention and treatment and use of resources) which have a defined start and end date, no more than 6 months apart (or such other period as may be agreed with NHS Education for Scotland or, as the case may be, the Health Board);

"contractor" means a person who has undertaken to provide general dental services and whose name is included in sub-part A of the first part of a dental list;

"the CSA" means the Common Services Agency for the Scottish Health Service constituted under Section 10 of the National Health Service (Scotland) Act 1978;

"dentist" means a registered dental practitioner whose name is included in either sub-part A of the first part or the second part of a dental list;

"dental list" means a dental list prepared by a Health Board in accordance with regulation 4(1) of the Regulations;

"relevant period" has the meaning given in paragraph 40(4) of Schedule 1 to the Regulations, namely where a dentist's name is included in a dental list and he is providing or assisting in the provision of general dental services the three year period from  $1^{\rm st}$  August 2010 until  $31^{\rm st}$  July 2013 (inclusive) and each successive period of three years thereafter,

"the Regulations" means the National Health Service (General Dental Services) (Scotland) Regulations 2010;

(2) In this Determination, any reference to a numbered paragraph is to the paragraph bearing that number in this Determination and a reference in a paragraph to a numbered sub-paragraph is to the sub-paragraph bearing that number in that paragraph.

#### **Conditions of Entitlement**

- 2.—(1) A contractor who is a dentist who:
  - (a) satisfies the conditions specified in sub-paragraph (2); and
  - (b) makes a claim to the CSA in accordance with paragraph 4,

shall be entitled to an allowance of an amount ascertained in accordance with paragraph 3.

#### (2) The conditions are that:

- (a) at the time of undertaking an approved project, and at the time of making the claim, the contractor who is a dentist's name is included in sub-part A of the first part of a dental list and he is providing general dental services; and
- (b) the first approved project undertaken by the dentist is one of not less than 5 hours' duration except where NHS Education for Scotland or the Health Board has approved a shorter first project.

## (3) A contractor who:

- (a) employs an assistant and who satisfies the conditions specified in subparagraph (4); and
- (b) makes a claim to the CSA in accordance with paragraph 4 in respect of an assistant of his.

shall be entitled to an allowance of an amount ascertained in accordance with paragraph 3.

#### (4) The conditions are that:

- (a) at the time an assistant undertakes an approved project the assistant's name is included in the second part of the dental list, and at the time of making the claim in respect of the assistant, the contractor's name is included in sub-part A of the first part of a dental list and he is providing general dental services; and
- (b) subject to sub-paragraph (4), the first approved project which the assistant undertakes is of not less than 5 hours' duration except where NHS Education for Scotland or the Health Board has approved a shorter first project.
- (5) The conditions specified in sub-paragraph (4)(b) shall not apply where an assistant was previously employed as such by another contractor and undertook an approved project in that previous employment of not less than 5 hours' duration.

#### **Amount of Allowance**

- 3.—(1) Subject to the following sub-paragraphs, the allowance payable for undertaking approved projects in the relevant period is to be calculated at an hourly rate of £65.21.
- (2) After the first approved project is completed a contractor who is a dentist may claim in respect of periods of not less than half an hour which he has spent undertaking an approved project.
- (3) The number of hours for which an allowance is payable to any contractor who is a dentist, in respect of his undertaking any approved project over the relevant period, is 15 hours.
- (4) Subject to sub-paragraph (5), where an assistant undertakes any approved project the number of hours for which the allowance is payable to the contractor in respect of that assistant is 15 hours in the three year period in question.
- (5) Where in a three year period an assistant who was previously employed as such by another contractor undertook an approved project in that previous employment for which a claim was made in accordance with this Determination, the number of hours for which an allowance is payable is 15 hours, less the number of hours in respect of which any earlier claim was made.

(6) After an assistant has participated in a first approved project a contractor may claim in respect of the assistant's participation in subsequent approved projects for periods of not less than half an hour.

#### **Claims for Allowance**

- 4.—(1) A contractor shall make a claim for an allowance within 6 months of completion of the approved project on a form supplied by the Health Board for the purpose, or a form to like effect, and shall include with the claim a certificate of completion of the approved project in respect of which the claim is made, signed by a member of NHS Education for Scotland or the Health Board which approved the project.
- (2) Where a contractor makes a claim in respect of an assistant of his he shall include a signed statement from the assistant to the effect that the assistant's payments under the contract of employment with the contractor were not reduced on account of his participation in the approved project in respect of which the claim is being made.
- (3) Where in a three year period the assistant was previously employed as such by another contractor and undertook an approved project in that previous employment for which a claim was made in accordance with this Determination, the statement referred to at sub-paragraph (2) shall also specify the number of hours in respect of which any earlier claim was made.
- (4) Where a contractors name is included in sub-part A of the first part of the dental list of more than one Health Board he shall make the claim in respect of the Health Board for whom he provides the greater or greatest proportion of general dental services at the date of the claim.

## **Transitional Application of Determination**

5. The replacement of clinical audit activies with quality improvement activities does not affect any project that was approved by NHS Education for Scotland or the Health Board before 26 October 2017.

# **Statement of Dental Remuneration**

# DETERMINATION XII REMOTE AREAS ALLOWANCES

#### Interpretation

1.—(1) In this Determination unless the context otherwise requires:

"the CSA" means the Common Services Agency for the Scottish Health Service constituted under Section 10 of the National Health Service (Scotland) Act 1978;

"dental list" means a dental list prepared by a Health Board in accordance with regulation 4(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010;

"dentist" means a registered dental practitioner whose name is included in sub-part A of the first part of the dental list;

"local postgraduate education resource centre" means the postgraduate centres in Aberdeen, Dundee, Edinburgh, Glasgow and Inverness;

"remote dentist" means a dentist who provides general dental services on an island in Scotland or in an area which has less than 0.5 persons per hectare, as determined by the CSA and reviewed annually in December but excludes any areas which are predominately non-residential:

"total earnings" means all of a dentist's gross earnings from the practice of dentistry by him in person, excluding payments made under this Determination, and "NHS earnings" means the dentist's gross earnings from the provision by him in person of general dental services under the National Health Service (Scotland) Act 1978, excluding payments made under this Determination, including where the dentist's name is included in sub-part A of the first part of two or more dental lists in Scotland, or prior to 2 July 2010 Part A of two or more dental list prepared by a Health Board in accordance with regulation 4(1A) of the National Health Service (General Dental Services) (Scotland) Regulations 1996, but neither his total earnings nor his NHS earnings shall be taken to include any remuneration by way of salary;

"year" means the period beginning on 1 April one year and ending on 31 March in the next year.

- (2) Where a question arises as to whether a dentist is a remote dentist this will be determined by the CSA whose decision will be final.
- (3) In this Determination, a reference to a numbered paragraph is to the paragraph bearing that number in this Determination and a reference to a numbered sub-paragraph to the sub-paragraph bearing that number in that paragraph.

#### **Conditions of Entitlement**

- 2.—(1) A dentist who satisfies the conditions specified in sub-paragraph (2) and who makes a claim to the CSA in accordance with paragraph 4 shall be entitled to an allowance of an amount ascertained in accordance with paragraph 3.
  - (2) The conditions referred to in sub-paragraph (1) are that:
    - (a) the dentist's name is included in sub-part A of the first part of a dental list;
    - (b) the dentist is a remote dentist.

(3) Where a dentist has more than one address listed in sub-part A of the first part of a dental list the CSA will not be liable to pay a remote areas allowance to the dentist if the address from which he provides general dental services for the greatest proportion of his time results in the dentist not being a remote dentist.

## **Amount of Payment**

- 3.—(1) The amount of allowance to be paid where a dentist has satisfied the conditions in paragraph 2(2) and made a claim in accordance with paragraph 4 shall be £9,000.00 less any abatement calculated in accordance with sub-paragraph (2).
- (2) The abatement to be applied is the amount which represents the percentage of the dentist's total earnings which is specified in column 2 of the Table below as corresponding to the proportion in column 1 of that Table which his NHS earnings bear to his total earnings in the year prior to that in respect of which the allowance is to be paid.

TABLE	
Column 1	Column 2
Proportion which NHS earnings bear to total earnings	Percentage of abatement
90% or more	No abatement
80% or more but less than 90%	10%
70% or more but less than 80%	20%
60% or more but less than 70%	30%
50% or more but less than 60%	40%
40% or more but less than 50%	50%
30% or more but less than 40%	60%
20% or more but less than 30%	70%
10% or more but less than 20%	80%
Less than 10%	90%

(3) Only one allowance shall be payable to a dentist in a year.

#### **Claim for Allowance**

- 4.—(1) A dentist shall make a claim for a remote areas allowance within 6 months of the start of a financial year on a form supplied by the Health Board for the purpose, in which he states:
  - (a) the percentage his gross earnings from the provision by him in person of general dental services bore to his total earnings in the practice financial year prior to the year in which his claim is made; and
  - (b) that if required to do so by the CSA he will provide to the CSA at his own expense a certificate signed by an accountant stating the proportion that his NHS earnings bore to his total earnings in the practice financial year prior to the year in which his claim is made and that he will provide the certificate within three months of the request being made.
- (2) Where a dentist's name is included in sub-part A of the first part of the dental list of more than one Health Board and he meets the conditions at paragraph 2(1) for each area he shall only make the claim in respect of the Health Board for which he provides the greater or greatest proportion of general dental services.

## **Change of Status of a Remote Dentist**

- 5. —(1) A dentist who is in receipt of an allowance under paragraph 3 will no longer be eligible to receive payment in respect of that allowance if the CSA determines that the area where the dentist provides general dental services is predominately non-residential.
- (2) A dentist who is in receipt of an allowance under paragraph 3 will no longer be eligible to receive payment in respect of that allowance if following an annual review the dentist ceases to be classed as a remote dentist.

## **Transitional Application of Determination**

6. A dentist who does not fall within the definition of a "remote dentist" in paragraph 1(1) who fell within the definition of a "remote dentist" in effect prior to 1 April 2006 will continue to be entitled to receive a remote area allowance so long as he provides general dental services from the address in sub-part A if the first part of the dental list which entitled him to receive such an allowance, that address being 90 minutes or more, as determined by the AA Route Planner 2005 package (using default settings), traveling time by car to his local postgraduate education resource centre in Scotland.

# **Statement of Dental Remuneration**

# DETERMINATION XIII RECRUITMENT AND RETENTION ALLOWANCES

Determination XIII describes the eligible areas and the amount that can be claimed for the recruitment and retention allowances. It also explains the conditions of entitlement; the undertakings dentists must provide; how to make a claim; and what happens if a dentist fails to meet the conditions.

# Part I

This Part has been deleted.

# Part II

#### **Conditions of Entitlement**

- 1.—(1) To be eligible for an allowance under this determination a dentist must—
  - (a) meet one of the conditions in paragraph 1(2),
  - (b) give the undertakings in paragraph 1(3), and
  - (c) if the dentist is a person to whom paragraph 1(6) applies, meet the condition in paragraph 1(6).
  - (2) The conditions are that the dentist must—
    - (a) have their name included in sub-part A of the first part of a dental list within 3 months of completion of training, or
    - (b) have their name included in sub-part A of the first part of a dental list for the first time or have their name included having not been on such a list for the previous 5 years, or
    - (c) have their name included in the second part of a dental list, as an Assistant, and be undertaking a course of vocational training approved by NHS Education for Scotland.
  - (3) The undertakings are that the dentist must:
    - (a) provide at least 4 sessions of general dental services each week in either a classification 1 or classification 2 area for the required period
    - (b) have NHS earnings which represent not less than 80% of their total earnings per year for the required period
    - (c) provide the full range of general dental services (except for specialist services where referral may be appropriate) to all categories of NHS patients for the required period;
    - (d) either—
      - (i) in the case of a dentist who meets the condition in paragraph 1(2)(a) or 1(2)(b), remain included in sub-part A of the first part of the dental list and

continue to provide general dental services in either a classification 1 or classification 2 area, for the required period or,

- (ii) in the case of a dentist who meets the condition in paragraph 1(2)(c), remain on an approved vocational training course and continue to provide general dental services in either a classification 1 or classification 2 area, for the required period; and
- (e) not be included in the second part of the dental list or not have been on such a list within the previous 5 years, other than those whose name is included in sub-part A of the first part of the dental list in accordance with paragraph 1(2)(a) or those who have a vocational training number and are undertaking an approved course of vocational training in accordance with paragraph 1(2)(c).

## (4) The "required period" is—

- (a) in the case of a dentist who meets the condition in paragraph 1(2)(a) or (b), 3 years, which must immediately follow the dentist's receipt of the first payment under paragraph 2; or
- (b) in the case of a dentist who meets the condition under paragraph 1(2)(c), the full year of the approved training course which the dentist is undertaking.
- (5) At the time of giving the undertakings in paragraph 1(3), a dentist must specify whether they undertake to complete the required period by practising in a classification 1 area or a classification 2 area.
- (6) In the case of a dentist who meets the condition in paragraph 1(2)(a) or (b) and who is registered by virtue of section 15(1)(b) or (2A) of the Dentists Act 1984 (registration of nationals of an EEA State who hold an appropriate European diploma) or is in any way a person in respect of whom the United Kingdom was prohibited by Community law from imposing such a requirement, the dentist must supply to the CSA evidence of suitable postgraduate experience as approved by NHS Education for Scotland as a condition of payment.
- (7) Where the name of the dentist cannot be included in sub-part A of the first part of the dental list within the 3 month period referred to in paragraph 1(2)(a) due to maternity, paternity, adoptive leave or certified sick leave, the 3 month period shall commence at the end of the period of maternity, paternity, adoptive leave, or certified sick leave.

#### **Amount of Allowance**

- 2.—(1) Where a dentist, who meets the requirements specified in paragraph 1, provides general dental services in a classification 1 area, the amount of allowance to be paid will be:
  - (a) £25,000 paid over a two year period, at £12,500 per annum, for dentists who meet the condition in either paragraph 1(2)(a) or (b),
  - (b) £12,500 paid over a one year period for dentists who meet the condition in paragraph 1(2)(c).
- (2) Where a dentist, who meets the requirements specified in paragraph 1, provides general dental services in a classification 2 area, the amount of allowance to be paid will be:
  - (a) £15,000 paid over a two year period, at £7,500 per annum, for dentists who meet the condition in either paragraph 1(2)(a) or (b),
  - (b) £7,500 paid over a one year period for dentists who meet the condition in paragraph 1(2)(c).

- (3) Where the name of the dentist is included in sub-part A of the first part of a dental list of more than one Health Board the allowance shall only be payable in respect of the Health Board for which the dentist provides the greatest proportion of general dental services at the date of the claim.
  - (4) Payments under paragraph 2(1) or 2(2) will stop if the dentist no longer:
    - (a) has their name included in sub-part A of the first part of any dental list or is no longer undertaking an approved course of vocational training; or
    - (b) provides general dental services in a classification 1 or classification 2 area, as specified in accordance with paragraph 1(5).

#### Claim for Allowance

- 3.—(1) A dentist shall make a claim for an allowance under paragraph 1 to the CSA on a form supplied by the Health Board and shall include with the claim a declaration in writing:
  - (a) giving the undertakings listed at paragraph 1(3); and
  - (b) agreeing that if requested to do so by the CSA in the year following one in which a payment under paragraph 2 has been received they will provide to the CSA, at their own expense, a certificate signed by an accountant stating the proportion the dentist's NHS earnings bore to their total earnings, within 3 months of the request being made;
- (2) A claim under paragraph 1 must be made within 2 months of the dentist's name being included in sub-part A of the first part of a dental list for the first time or after a period of 5 years where the dentist's name was not included in a dental list, or the dentist being issued a vocational training number and beginning an approved course of vocational training.
- (3) A dentist must notify the CSA within one month of any changes to their circumstances which may affect their entitlement to the payment of an allowance under paragraph 2.
- (4) Only one claim for an allowance under paragraph 2 can be made by a dentist who is eligible for an allowance in accordance with paragraph 1(2)(a) or 1(2)(b).

#### **Failure to Meet Conditions of Allowance**

- 4.—(1) Where a dentist, eligible for an allowance under paragraph 1(2)(a) or 1(2)(b), fails to meet the conditions set out in paragraph 1(2) or ceases, within 3 years of receiving the first payment under paragraph 2(1) or 2(2), to:
  - (a) have their name included in sub-part A of the first part of any dental list; or
  - (b) provide general dental services in a classification 1 or classification 2 area respectively;

they must repay to the Health Board within 3 months the full amount of any allowance received.

- (2) Where a dentist eligible for an allowance in accordance with paragraph 1(2)(a) or 1(2)(b) ceases, within 3 years of receiving the first payment under paragraph 2(1), to provide general dental services in a classification 1 area but their name remains on sub-part A of the first part of a dental list in a classification 2 area the dentist must repay to the Health Board the difference between the allowance payable in respect of a classification 2 area and a classification 1 area.
- (3) Where a dentist, eligible for an allowance in accordance with paragraph 1(2)(c), fails to meet the conditions set out in paragraph 1(3), or withdraws from their approved course of vocational

training, they must repay to the Health Board within 3 months the full amount of any allowance received.

(4) The Health Board may waive repayment in any case where it considers that the dentist was unable to meet any of the conditions because of exceptional circumstances.

## **Change in Status of Classification Area**

- 5.—(1) A dentist who is in receipt of an allowance in accordance with paragraph 1 will continue to be eligible to receive payments in respect of that allowance if the area in which they provide general dental services for which they are receiving the allowance ceases to be a classification 1 or classification 2 area and shall not be liable to return the sum received.
- (2) A dentist in receipt of an allowance under paragraph 2(2) will not be entitled to receive an additional allowance if the area in which they provide general dental services is subsequently reclassified as a classification 1 area.

### Interpretation

6.—(1) In this Determination, unless the context otherwise requires:

"classification 1 area" in relation to Part II means:

- (a) Dalmellington and Patna within Ayrshire and Arran Health Board;
- (b) Kelso and Berwickshire (excluding Eyemouth) within Borders Health Board;
- (c) Dumfries and Galloway Health Board;
- (d) Auchtermuchty within Fife Health Board;
- (e) Moray within Grampian Health Board;
- (f) Highland Health Board;
- (g) Shetland Health Board;
- (h) Orkney Health Board; and
- (i) Western Isles Health Board.

"classification 2 area" in relation to Part II means:

(a) Banff, Fraserburgh and Huntly within Grampian Health Board; and

"the CSA" means the Common Services Agency for the Scottish Health Service constituted under Section 10 of the National Health Service (Scotland) Act 1978:

"dental list" means a list prepared by a Health Board in accordance with regulation 4(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010;

"dentist" means a registered dental practitioner whose name is included in sub-part A of the first part of the dental list;

"NHS earnings" means the dentist's gross earnings, excluding any remuneration by way of salary, from the provision by him in person of general dental services under the National Health Service (Scotland) Act 1978;

"required period" has the meaning given in paragraph 1(4);

"session" means a period of not less than 3.5 hours;

"total earnings" means the dentist's gross earnings, excluding any remuneration by way of salary, from the practice of dentistry by him in person;

"training" means a period of one year's vocational training or two year's general professional training;

"vocational training" has the meaning given in regulation 2(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010;

- (2) In the case of a dentist who is registered by virtue of section 15(1)(b) or (2A) of the Dentists' Act 1984 (registration of nationals of an EEA State who hold an appropriate European diploma) or is in any way a person in respect of whom the United Kingdom was prohibited by Community law from imposing such a requirement, the completion of their training is taken to be the date on which they received their vocational training number from NHS Education for Scotland.
- (3) In this Determination, a reference to a numbered paragraph is to the paragraph bearing that number in this Determination and a reference in a paragraph to a numbered sub-paragraph is to the sub-paragraph bearing that number in that paragraph.

# **Statement of Dental Remuneration**

# DETERMINATION XIV PRACTICE ALLOWANCES

Determination XIV describes the eligibility criteria for the General Dental Practice Allowance, Sedation Allowance, Vocational Training Practice Allowance, and Extended Duty Dental Nurse Training Practice Allowance. The amount that can be claimed for each allowance; how to make a claim; and what happens if a dentist or practice fails to meet the conditions are also explained.

# Part I - General Dental Practice Allowance

#### **Conditions of Entitlement**

- 1.—(1) A General Dental Practice Allowance, to help address the increasing practice requirements in relation to the provision of high quality premises, health and safety, staffing support and information collection and provision, will be paid to a designated contractor within a practice that meets the following conditions:
  - (a) the designated contractor must have their name included in sub-part A of the first part of the dental list of the Health Board in whose area the practice is situated;
  - (b) the names of each of the dentists in respect of which the claim is being made must be included in the dental list of the Health Board in whose area the practice is situated;
  - (c) the practice has been satisfactorily inspected by the Health Board in whose area the practice is situated within the 3 years prior to the year in which the allowance is to be paid;
  - (d) the practice must not have fewer than 80% of their accumulated number of NHS patient registrations remaining when compared to their accumulated number of NHS patient registrations for the practice as at 1 July 2022, across all contractors within the practice.
  - (e) the dentists within the practice meet, and continue to meet, their NHS terms of service, as set out in Schedule 1 to the Regulations.

#### **Amount of Payment**

2.—(1) The amount of allowance to be paid to practices that satisfy the conditions in paragraph 1(1) will be 12% of the accumulative gross practice earnings, up to a maximum of £22,000 per quarter.

# **Claim for Payment**

3.—(1) The allowance will be automatically paid to a designated contractor within the practice on behalf of all of the contractors at the practice but all contractors within the practice must meet the conditions at paragraph 1.

# **Failure to Meet Conditions**

4.—(1) Where the contractors within a practice fail to meet the conditions set out in paragraph 1 payment under this Determination will cease.

(2) The Health Board may waive the ceasing of the payment in any case where it considers that the failure to meet the conditions set out in paragraph 1 was because of exceptional circumstances.

# Part II - Sedation Practice Allowance

#### **Conditions of Entitlement**

- 5.—(1) A contractor who satisfies the conditions specified in paragraph 5(2) and who makes a claim on behalf of a practice to the CSA in accordance with paragraph 12 shall be entitled to an allowance as ascertained in accordance with paragraph 11.
  - (2) The conditions referred to in paragraph 5(1) are:
    - (a) the contractor's name is included in sub-part A of the first part of the dental list of the Health Board in whose area the practice is situated;
    - (b) the names of each of the dentists in respect of which the claim is being made are included in the dental list of the Health Board in whose area the practice is situated:
    - (c) the practice undertook, in the year prior to that in respect of which the allowance is to be paid, a minimum of 40 sedation treatments under general dental services, of which at least 30 must be intravenous where different sedation techniques are used: and
    - (d) the practice has been satisfactory inspected by the Health Board in whose area the practice is situated within the 3 years prior to that in respect of which the allowance is to be paid.

#### **Amount of Allowance**

6.—(1) Subject to paragraph 6(2), the amount of allowance to be paid where a contractor has satisfied the conditions in paragraph 10 and made a claim in accordance with paragraph 7 shall be:

(i) Relative Analgesia £3,000.00; or (ii) Intravenous Sedation £2,000.00

less any abatement in accordance with paragraph 6(3).

- (2) Only one allowance under either paragraph 6(1)(i) or (ii) shall be payable. The allowance to be paid shall be determined by the sedation techniques used by the practice and paid in the year prior to that in respect of which the allowance is to be paid. Where the practice used:
  - (a) only relative analysesia then the allowance at paragraph 6(1)(i) shall be payable;
  - (b) only intravenous sedation then the allowance at paragraph 6(1)(ii) shall be payable;
  - (c) both relative analgesia and intravenous sedation then, provided that the practice provided at least 20 relative analgesia sedations, the allowance at paragraph 6(1)(i) shall be payable. Where the practice provided less than 20 relative analgesia sedations then the allowance at paragraph 6(1)(i) shall be payable.
  - (3) The abatement to be applied is the amount in column 2 of the Table below corresponding to the proportion in column 1 of that Table which the practice's NHS earnings bear to its total earnings in the financial year prior to that in respect of which the allowance is to be paid.

#### **TABLE**

Column 1	Column 2
Percentage which the practice's	Percentage of Practice
NHS earnings bear to total	Allowance to be
earnings	abated
50% or more	nil
25% or more but less than 50%	50%
Less than 25%	100%

(4) Where a practice provided more than 250 sedations in each of the 2 years prior to that in respect of which the allowance is to be paid an additional allowance will be payable. Subject to paragraph 6(2), the additional allowance payable will be:

£2,000.00

less any abatement in accordance with paragraph 6(3).

- (5) The allowance shall be paid to one contractor within the practice on behalf of all of the contractors at that practice. Only one allowance shall be payable to a practice in a year.
- (6) Where a dentist provides sedation services under general dental services from more than one practice the NHS and total earnings of the practice shall be determined by the relevant earnings of each practice.

#### **Claim for Allowance**

- 7.—(1) Where there is more than one contractor on a dental list within a practice any application shall be made by one contractor on behalf of all of the contractors within the practice but the conditions in paragraph 5, and 6 will apply equally to all of the contractors.
- (2) One contractor shall make a claim, on behalf of the whole practice, for an allowance under paragraph 6 to the CSA, on a form supplied by the Health Board, in which he shall state the percentage of the practice's NHS earnings from the provision of general dental services in relation to the practice's total earnings for the financial year prior to that in respect of which the allowance is to be paid. He shall include with the claim a declaration that he shall make the practice available for a two yearly sedation practice inspection.
- (3) The contractor making the claim and who is receiving the allowance shall notify the CSA within one month of any change in the circumstances of any of the dentists included in the claim which may affect entitlement to a practice allowance under paragraph 6.
- (4) A claim for an allowance under paragraph 7(1) shall be made within 3 months of the start of a financial year.

# Part III - Vocational Training Practice Allowance

#### **Conditions of Entitlement**

- 8.—(1) The CSA shall, where a dentist who is a trainer has satisfied the conditions specified in paragraph 8(2), pay to the dentist on behalf of the vocational training practice an allowance as specified in paragraph 9.
- (2) The condition referred to in paragraph 8(1) is that the dentist has employed a trainee in the year in which the allowance is to be paid.

#### **Amount of Allowance**

9.—(1) The amount of allowance to be paid where the dentist has satisfied the condition in paragraph 8(2) shall be:

£1,500.00.

- (2) The amount of allowance to be paid to a dentist who is a trainer otherwise than full-time will be a pro-rata sum calculated on the basis of a whole-time week of 35 hours.
  - (3) Only one allowance shall be payable to a trainer per trainee in a year.

# Part IV – Extended Duty Dental Nurse Training Practice Allowance

#### **Conditions of Entitlement**

- 10.—(1) The CSA shall, where a contractor has satisfied the conditions specified in paragraph 10(2), pay to the designated contractor within the practice an allowance in accordance with paragraph 11.
  - (2) The conditions referred to in paragraph 10(1) are that:
    - (a) the contractor has released a dental nurse to undertake Extended Duty Dental Nurse training in order to deliver preventive care in accordance with Section X of Determination I; and
    - (b) the dental nurse has successfully completed the Extended Duty Dental Nurse training.

#### **Amount of Allowance**

11.—(1) The amount of allowance to be paid where the contractor has satisfied the condition in paragraph 10(2) and where NHS Education for Scotland has advised the CSA that a dental nurse has successfully completed the Extended Duty Dental Nurse training shall be:

£540.00.

(2) Only one allowance shall be payable to a designated contractor in respect of an individual dental nurse.

## Interpretation

12.—(1) In this Determination unless the context otherwise requires:

"assistant" in relation to Part I has the meaning given in regulation 2(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010 but excludes those assist ants who are vocational trainees;

"accumulative gross practice earnings" means the gross earnings of all of the contractors on sub-part A of the first part of the dental list within the practice (including the gross earnings of all of the assistants on the second part of the dental list who assist the contractor with the provision of general dental services within the practice) for the preceding 3 scheduled payments prior to the quarter end in respect of which the allowance is to be paid;

"the CSA" means the Common Services Agency for the Scottish Health Service constituted under Section 10 of the National Health Service (Scotland) Act 1978;

"contractor" means a person who has undertaken to provide general dental services and whose name in included in sub-part A of the first part of a dental list;

"dental list" means a list prepared by a Health Board in accordance with regulation 4(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010;

"dentist" in relation to Part I and II means a registered dental practitioner whose name is included in either sub-part A of the first part or the second part of a dental list;

"dentist" in relation to Part III means a registered dental practitioner whose name is included in sub-part A of the first part of a dental list;

"designated contractor" means that contractor deemed designated by the practice and recorded by the CSA on the last day of the quarter in which the payment is to be made;

"financial year" means the period beginning on 1 April in one year and ending on 31 March in the next year;

"a practice" means a single geographical location from which general dental services are provided, this location being the practice address on a Health Board list;

"NHS earnings" in relation to Part II means the gross earnings, excluding any remuneration by way of salary, of all of the dentists within the practice from the provision, or the assistance in the provision, by each such dentist in person of general dental services under the National Health Service (Scotland) Act 1978;

"non-specialist practice" means a practice which does not restrict its services under general dental services to orthodontic care and treatment, oral surgery, sedation, paediatric dentistry, endondontics, prosthodontics or restorative periodontology on referral;

"other specialist practice" means a practice which restrict its services under general dental services and provides only oral surgery, sedation, pediatric dentistry, endodontics, prosthodontics or restorative periodontology on referral;

"quarter" means a period of 3 months ending on 30 June, 30 September, 31 December and 31 March;

"the Regulations" means the National Health Service (General Dental Services) (Scotland) Regulations 2010, as amended;

"specialist orthodontic practice" means a practice which restricts its services under general dental services and provides only orthodontic care and treatment on referral;

"total earnings" in relation to Part II means the gross earnings, excluding any remuneration by way of salary, of all of the dentists within the practice from the provision, or the assistance in the provision, of dentistry by each such dentist in person;

"trainee" means a dentist who is employed as a trainee by a trainer as an assistant in accordance with paragraph 2 of Determination IV;

"trainer" means a dentist who employs a trainee as an assistant in accordance with paragraph 2 of Determination IV;

"vocational training practice" means a practice which has a trainer who employs a trainee;

"year" in relation to Part I and II means the period beginning on 1 April in one calendar year and ending on 31 March in the next calendar year;

"year" in relation to Part III means the period beginning on 1 August in one year and ending on 31 July in the next year.

- (2) In this Determination, a reference to a numbered paragraph is to the paragraph bearing that number in the Determination and a reference in a paragraph to a numbered sub-paragraph is to the sub-paragraph bearing that number in that paragraph.
- (3) The payments which may be made by the CSA in accordance with this Determination are without prejudice to—
  - (a) the power of a Health Board under section 28D (Assistance and support: general dental services) of the National Health Service (Scotland) Act 1978 to provide assistance and support, including financial support, to providers (or prospective providers) of general dental services,
  - (b) the ability of a Health Board to offer financial support to providers of general dental services in accordance with the financial support measures set out in NHS: PCA(D)(2020)7 dated 2 April 2020<sup>9</sup> or PCA(D)(2022)3 dated 2 March 2022. <sup>10</sup>

\_

<sup>&</sup>lt;sup>9</sup> COVID-19 – Revised Financial Support Measures, PCA(D)(2020)7 published at <a href="https://www.sehd.scot.nhs.uk/pca/PCA2020(D)7.pdf">https://www.sehd.scot.nhs.uk/pca/PCA2020(D)7.pdf</a>

Recovery of NHS Dental Services: Revised Payment Arrangements, PCA(D)(2022)3 published at <a href="https://www.sehd.scot.nhs.uk/pca/PCA2022(D)03.pdf">https://www.sehd.scot.nhs.uk/pca/PCA2022(D)03.pdf</a>

# **Statement of Dental Remuneration**

# DETERMINATION XV REIMBURSEMENT OF PRACTICE EXPENSES

Determination XV describes the conditions of entitlement for reimbursement of practice rental costs for those practices that were in receipt of these payments as at 31 March 2020, and for those new practices, that were not in receipt of these payments as at 31 March 2020. The amoun of payments; how to claim; and what happens if a dentist or practice fails to meet the conditions are also explained.

# **Reimbursement of Practice Rental Costs**

#### **Conditions of Entitlement**

- 1.—(1) To be eligible for the reimbursement of rental expenses practices must meet the following conditions:
  - (a) the names of the contractors in respect of which the payment is being made must be included in sub-part A of the first part of the dental list of the Health Board in whose area the practice is situated;
  - (b) the names of any dentists who assist the contractors in the provision of general dental services at the practice are included in the second part of the dental list of the Health Board in whose area the practice is situated;
  - (c) a minimum average of 500 patients per dentist accepted for care and treatment under capitation and continuing care arrangements, at least 100 of which must be fee paying adults; or in the case of a specialist orthodontic practice, or other specialist practice, payment must have been received for a minimum, per dentist, of 500 claims under item 46 of Determination I;
  - (d) the contractors within the practice must maintain or increase the number of patients accepted for care and treatment under capitation and continuing care arrangements; or in the case of a specialist orthodontic practice, or other specialist practice, the designated contractor must submit a declaration by 30 June each year, on an electronic form provided by the CSA, confirming that the contractors within the practice accept referrals for treatment under general dental services for all categories of patients;
  - (e) the contractors and dentists within the practice meet, and continue to meet, their NHS terms of service as set out in Schedule 1 to the Regulations;
  - (f) the practice has been satisfactorily inspected by the Health Board in whose area the practice is situated within the 3 years prior to that in respect of which the allowance is to be paid. In the case of a new practice which opens in the year in which the allowance is to be paid the practice will require to have been satisfactorily inspected by the Health Board in whose area the practice is situated prior to the allowance for that year being paid;
  - (g) the designated contractor has submitted a declaration signed by an accountant stating the proportion that the practice's NHS earnings bore to the total earnings of the practice for the most recent complete practice financial year. The declaration, which must include the designated contractor's status, should be received by the CSA by 28 February in each year or, where a declaration is not received by the CSA by 28 February in any given year, by the last day of the second month in a subsequent quarter in that year; and

- (h) the designated contractor must be the rent payer or the practice owner, or is a partner in a partnership of dentists which is the rent payer or the practice owner, or is a director of a body corporate which is the rent payer or the practice owner.
- (2) Practices which were not in receipt of a payment under this Determination before 31 March 2020, must also have gross NHS earnings which represent at least 60% of the total earnings of the practice, in addition to meeting the conditions in paragraph 1(1).
- (3) Practices which were in receipt of a payment under this Determination before 31 March 2020 will be required to meet the conditions in paragraph 1(2) in addition to those in paragraph 1(1) to continue to be eligible for a payment under this Determination if they:
  - (a) relocate to different practice premises,
  - (b) request that the Health Board review the amount of payment,
  - (c) the practice's payment is ceased under paragraph 4 and they claim again.

### **Amount of Payment**

- 2.—(1) Reimbursement of rental costs will only be for those parts of the practice premises used for the provision of general dental services and will:
  - (a) exclude any areas used for other purposes or unused areas within the practice premises; and
  - (b) be adjusted where any part of the practice premises has areas which are communal by the number of users sharing such areas.
- (2) For practices where the contractors are leaseholders, or are in a partnership of dentists which is the leaseholder, or are directors of a body corporate which is the leaseholder, the amount of leasehold rental costs to be reimbursed will be, subject to paragraph 2(1), whichever is the lowest amount of either:
  - (a) the current market rent for the premises; or
  - (b) the actual lease rent for the premises;

abated by the difference in the amount which the practice's NHS earnings bear to its total earnings in the most recent complete practice financial year prior to that in respect of which the reimbursement in to be paid.

- (3) The amount payable under paragraph 2(2) above may include any Value Added Tax (VAT) payable by the contractor if this is properly charged to the contractor by the landlord, where the practice is not VAT registered and where the contractor has provided evidence to the CSA that VAT has been paid). Where the contractor is reimbursed the current market rent the VAT to be reimbursed will not be the full VAT charged by the landlord but will be based on the current market rent to be reimbursed.
- (4) For practices where the contractors are owner/occupiers a notional rent will be paid which, subject to paragraph 2(1) and 2(5), is equivalent to the current market rental value of the practice premises, abated by the difference in the amount which the practice's NHS earnings bear to its total earnings in the most recent complete practice financial year prior to that in respect of which the reimbursement in to be paid.
  - (5) Where NHS capital contributes to the cost of purchasing, building work or refurbishment

to the fabric of the building in respect of the practice, on completion of the work, the amount of notional rent payable to a contractor who is an owner/occupier must be abated, in proportion to the level of NHS contribution, as follows:

- (a) determine the current market rent for the practice prior to improvement  $(P_u)$ ;
- (b) determine the current market rent for the whole of the improved practice  $(P_i)$ ;
- (c) subtract one from the other ( $P_i$   $P_u$ ), which will produce the current market rent value of the enhancement (I);
- (d) determine the amount of the capital provided by the dentist as a proportion of the whole cost of the improvement, expressed as a percentage (A);
- (e) (A) is then to be enhanced by adding 10% of (I) to cover normal landlord expenses, which is then applied to (I) and the resultant is added to  $(P_u)$ . A + 10% must not exceed 100.
- (f) expressed as a formula the post-improvement notional rent is:  $I \times (A+10)\% + P_u$ .
- (6) The Health Board should review the current market rental value if:
  - (a) there is further capital investment in the practice which had the prior approval of the Health Board as being consistent with the Health Board's dental premises strategy; or
  - (b) the practice re-locates to new practice premises consistent with the Health Board's dental premises strategy.
  - (c) there is a change to the purpose for which any part of the practice is used;
- (7) The Health Board should advise the CSA of the outcome of any such review.
- (8) A review cannot be undertaken where the only change in circumstances is an increase in the practice's actual lease rent payable.

#### **Claim for Payment**

- 3.—(1) A claim for an allowance under this Determination must be made on a form provided by the Health Board, on which the designated contractor must make a declaration confirming:
  - (a) the percentage of the practice's total earnings that was attributable to NHS earnings, as stated on the practice's GP234 form;
  - (b) that they are:
    - (i) the rent payer or the practice owner;
    - (ii) a partner in a partnership of dentists which is the rent payer, or the practice owner; or
    - (iii) a director of a body corporate which is the rent payer or the practice owner:

and include a declaration signed by the practice's accountant certifying the portion that the practice's NHS earnings bore to total earnings.

- (2) A payment under this Determination will be made to a designated contractor but all of the contractors must meet the conditions outlined at paragraph 1.
- (3) Reimbursement of rental costs will be payable in arrears for the first quarter in which the contractors are eligible and will continue at the same rate, subject to any review carried out as outlined at paragraph 2(6).
- (4) If requested to do so, the designated contractor must provide proof to the CSA, at their own expense and within 2 months of the request being made, that they are:
  - a) the rent payer or the practice owner,
  - (b) a partner in a partnership of dentists which is the rent payer, or the practice owner,
  - (c) a director of a body corporate which is the rent payer or the practice owner,

in respect of the practice for which the reimbursement of rental costs is being made.

- (5) The contractor will be required to provide proof, if requested by the CSA, of their VAT status in the form of a VAT invoice in relation to paragraph 2(3).
- (6) Any declaration required by paragraph 1(1)(g) received by the CSA after 28 February in any given year must be received by the last day of the second month in a quarter in order for reimbursement of practice rental costs to be payable in that, and subsequent, quarters.
- (7) The designated contractor for the practice must notify the CSA within one month of any change in circumstances of any of the dentists included in the practice which may affect entitlement to a reimbursement of rental costs.

#### **Failure to Meet Conditions**

- 4.—(1) Where the contractors within a practice fail to meet the conditions set out in paragraph 1 payment under this Determination will cease and a new claim must be submitted once all of the conditions set out in paragraph 1 are satisfied.
- (2) The Health Board may waive the ceasing of the payment in any case where it considers that the failure to meet the conditions set out in paragraph 2 was because of exceptional circumstances.

#### **Interpretation**

5.—(1) In this determination, unless the context otherwise requires:

"assistant" has the meaning given in regulation 2(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010 but excludes those assistants who are vocational trainees;

"body corporate" means a body corporate carrying on the business of dentistry;

"the CSA" means the Common Services Agency for the Scottish Health Service constituted under Section 10 of the National Health Service (Scotland) Act 1978;

"categories of patients" means:

(a) exempt patients under paragraph 3 of Schedule 11 of the National Health Service (Scotland) Act 1978, i.e. those patients under 18, those aged 18 in full-time education, those who are pregnant or have borne a child in the previous 12 months;

- (b) fully or partially remitted patients under the National Health Service (Travelling Expenses and Remission of Charges) (Scotland) (No. 2) Regulations 2003, i.e. those who are 18 but not yet 26 years old, those patients and their partners in receipt of income support, income-based jobseekers' allowance, income related employment and support allowance, pension credit guarantee credit, universal credit (where there were no earnings or earnings within the allowed limit), NHS Low Income certificates HC2 and HC3 holders and those patients and their partners entitled to, or named on, a NHS tax credit exemption certificate; and
- (c) fee paying adult patients, ie those patients who do not fall within either category (a) or (b),

"contractor" means a person who has undertaken to provide general dental services and whose name in included in sub-part A of the first part of a dental list;

"current market rent" means the rent as assessed by the valuer appointed by the Scottish Government for the purposes of valuing dental premises for the purposes of reimbursement of rental costs under this Determination:

"dental list" means a dental list prepared by a Health Board in accordance with regulation 4(1) of the National Health Service (General Dental services) (Scotland) Regulations 2010;

"dentist" means a registered dental practitioner whose name is included in either sub-part A of the first part or second part of the dental list;

"designated contractor" means that contractor deemed designated by the practice and recorded by the CSA on the last day of the quarter in which the payment is to be made;

"director" means a director of a body corporate or a member of the body of persons controlling a body corporate;

"financial year" means beginning on 1 April in one year and ending on 31 March in the next year;

"gross earnings" means in relations to reimbursement of practice rental costs the contractor's gross earnings, excluding any remuneration by way of salary as a salaried dentist, from the provision of general dental services under the National Health Service (Scotland) Act 1978, including;

- Item of service payments
- Continuing care and capitation payments
- Seniority payments
- Vocational trainers grant
- Continuing professional development allowance
- Remote area allowance
- Clinical audit allowance
- Maternity payments, paternity payments and adoptive leave payments
- Fees for undertaking practice inspections
- Dental Practice Adviser allowance
- NHS Board lead general dental practitioner allowance
- NHS Education for Scotland adviser remuneration
- CPD tutors or directors remuneration
- Dental Reference Officer remuneration;

"NHS earnings", means the gross earnings, excluding any remuneration by way of salary, of all the dentists within the practice from the provision, or the assistance in the provision, by each dentist in person of general dental services under the NHS (Scotland) Act 1978;

"non-specialist practice" means a practice which does not restrict its services under general dental services to orthodontic care and treatment, oral surgery, sedation, paediatric dentistry, endondontics, prosthodontics or restorative periodontology on referral;

"other specialist practice" means a practice which restrict its services under general dental services and provides only oral surgery, sedation, pediatric dentistry, endodontics, prosthodontics or restorative periodontology on referral;

"a practice" means a single geographical location from which general dental services are provided, this location being the practice address on a Health Board list;

"quarter" means a period of 3 months ending on 30 June, 30 September, 31 December and 31 March:

"the Regulations" means the National Health Service (General Dental Services) (Scotland) Regulations 2010, as amended;

"specialist orthodontic practice" means a practice which restricts its services under general dental services and provides only orthodontic care and treatment on referral;

"total earnings" for the purposes of paragraph 1(1)(g) and 1(2) means the gross income in total of:

- (i) the dentists providing or assisting with the provision of general dental services at the practice;
- (ii) the dentists at head (i) derived from any other activities at the practice (including private dentistry);
- (iii) any other person operating or carrying out activities at the practice;
- (iv) any income from the lease, sub-lease or rental of the premises or part of the premises.

"vocational trainee" means a dentist who is employed as a trainee by a trainer as an assistant in accordance with paragraph 2 of Determination IV;

"year" means the period beginning on 1 April in one year and ending on 31 March in the next year.

- (2) In this determination, any reference to a numbered paragraph is to the paragraph bearing that number in this Determination and any reference to a numbered sub-paragraph is to the sub paragraph bearing that number in that paragraph.
- (3) The payments which may be made by the CSA in accordance with this Determination are without prejudice to—
  - (a) the power of a Health Board under section 28D (Assistance and support: general dental services) of the National Health Service (Scotland) Act 1978 to provide assistance and support, including financial support, to providers (or prospective providers) of general dental services,
  - (b) the ability of a Health Board to offer financial support to providers of general dental services in accordance with the financial support measures set out in NHS: PCA(D)(2020)7

COVID-19 — Revised Financial Support Measures, PCA(D)(2020)7 published at <a href="https://www.sehd.scot.nhs.uk/pca/PCA2020(D)7.pdf">https://www.sehd.scot.nhs.uk/pca/PCA2020(D)7.pdf</a>
Recovery of NHS Dental Services: Revised Payment Arrangements, PCA(D)(2022)3 published at

https://www.sehd.scot.nhs.uk/pca/PCA2022(D)03.pdf

# Statement of Dental Remuneration

# DETERMINATION XVI ENHANCED SKILLS ALLOWANCES

## **Interpretation**

1.—(1) In this Determination, unless the context otherwise requires:

"approved programme of training" means training provided by NHS Education for Scotland;

"approved programme of training and mentoring" means training provided by NHS Education for Scotland and mentoring provided by the Public Dental Service or a designated dentist in the relevant Health Board;

"assigned" has the meaning given by regulation 2(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010;

"CPD" means continuing professional development;

"the CSA" means the Common Services Agency for the Scottish Health Service constituted under Section 10 of the National Health Service (Scotland) Act 1978;

"dental list" means a dental list prepared by a Health Board in accordance with regulation 4(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010;

"dentist" means a registered dental practitioner whose name is included in sub-part A of the first part of the dental list;

"domiciliary care dentist" has the meaning given by regulation 2(1) of the National Health Service (General Dental Services) (Scotland) Regulations 2010;

"enhanced skills in domiciliary care" has the meaning given by regulation 2(1) of the National Health Service (General Dental Services) (Scotland) Regulation 2010;

"quarter" means the period of 3 months ending on 30 June, 30 September, 31 December and 31 March;

"remote dentist" means a dentist who has to travel 90 minutes or more, as determined by the most recent version of Microsoft Auto-Route (using default settings), by car from the address included in respect of him in the dental list to his local postgraduate education resource centre in Scotland;

"year" means the period beginning on 1 April in one year and ending on 31 March in the next year.

- (2) Where a question arises as to whether a dentist is a remote dentist this will be determined by the CSA whose decision is final.
- (3) In this Determination, a reference to a numbered paragraph is to the paragraph bearing that number in this Determination and a reference to a numbered sub-paragraph is to the sub-paragraph bearing that number in that paragraph.

# Part 1 – Enhanced Skills in Domiciliary Care

# **Continuing Professional Development Allowance**

## **Conditions of Entitlement**

2. A dentist who, at the request of the Health Board, has undertaken an approved programme of training and mentoring to become an enhanced skills dentist in domiciliary care and who makes a claim to the CSA in accordance with paragraph 4 shall be paid a CPD allowance of an amount ascertained in accordance with paragraph 3.

#### Amount of Allowance

3.—(1) The amount of CPD allowance to be paid where a dentist has satisfied the conditions in paragraph 2 and made a claim in accordance with paragraph 4 for taking part in an approved programme of training and mentoring shall be:

#### **Duration of Educational Time**

Amount of Allowance

1 session of 3½ hours

£231.10.

- (2) Payments under sub-paragraph (1) shall not exceed £4,390.90.
- (3) The amount of additional CPD allowance to be paid where a remote island dentist has made a claim in accordance with paragraph 4 for attendance at an approved programme of training shall be:

£462.20 for each session of educational time of 3½ hours.

- (4) Payments under sub-paragraph (3) shall not exceed £6,470.80.
- (5) The amount of additional CPD allowance to be paid where a remote mainland dentist has made a claim in accordance with paragraph 4 for attendance at an approved programme of training shall be:
  - £231.10 for each session of educational time of 3½ hours.
  - (6) Payments under sub-paragraph (5) shall not exceed £3,235.40.

#### Claim for Allowance

- 4.—(1) A dentist shall make a claim for CPD allowance to the CSA, on a form supplied by the training organiser for the purpose.
  - (2) Where the dentist is a remote dentist the claim shall include a declaration:
    - (a) that the dentist is a remote dentist; and
    - (b) of the number of additional sessions to be claimed.
- (3) A claim for an allowance under sub-paragraph (1) shall be made within 6 months of completion of the approved postgraduate course.

# **Equipment Allowance**

#### **Conditions of Entitlement**

- 5.—(1) The CSA shall pay to a dentist who satisfies the conditions specified in sub-paragraph (2) an allowance in accordance with paragraph 6.
  - (2) The conditions referred to in sub-paragraph (1) are that:
    - (a) the dentist's name is included in sub-part A of the first part of a dental list;
    - (b) the dentist is a domiciliary care dentist.

#### **Amount of Allowance**

6.—(1) Where a dentist becomes eligible by virtue of paragraph 5 for an allowance for the purchase of equipment required for the purposes of providing enhanced in skills domiciliary care, an equipment allowance up to the amount below shall be payable for the first and third year of each four year period in which he is eligible:

Relevant Year	Maximum
	Amount
1	£800
3	£400

(2) Only one allowance shall be payable to a dentist in a relevant year. The first year in the first four year period will be the year in which the dentist becomes a domiciliary care dentist.

#### **Claim for Allowance**

- 7.—(1) The dentist shall make a claim for the allowance in each relevant year on a form supplied by the Health Board, or a form to like effect, and shall include with the claim receipts for the equipment purchased for the purposes of providing enhanced skills in domiciliary care.
- (2) A claim for an allowance under sub-paragraph (1) shall be made within the relevant year. In exceptional circumstances the CSA may at its discretion pay claims received outwith the time limit.

#### **Change in Circumstances**

8. The dentist and Health Board shall notify the CSA within one month of any change in circumstances which may affect his entitlement to an allowance under paragraph 6.

# Care and Treatment Allowance

### **Conditions of Entitlement**

- 9.—(1) The CSA shall pay to a dentist who satisfies the conditions specified in sub-paragraph (2) an allowance in accordance with paragraph 10.
  - (2) The conditions referred to in sub-paragraph (1) are that:
    - (a) the dentist's name is included in sub-part A of the first part of a dental list;
    - (b) the dentist is a domiciliary care dentist.

#### **Amount of Allowance**

- 10.—(1) Where a dentist becomes eligible for a care and treatment allowance by virtue of paragraph 9, a care and treatment allowance shall be payable for the first and each subsequent quarter in which he is eligible and will be calculated as set out in sub-paragraph (2).
- (2) Where the dentist achieves the number of patients accepted for care and treatment under a continuing care arrangement per care home which falls within the minimum set out in column 1 below, he will be entitled to the quarterly payment specified opposite that minimum in column 2.

Column 1	Column 2
Minimum Number of Patients	Quarterly Payment
10	£250
20	£300
30	£450
40	£600
50	£750
75	£1,000

- (3) An allowance under sub-paragraph (2) shall be payable to a domiciliary care dentist for each care home the dentist has been assigned.
- (4) The allowance shall be payable quarterly in the month following the quarter to which the calculation is made.

#### **Change in Circumstances**

11. The dentist and Health Board shall notify the CSA within one month of any change in circumstances which may affect his entitlement to an allowance under paragraph 10.